Practices and Policies: Market Rate Surveys in States, Territories, and Tribes

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May 2007

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This Report was supported by the federal Child Care Bureau of the U.S. Department of Health and Human Services' Administration for Children and Families, Administration on Children, Youth and Families under Grant Number 90YE0075. The views expressed are those of the authors and not necessarily those of the federal Child Care Bureau.

We wish to thank our Research Project Advisory Committee members (see Appendix A). This work benefited greatly from their guidance and assistance throughout the project, especially in methods and in the development of survey instruments. We gratefully acknowledge the five states that assisted in pretesting the survey instrument – Arizona, Maine, Minnesota, Oregon, and Washington. A special thanks goes to our research assistant, Tara Callaway, who helped in numerous ways during the data collection and analyses phase of the study. Finally, we extend a special thanks to all the state child care administrators, their staff and partners who so generously worked with us throughout the study. Without their insights and information we could not have conducted this study.

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Introduction

What is a child care market rate study?

In 1990 the federal government began a major investment in child care with the passage of the Child Care and Development Block Grant Act (42 U.S.C. 9858 et seq). Support of parental choice and of policy control by the states, territories, and tribes were key components of this block grant program that sent new money to jurisdictions[1] to support child care. Parental choice and local control of policy remained central when the program was expanded in 1996 as a part of welfare reform legislation. At that time, child care funding became known as the Child Care and Development Fund (CCDF). States, territories, and tribes must submit a plan every two years describing use of CCDF funds.

In the CCDF Final Rule, the Department of Health and Human Services directed that child care subsidy programs ensure that parents who receive a child care subsidy have equal access to the child care market, and further, that this access be demonstrated by reference to a local market rate survey no more than two years old when CCDF plans are submitted (Department of Health and Human Services, 1998). A market rate survey is a tool to collect up-to-date information on what facilities, within given geographic areas, charge parents for various types of child care. This information is then considered during the state, territorial, or tribal budget process that establishes subsidy rate policies for facilities that serve CCDF eligible families. Thus, market rate surveys have played a key role in local administration of CCDF. Some jurisdictions began conducting market rate surveys of child care prices in the late 1980s. By 1998 all jurisdictions were required to conduct these surveys. Tribes have had the option to conduct their own survey or to use that of the state in which they are located, although they are strongly encouraged to survey local facilities.

Despite the federal mandate to identify local child care prices, jurisdictions have received limited guidance on how to conduct market rate surveys. In 1994, the Children's Defense Fund (Stoney, 1994) described steps states should take to conduct a market rate survey and later the National Child Care Information Center (Karolak, Collins, and Stoney, 2001) prepared a paper for the Child Care Bureau that provided both a general framework and specific guidance on conducting market rate surveys. Nonetheless the jurisdictions have been free to develop their own market rate methodologies and policies.

Guidance for Validating Child Care Market Rate Surveys research project

The Child Care Bureau funded a research project entitled *Guidance for Validating Child Care Market Rate Surveys* to provide information on market rate studies needed by the states, territories, and tribes. The project includes three related studies with the following goals:

- *Study 1:* Describe key elements of market rate survey methods, practices, and policies to capture current practice of states, tribes and territories, and to refine the proposed research design for validating market rate survey findings. A survey of tribal CCDF grantees was included in this study.

[1] Jurisdiction refers to local government (state, territory, or tribe) to which CCDF funds are allocated for local distribution.

- *Study 2*: Evaluate the effect that use of various samples and methods have on validity, market representation, and cost effectiveness in producing child care market rate findings.
- *Study 3*: Explore the effects of subsidies on child care prices in different policy environments.

To guide this national research project, a 28 member national Research Project Advisory Committee (see Appendix A) was formed and includes representatives of child care agencies in the states, territories, and tribes, as well as child care researchers, advocates, and representatives of provider organizations. The Advisory Committee meets annually and periodically reviews research plans, measures, and reports to assure that significant methodological and policy issues are addressed.

Objectives of study

In this paper we report the findings from the first study. The primary objective of this study was to describe current market rate survey methods, practices, and policies in all 50 states, the District of Columbia, five territories, and the 28 tribes that conduct their own market rate survey.[2] A second objective was to identify the validity issues that emerge from this comparison of current market rate survey practices. This information has been used to refine the design of the validity study, the second of the three studies included in the research project.

Prior studies of survey practices and policies

Child care market rate surveys are relatively new, having been initiated with the 1988 passage of the Family Support Act and not required until 1998. Little is known about survey methods or their impact on child care subsidy policies. In 2000, a study of market rate survey methods in the states and District of Columbia identified a set of critical questions related to both survey methodology and rate setting policies and practices (United States Association for Child Care, 2000). The authors recommended further study including an in-depth analysis of survey methodologies.

Subsequently, the U.S. General Accounting Office (GAO, 2002) surveyed the 50 states and the District of Columbia regarding how payment rates were set and the extent to which payment rates provided parents access to child care facilities. This examination established that most states surveyed only licensed facilities which make up a portion of all facilities. A minority of states surveyed unlicensed facilities (including child care centers, family child care homes and group homes exempt from licensing). The majority of states differentiated prices by geographic areas, age groups, type of care, and in some states, by special needs of children or families. Most states reported that market rate survey findings were used in setting subsidy rates, however, current budget levels and other policy goals also influenced rate setting. The 1998 rule states that the 75th percentile is a suggested benchmark states should consider when establishing payment rates. Over half of states set maximum subsidy rates at the 75th percentile of survey market prices, although not always based on the most current survey findings. The GAO did not evaluate the different survey methods used by the states.

[2] In an initial tribal study that surveyed 268 child care tribal grantees (Weber and Grobe, forthcoming), 28 indicated they conduct their own market rate survey as opposed to using the market rate survey administered by the state in which the tribe is located.

The National Child Care Information Center (NCCIC, 2004) compiled market rate survey descriptions captured from states' Child Care and Development Fund Plans for FFY 2003-2005. Variation was reported in frequency of surveys, the relationship of survey findings and maximum payment rates, and the kinds of organizations that conducted the survey. NCCIC was limited by only having access to the data that states reported in the CCDF Plans submitted to the federal government and therefore, could say little about the actual methodologies states used.

Arthur Emlen conducted Oregon's market rate surveys from 1990 to 1994 (1990, 1992, 1995) and focused on validity issues in this work. In a paper commissioned for this Research Project, Emlen (2005) identified the major issues which affect the validity of child care market rate survey findings.

Terms used in this paper

Throughout this report, the term *prices*[3] is used to describe fees set by child care facilities and *rate* is used to describe the subsidy rate or maximum rate that child care subsidy agencies will pay a facility providing care for an eligible child. The maximum subsidy payment rate establishes a ceiling; lead agencies pay the facility's "usual" charge up to the ceiling established by the maximum rate.[4] The usual charge is the fee per child paid by families who do not receive a subsidy.

Terminology

Prices – provider fees set in the open market by child care facilities.

Rate – subsidy rate or rate of payment established by child care agencies for reimbursing child care facilities.

Market – the collection of buyers and sellers that, through their potential interactions, determine the price of a product or set of products.

R&R – Child Care Resource and Referral agencies.

Child care administrator – head of the lead child care agency in a state, territory, or tribe.

Jurisdiction – a governmental unit with whom the Child Care Bureau partners; i.e., a state, territory, or tribe.

Facility – the physical building, whether a child care center or home, used to provide care and education services.

The Research Project Advisory Committee approved use of the following definition of market. *A market is the collection of buyers and sellers that, through their potential interactions, determine the price of a product or set of products*. The term *R&R* refers to child care resource and referral agencies, the local organizations create databases of child care facilities in communities across the United States. The term *child care administrator* refers to the head of the lead child care agency in a state, territory, or tribe (also referred to as *jurisdiction* throughout the report). The tribal administrator or governor of each jurisdiction has designated the lead child care agency as responsible for administration of the CCDF allocations to the jurisdiction. The child care administrator represents the jurisdiction on issues related to CCDF. The term *facility* is used to describe the physical setting in which care and education services are provided to children.

[3] It should be noted that federal rule requires a survey of the *prices* charged for child care, not a survey of the *costs* of child care. The cost of child care is typically greater than the price charged because providers supplement income through grants, donations and low pay and benefits (Cost, Quality, and Child Outcomes Study Team, 1995).

[4] The preamble to the CCDF regulations (63 FR 39959) states: "In setting or adjusting rates, we remind Lead Agencies of the general principle that Federal subsidy funds can not pay more for services than is charged to the general public for the same service."

What's inside this report

Section I: Data and Methods. We describe how the states, territories, and tribes provided information for the study.

Section II: Findings. We describe the administrative practices and policies of the states, territories, and tribes that are related to conducting market rate surveys, and then describe market rate survey methods and practices within the context of validity issues identified by Emlen (2005).

Section III: Conclusions. We summarize findings from the survey of the states, territories, and tribes and discuss how these will be used in the second study; that of the validity of market rate survey findings based on different methods and samples.

State, Territory, and Tribal Profiles. The profiles include the data collected and reviewed by the states, territories, and tribes.

Section 1: Data and Methods

Study population

This study included the 50 states and the District of Columbia, five territories, and 28 tribes that indicated they had completed their own market rate survey since 2001. Targeted informants in this study were the child care administrator and the persons responsible for conducting the market rate survey in each jurisdiction. Our strategy was two-fold. First we contacted the child care administrator in each jurisdiction who in turn was asked to identify the person(s) in each jurisdiction most knowledgeable about the following six market rate survey functions: (1) administration/organization of the market rate survey; (2) facility population and sample; (3) data collection; (4) data analysis; (5) dissemination of the results; and (6) rate setting policy. Second we asked each of the key informants to complete the survey section(s) for which they were identified as being the most knowledgeable person in their state, territory, or tribe.

Contact lists of state and tribal administrators were obtained with the assistance of the Child Care Bureau, Administration for Children and Families, Department of Health and Human Services. Tribes who conducted their own market rate survey provided the appropriate key informant contact information. An initial list of the tribal grantees was obtained from the Tribal Child Care Technical Assistance Center (TriTAC).

Instrumentation and data collection

There were two phases to the data collection process. Phase 1 focused on obtaining contact information for key informants in each jurisdiction. In Phase 2, the key informants were asked to complete a web-based survey.

Phase 1

In this phase we asked all child care administrators to complete a form that listed key informants either through an on-line survey or electronically through email. Their specific task was to identify the person or persons most knowledgeable about and best able to describe the six market rate survey functions for their jurisdiction's most recently completed market rate survey. One to six key informants could be identified. This phase began in June 2005.

The research team used various methods to encourage participation of child care administrators. After sending the initial letter to the administrator, we provided a brief project description to various stakeholders with potential interest in the study. The stakeholders included all members of the national Research Project Advisory Committee, regional staff for the National Child Care Information Center (NCCIC), staff members of the Child Care Bureau (CCB), the ten Regional Offices of the federal Administration for Children and Families, and the National Association of Child Care Resource and Referral Agency (NACCRRA). The research team asked these stakeholders to discuss the study and to encourage broad participation by the states, territories, and tribes. To maintain confidentiality and freedom of response, no information on the participation of individual jurisdiction's or their responses was ever provided to these stakeholders.

In addition, two conference calls were scheduled to explain the project to the jurisdictions and stakeholders, and to answer questions regarding the survey instrument and the survey process used for the study. The information included in the conference calls was subsequently provided in writing to all the child care administrators and to NCCIC, CCB, and NACCRRA staff. Participation in the call was not required, but provided an opportunity to ask questions directly of the Research Team.

Finally, the research team implemented Dillman's method for improving response rates by making multiple contacts with child care administrators (Dillman, 2000). These contacts included: (a) the initial letter sent electronically to explain the project, invite participation in the conference calls, and to provide the link to the web-based key informant contact form; (b) a similar follow-up letter sent electronically one week after the initial letter; (c) a hard copy version of the letter, the key informant contact sheet, and a self-addressed envelope sent by regular mail two weeks after the second electronic mailing; (d) another hard copy version of the contact sheet form sent by certified mail two weeks after the third contact; and (e) a personal phone call to the child care administrator made by the research project director who asked for the key informant information.

By September 2005, key contact information was collected from all the states, the five territories, and all 28 tribes who conducted their own market rate survey. In 57% (32) of the jurisdictions, a single key informant was identified to fill out the survey; in the balance of the states, territories, and tribes, multiple key informants would be responsible for completing sections of the survey.

Phase 2

In phase 2 of data collection, the research team created the survey instrument (see Appendix B) and gathered information from key informants in each jurisdiction regarding the details of their market rate survey processes and methods. The Research Project Advisory Committee refined and prioritized the set of constructs and variables used to build the survey instrument. Variables were organized under six specific functions representing the market rate survey process. Again, these were: (a) administration/organization of the market rate survey; (b) facility population and sample; (c) data collection; (d) data analysis; (e) dissemination of the results; and (f) rate setting policy. The Research Project Advisory Committee reviewed an early draft of the instrument. Seven of the 23 advisory committee members provided comments on the draft survey instrument. In addition, five states (Arizona, Maine, Minnesota, Oregon, Washington) field-tested the survey instrument and provided feedback on the way questions were worded and on the process.

Seven different web-based surveys were created; one survey was created for each of the six specific functions in the market rate survey process and one complete survey addressed all six functions. The commercial product *Survey Monkey* was used to administer the web survey. Each key informant was given a unique personal identification number (PIN) to access the web survey; this process allowed us to track completion of each survey by the appropriate key informant.

Similar to Phase 1, the research team implemented Dillman's (2000) multi-contact method for improving response rates. During Phase 2, contacts included: (a) initial letter sent electronically to each key informant which explained the project and provided link(s) to their web-based survey section(s), and their PIN number; (b) a follow-up email letter sent with the same information as the initial letter one week after the initial letter; (c) a postcard reminder sent ten days after the second contact thanking the key informant if they had already responded to the survey or encouraging them to complete the survey; (d) a hard copy

version of the appropriate survey section and a self-addressed envelope sent two weeks after the third contact to each key informant who had yet to respond; (e) a second hard copy of the appropriate survey section sent by certified mail two weeks after the fourth contact to each key informant who had yet to respond; and (f) a personalized e-mail sent to the child care administrator two weeks after the fifth contact asking for names of another key informant(s) who could complete the remaining survey sections for their jurisdiction. The entire process was followed only when necessary to ensure responses. Once a key informant completed their appropriate survey, a thank you was sent and no further contact was made.

Study sample

Data collection ended in February 2006. Surveys describing each aspect of the market rate survey process were completed by 46 out of 50 states and the District of Columbia for a response rate of 47 out of 51 (92%). Two states chose not to participate and two did not respond after multiple contacts. One territory completed the survey and two provided anecdotal information about how they conducted their market rate survey. Two territories did not respond to the survey after multiple contacts. Of the 28 tribes who conducted their own market rate survey, five completed the Phase 2 survey on their market rate survey practices. Tribes typically have small numbers of child care facilities and because of this we believed the survey was not relevant to the tribes who did not respond. Therefore, we called each of these tribes (23 in total) and collected qualitative data on how they conducted their market rate survey.

As a final step in the data collection process, we created jurisdiction profiles based on the data collected through Phase 2. Each state, territory and tribe was given its profile and asked to review it for accuracy. The purpose of this review was to increase accuracy in subsequent reporting. The profiles were mailed to all jurisdictions in the summer of 2006. Twenty-four (52%) of the 50 states and DC revised and returned their profile along with four of the 28 tribes (14%).

Section II: Findings

Findings for States

The findings reported in this section are based on the responses from the 47 states (46 states and District of Columbia) who completed all sections of the survey. It became apparent when reviewing the data that the market rate survey methods of territories and tribes were quite different from those used by states; the smaller number of facilities to be surveyed by tribes and territories were associated with major differences in their market rate survey practices. Because of these differences the Research Team decided to separate the state findings from those of the territories and tribes. Specific information on the market rate survey practices of each state, territory, and tribe can be found in their individual profiles (see State, Territory, and Tribal Profiles). Findings for territories and tribes follows "Findings for States" in this section.

We report state findings in two sections: (1) market rate survey practices and policies; and (2) validity issues. In the first we describe the administrative practices and policies related to market rate surveys. In the second we describe the actual survey practices within a framework of the major issues surrounding the validity of market rate survey methods.

Market rate survey practices and policies

The child care administrator or another person familiar with the jurisdiction's market rate survey practices reported on their most recently completed and disseminated market rate survey, the last one whose findings had been made public at the time of the state survey, summer 2005.

Among the 47 states surveyed there was a large variation in state population size. The smallest state had a population of 493,782 and the largest 33.9 million people; the median population was 4.3 million. The population of children under the age of 13 in these states ranged from 16% to 25%, with a median of 19.5%. The number of child care facilities also varied widely. In addition, some states included tribal child care facilities. Sixty-seven percent of all states (34) had tribal service areas within their boundaries. Twenty-nine (85%) of these 34 provided data to the research team. Of these 29 states that have tribal areas within their boundaries, over half (52%; 15) reported that they regulate facilities located on an Indian reservation or in a tribal service area.

All states are required by federal rule to ensure that a market rate survey has been done within two years of the completion of CCDF fund plans which are due in June of odd-numbered years. The majority (70%; 33) of responding states did not report state-level legally binding statutes or administrative rules that affected how they conduct and utilize their market rate survey. Of the 30% (14) of states that did report such statutes or rules, the most common regulated aspects were how frequently market rate surveys are conducted and the relationship between maximum payment rates and price findings (Table 1).

Table 1. Number of States with Statutes or Rules[a] Governing Various Aspects of Market Rate Survey Administration, N=14

State statutes or rules affect these aspects of market rate survey	Number of States
How frequently market rate surveys are conducted	10
Relationship of maximum payment rates to study findings	10
Who is included in the sample	5
Other aspects of the rate setting process	5
Content of market rate survey	3
Market rate survey process	2

[a] Appendix C includes the state's specific statutes or rules that govern their market rate survey administration.

Organizations that carry out market rate surveys

Some CCDF lead agencies conducted all aspects of the market rate survey, while others delegated or contracted out some or all of the tasks related to the survey. Table 2 illustrates how states used a mix of organizations to complete the tasks associated with market rate surveys.

- ***Some tasks were consistently done by lead agencies***; over three-quarters of state agencies determined which facilities were surveyed and disseminated reports of survey findings. Over half created survey questions and interpreted the findings, and almost half analyzed the data themselves.

- ***Other tasks were delegated or contracted out***; less than 20% of states collected data or helped facilities complete the survey and less than a third cleaned the data. States typically either had the R&R or licensing system collect the data (34%) or contracted with universities or other researchers (47%) for data collection. Similarly, the majority (52%) of states had either contracted researchers (40%) or R&Rs (11%) write the report of findings.

- ***Some states used Advisory Groups***. In addition to having other organizations assist with the survey, 17 states used advisory groups to guide their market rate survey process. For a majority (12 of 17) of these states, the advisory group was an existing group established to deal broadly with child care issues and policies. Three states created a group specifically to guide the market rate survey process, one state required consultation with other state departments and the Legislative Analyst's office, and another consulted with licensed center and family home facilities and advocates.

Table 2. Organizations with Primary Responsibility for Market Rate Survey Tasks for States, N=47

Market Rate Survey Task	State Child Care Lead Agency	Other state agency	State Resource and Referral Network	Contractors		This task was not done in most recent market rate survey
				University	*Individual or Research Firm*	
Determined which child care facilities to survey	38	0	3	3	3	0
Created survey questions	24	0	8	6	5	4
Helped facilities complete market rate survey	7	1	15	10	8	6
Collected price data from facilities	8	1	16	11	11	0
Cleaned the collected data (i.e., identified and corrected clearly erroneous data)	14	1	12	8	10	2
Analyzed price data	22	0	4	11	10	0
Interpreted findings	25	0	5	9	8	0
Wrote reports on findings	20	0	5	10	9	3
Published results	37	1	4	2	1	2

Note: In nine states all tasks were conducted by the same agency. In six states the lead agency conducted all the tasks and in three states the R&R conducted all the tasks.

Data sources and data collection

To gather market prices for child care, states must identify the population of child care facilities whose prices they will study. Most states had access to three databases that included information on child care facilities: licensing, R&R, and subsidy. As can be seen in Table 3, ***57% (26) of states used a single database to identify child care facilities - 35% (16) used only licensing data and 22% (10) used only R&R data***. The remaining states combined databases. No state used only the subsidy database. States reported that the database(s) they used was believed to be current, complete, and accurate.

Table 3. Number and Percentage of States Using Various Data Source(s) to Identify Facilities in Market Rate Survey, N=46

	Number of States	% of States
Single Database:		
Licensing Database	16	35%
R&R Database	10	22%
Subsidy Database	0	-
Combined Databases:		
Licensing, R&R, and Subsidy	5	11%
Licensing and R&R	7	15%
Licensing and Subsidy	8	17%
R&R and Subsidy	0	-

Note: One state did not answer this question. One state combined the Child and Adult Care Food Program, licensing, R&R, and subsidy databases.

Once the source(s) of potential respondents was determined, states decided whether to use a special survey to collect data or to obtain data from the working databases of the R&R or child care licensing agency.

- ***Thirty-two (68%) states collected data through a survey***. Of the 32 states that conducted surveys, almost two-thirds (20) used one survey method (mail or telephone); half used mail and half telephone. Four states used web-based surveys, always in combination with at least one other mode. In two of the 32 states the R&R conducted the survey and did not store the responses in the R&R administrative database.

- ***Fifteen (32%) states used data contained in their R&R or licensing database***. In most states the R&R updated the price data prior to providing it for analysis.

Some states collected information beyond price data from facilities, such as fee information (registration, transportation, food, activity) or facility characteristics. Twenty-two (47%) states collected data on at least one type of fee: registration (19 states), transportation (15 states), food (15 states), and activity (14 states). Forty percent of states collected data on education, training, wages, and/or benefits. Twenty states collected information on accreditation status of facilities and 19 states asked about for-profit/non-profit status. A smaller number of states inquired about other sources of support for care (7 states), sponsorship (6 states), group size (3 states), or adult child ratio (3 states). Only one state indicated collecting data on the facility's actual cost of providing care including insurance, space and utility costs.

State expenditures on market rate surveys

On the basis of this survey we are able to describe how much states are spending on market rate surveys and factors associated with those costs. We cannot assess cost-effectiveness, which relates the amount expended to the value of product produced. Until more is known about the validity of market rate survey findings associated with different methods, it is not possible to discuss cost-effectiveness.

The costs of conducting a state market rate survey averaged $81,534, ranging from $1,500 to $562,698; half of states spent $30,848 or less (Table 4). These figures may underestimate the true costs because some states reported not being able to identify costs associated with staff time. Average costs increased as the population of children under 13 increased. States with smaller populations of children (between 92,466 – 783,634) spent on average $34,230 compared to $162,783 for states with child populations ranging from 1.4 to 7.3 million.

Table 4. Range, Mean, and Median Costs Associated with Conducting Market Rate Survey, N=47

	Range	Mean (Std. Dev.)	Median
All States	$1,500 - $562,698	$81,534 ($114,779)	$30,848
Cost by state population of children under age 13 (N=47)			
92,466 – 783,634 (N=23)	$1,500 - $116,065	$34,220 ($36,220)	$16,988
855,443 – 1,221,546 (N=12)	$14,500 - $257,683	$86,786 ($96,164)	$35,991
1,355,379 – 7,289,433 (N=12)	$6,000 - $562,698	$162,782 ($173,555)	$82,900
Mode of Data Collection			
Telephone, mail, or web-based survey (N=32)	$1,500 - $562,698	$96,427 ($123,909)	$55,000
Mail only (N=11)	$1,500 - $257,000	$53,830 ($77,914)	$19,124
Phone only (N=10)	$5,350 - $562,698	$151,783 ($179,785)	$76,080
Mail, phone, and web-based (N=11)	$9,148 - $238,453	$83,667 ($74,011)	$57,590
Data obtained from administrative source (N=15)	$2,000 - $257,683	$40,916 ($75,441)	$15,296
Cost per facility surveyed or included in final dataset			
Telephone, mail, or web-based survey (N=27)	$1.05 - $248.56	$46.50 ($54.24)	$31.42
Data obtained from administrative source (N=10)	$0.55 - $23.43	$5.97 ($7.41)	$2.49

Note: In determining the cost per facility, the number of facilities who completed the survey was used if the data was collected by a survey, and the total number of facilities in the final dataset was used if the data was stored in an administrative database.

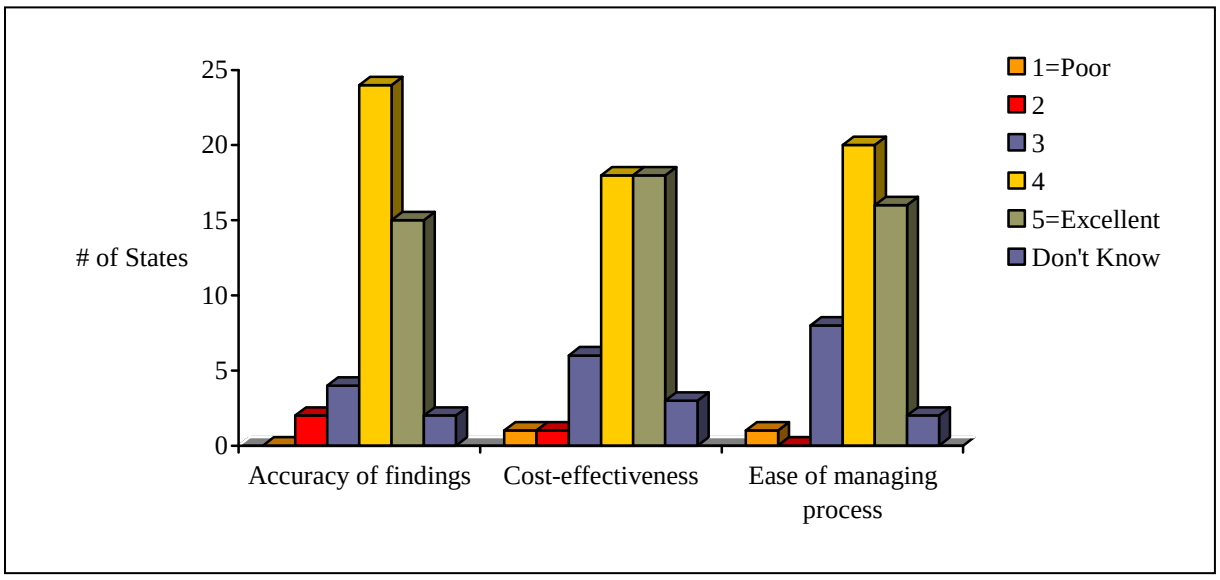
The data collection method affected cost. ***Costs were lower when states obtained data from existing databases (R&R system (14 states) or the child care licensing agency (1 state))***; these 15 states spent an average of $40,916 and half spent $15,296 or less. The difference in cost based on data collection strategy was even greater when cost was calculated based on number of facilities whose price was captured. ***Market rate surveys using data collected by survey, averaged $46.50 per facility while those using R&R or Licensing Agency data averaged $5.97 per facility.*** Further analysis showed that the cost differential by data collection strategy held up regardless of population size.

Child Care Resource and Referral Investment. The research team believed there might be a relationship between use of R&R data and state investment in the R&R system, since ability to collect accurate and complete price data is likely to be related to the fiscal strength of the R&R system. In the survey we asked states if they invested in R&R, and if so, to describe the capacity of the system to collect data. Forty-five (96%) of the 47 states have funds invested in R&R services. The majority of states (79%; 37) have a person within the R&R system who is responsible for ensuring accurate and reliable R&R data (e.g., staff training, data management, checks for data consistency), and all 14 states that use R&R databases have such a person within the R&R system. States with larger investments in R&R were more likely to have R&R carry primary responsibility for one or more market rate survey task (see Table 2 for list of tasks). Average investment in the R&R system was $6.8 million (range is $106,000 - $57.9 million) in states in which R&R carried primary responsibility for a market rate survey task versus $3.4 million (range of $55,126 - $18.3 million) in states in which R&R did not carry a primary responsibility.

Perceptions of different aspects of market rate survey

On the whole, ***state child care administrators perceived their market rate surveys to be accurate, cost-effective, and easy to manage***; about three-quarters rated all three qualities as "4" or "5" on a scale of one to five with five indicating excellent (Figure 1).

Figure 1. State Child Care Administrators' Perceptions of Different Aspects of Market Rate Survey, N=47



Those administrators who reported concern (gave a rating of 1 or 2) often shared their specific concerns. Accuracy was the most significant concern; one administrator noted that prices reported on the mail survey were not consistent with prices reported to agencies that register facilities for the subsidy program. Another indicated that historical comparisons between prices reported during the survey and those reported to the state's R&R agency consistently showed the survey prices to be higher than those reported to the R&R.

In terms of cost-effectiveness, a low-population state reported that they plan to collect and store the data in an internal database because they perceived that this will reduce costs, conserve internal resources, and allow easier access to the data. Another administrator reported that they perceived market rate survey costs to be higher than they should be, but did not have data readily available to make cost comparisons. In terms of ease of managing the survey process, one state reported extensive use of staff time to evaluate data from mailed surveys.

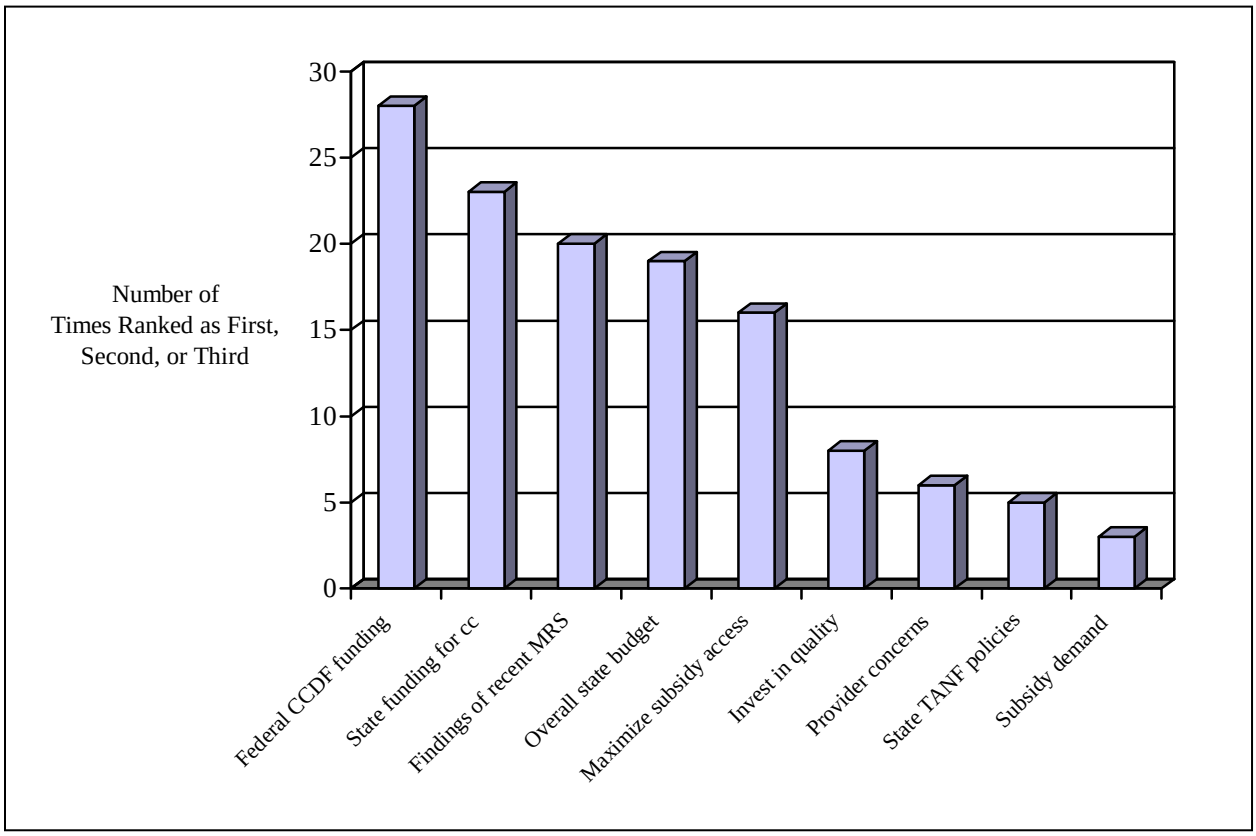
Rate setting

Not all states adjusted their rates within the period covered by this study. ***Forty-three percent (20) of all reporting states used the most recently completed market rate survey to review and adjust their maximum payment rates***. Of those who did adjust rates, the majority (60%; 12) increased rates for some types of care and age categories. Three states increased rates for all types of care and age categories, and two decreased rates for some types of care and age categories. Three states used the market rate survey findings and kept their rates the same.

States are encouraged to give parents access to 75% of the child care available prices in a community. The 1998 rule states that the 75th percentile is a suggested benchmark states should consider when establishing payment rates. Among all 47 states, over a third (17) set maximum payment rates at or above the 75th percentile of rates based on the most recently completed market rate survey. Eight of these states set rates at the 75th percentile for all categories of care and nine for only some categories. Some states explored issues of accessibility by calculating how close their current rates were to the 75th percentile of prices. About a quarter of states (11) calculated accessibility or the percent of market to which families with subsidies have access.

States reported a number of factors that influenced where maximum rates were set. States were asked to report the three most important factors influencing where maximum payment rates were set in their state. Figure 2 displays the number of times each category was mentioned by states as a first, second, or third most important factor in setting payment rates. Budgetary constraints from the state child care and CCDF funding levels had the greatest influence on maximum payment rate levels. The findings of recently completed market rate surveys were ranked third.

Figure 2. States' Ranking of Various Influential Factors in Setting Payments Rates, N=47



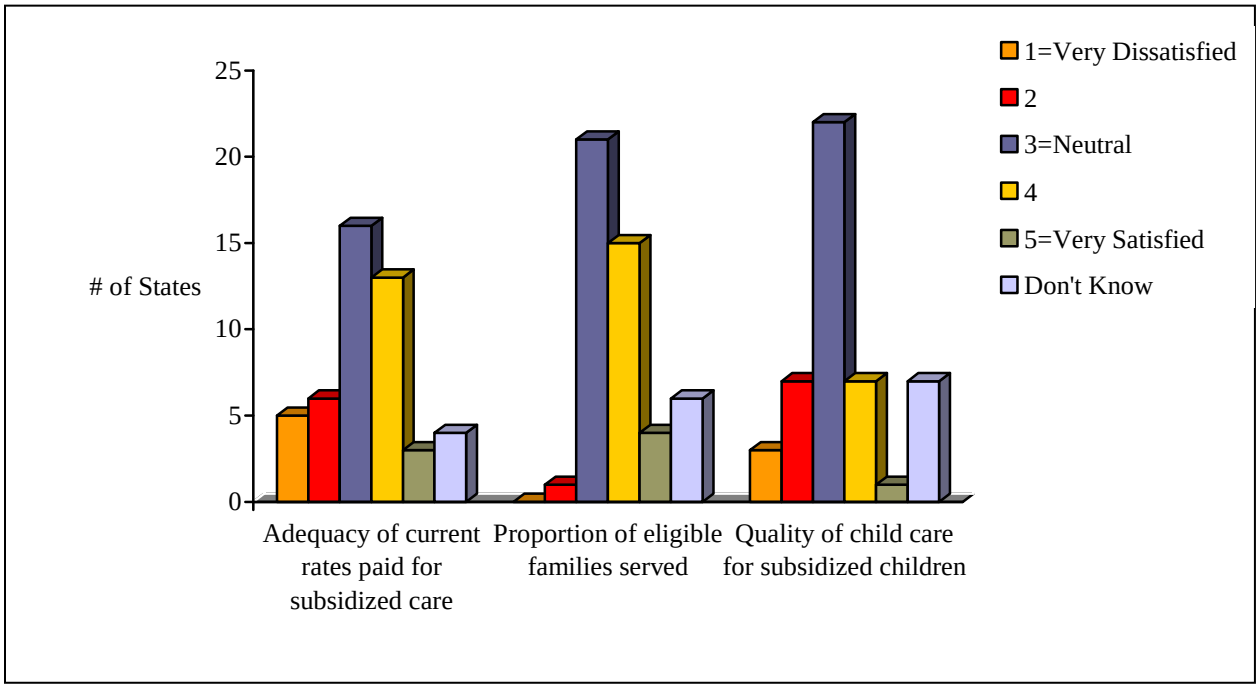
Note: Not all states indicated a first, second, and third factor. Three states did not indicate their first most important factor, and five states did not indicate their second or third most important factor.

States also reported which types of fees were paid to facilities through the subsidy program. Seventeen states paid registration fees through the subsidy program, ten states paid transportation fees, two states paid food fees, and four states paid activity fees.

Satisfaction with aspects of state's subsidy program

We asked administrators to report their satisfaction with their state's child care program, specifically the three aspects closely related to maximum payment rate policy. As shown in Figure 3, ***administrators most often reported being neither satisfied nor dissatisfied with the adequacy of payment rates, proportion of eligible families served, and the quality of subsidized child care***. They reported the highest level of satisfaction with proportion of eligible families served. About one in ten did not report a level of satisfaction on the three aspects of the subsidy program.

Figure 3. Number of State Child Care Administrators Reporting Various Levels of Satisfaction with Key Aspects of State Subsidy Program, N=47



Dissemination of market rate study findings

All but two states published their market rate survey results. Thirty-four states published a report, 30 posted their results or report on the web, and two prepared a news release. Twenty-one states mentioned other ways they disseminated their results. Results were shared most frequently with R&Rs (32 states with state R&Rs networks and 28 states with local R&Rs), facilities (27 states), and legislators (25 states). Reports were also shared with the offices of the Governor, other state agencies and commissions, advocates, and parents.

Validity issues

Market rate surveys are a tool to help ensure that the maximum payment rates for child care subsidy are adequate to provide access to child care for low income families who receive a child care subsidy. This link between market rate survey findings and maximum child care subsidy rates means that market rate surveys are of great interest to families, advocates, child care facilities, employers, and policy makers. The findings of a child care market rate survey are valid to the extent that they match the prices parents find when looking for care in their community.

The validity of the prices identified through market rate surveys hinges on how a number of issues are dealt with. In the following section we explore our findings about state survey practices and policies within a framework of the major validity issues: the child care market, child care submarkets, geographic definition of community, pricing modes, the unit of analysis, and data collection and analysis.

The child care market

One of the major validity issues in a market rate survey is which facilities from the child care market are to be included. This is important because if the surveyed facilities do not represent the facilities in the market, then no matter how well the remainder of the survey is implemented the validity of the price findings will be compromised. The definition of the child care market approved by the Research Project Advisory Committee is as follows: *A market is the collection of buyers and sellers that, through their potential interactions, determine the price of a product or set of products.* A price study needs to identify the sellers in a market; that is, the facilities who will be surveyed. Findings will differ depending on which facilities from the universe of all child care facilities are surveyed either in total or by random sampling. Researchers describe child care along a continuum ranging from informal, such as that given by relatives, to formal, that given in licensed family child care homes and centers (Porter, 2005). Not all facilities have prices. Few informal facilities charge parents a fee (Brandon et al., 2002; Chase et al., 2006), and some publicly funded programs have no parent fee because costs are paid by government and the philanthropic community.

States have to decide which facilities to include in their market rate survey. States have access to three databases of facilities: licensing, R&R, and subsidy. Which facilities are in these databases depends on the characteristics and regulations of that state. In one state a family child care facility serving three children would be included in the licensing and R&R database, while in another state that facility may be in only the R&R, and in a third state in neither. Facilities that are not included in these databases could be reached through a household survey, although this approach would be more expensive than conducting a study of facilities included in one of the three main databases.

Facilities included or excluded. States may use a database but not survey all facilities in that database. We asked states to report both data sources used and facilities surveyed. Once data source(s) and which facilities to include were determined, states had to decide whether to include the total population or pull a sample of facilities. States that used the R&R or child care licensing agency databases also had to decide whether to gather price data from all facilities in the database or a sample of facilities. Table 5 describes the facilities included in market rate surveys. The table is divided by data collection method: survey or R&R/licensing agency record updates. Where data were collected by survey, the table differentiates total

population surveys from those administered to a sample. The R&Rs and licensing agency that stored price updates in their existing records attempted to collect data from all facilities in that database.

Table 5. Number of States Surveying Facilities by Market Rate Survey Method, N=47

	Data Collected by a Survey (N=32)			**Data Collected by and stored in R&R or Licensing Database (N=15)**	
Facilities included in final dataset	*Total Population*	*Sample*	*Not Included In Survey*	*Total Population*	*Not Included In Survey*
Licensed centers and family child care homes	19	13	-	15	-
Centers and family child care homes that are legally exempt from licensing	2	6	24	5	10
Family, friends, or neighbor that are legally exempt from licensing	2	4	26	3	12
Centers that are legally exempt from licensing[a]	3	1	12	4	7
After-school education that is exempt from licensing	3	2	26	4	11
Facilities located on tribal reservations or in tribal service areas and licensed by the state	6	7	18	4	11
Facilities located on tribal reservations or in tribal service areas and licensed by the tribe	3	1	27	2	13
Facilities located on military bases	3	2	25	4	11

[a] 20 states indicated that 'No centers are exempt from regulation' in their state.
Note: The last 5 categories of facilities each had one state indicate 'no answer'. The final category had an additional 'no answer' response.

All states included licensed facilities whether they collected data by survey or through R&R or licensing agency updates. However, which facilities were licensed varied based on state licensing rules. Facilities that were licensed in some states were not in others. Within the 32 states that did a survey, 59% (19) surveyed all licensed facilities and the remainder surveyed a sample of them. R&R databases included most or all licensed facilities and the licensing database obviously contained all licensed facilities.

It was much less common for states to include facilities that were exempt from licensing; 75% (24) of survey states and 67% (10) of the R&R or licensing agency states excluded centers and family child care homes that were legally exempt from licensing. Similar large percentages excluded other types of license-exempt facilities such as family, friend, and neighbor caregivers. [5]

[5] Family, friends, and neighbor care is defined as non-parental care that is not licensed by the state. Provided either in the child's home or in that of the caregiver, the care is for part of the day. The care may be provided by relatives, close friends, neighbors, or nannies. Some of the caregivers receive payment for providing care.

Facilities included or excluded by price issues. Two issues face states regarding facility prices: (1) facilities that do not charge a fee (publicly funded programs such as Head Start or family, friends, and neighbors who do not charge parents do not have prices); and (2) facilities whose fees may be strongly influenced by subsidy maximum payment rates; the prices of centers or homes with fees and in which all or a high percentage of children receive subsidies.

At the data collection stage some states examined price issues. Thirty-eight percent (18) of the 47 states collected data from facilities on the number or percent of children in their care who received a subsidy. Of these states, seven indicated they did not collect data from some facilities with subsidized children. The percent of subsidized children that led to exclusion of facilities for these seven states were 100% (5 states), 50% (1 state), and 26% (1 state).

At the data analysis stage some states excluded facilities because of price issues. Eighty-nine percent (42) of states excluded at least some facilities based on price issues. Publicly funded programs that were free to parents were the most commonly excluded and over a quarter (13) excluded programs serving only children receiving a subsidy (Table 6).

Table 6. Number of States Excluding Some Facilities from Price Analysis by Data Collection Method, N=47

Type of Facility	**Data Collected by a Survey (N=32)**	**Data Collected by and stored in R&R or Licensing Database (N=15)**
State-funded pre-kindergarten programs	23	10
Migrant Head Start	19	11
Head Start programs	16	11
Those serving only subsidized children	11	2
Those serving a high percent of subsidized kids	3	0
Other [a]	12	5

[a] Other included programs not open to the public (5 states); unlicensed facilities (4 states); license-exempt, school-based school-age care and other programs that operated less than full day or that had either no price structure or variable prices (3 states).

Child care submarkets

Not only is it challenging to determine which facilities to include in a study of the child care market one must face the reality that it does not operate as a single market. Child care is a set of distinct submarkets that operate differently. Child age is one characteristic that distinguishes one submarket from another. Type of care is another. Parents who are seeking center infant care are likely to encounter higher prices than do parents seeking care for an older child or in a different type of care. In addition, it is often necessary to distinguish facilities whose prices are for services other than full-day, full-week care, i.e. programs commonly known as preschools, school-age, and programs with less than full-time schedules. ***Age of child, type of care, and schedule affect prices and thus define separate submarkets of child care***.

We do not know how many distinct submarkets exist. Identifying submarkets is important because study findings can either over- or under-estimate the price of care for an age group, for a specific type of care, or for a less than full-time schedule if multiple submarkets are treated as a single market.

Almost all states collect data separately for each age group (Table 7). There is a strong relationship (around 90% of states) between the age categories used in market rate surveys and in licensing. Although all states treated school-age care separately, 40% of states did not differentiate school-year prices from summer prices. In the analysis stage, ***all states analyzed prices by type of care***, although some only distinguished between center and family, while others had distinct price groups for large family child care homes, school-age centers, and a few separated out even more types of care (Table 8). In rate setting the states tended to use the same categories they used in their market rate study. Yet for family, friends and neighbor, and in-home care many states set rates without first analyzing prices by those types of care; a practice specifically allowed by federal rule.

Table 7. Number of States Collecting Data, Analyzing Data, and Setting Rates by Age Categories, N=47

	Data Collection	**Data Analysis**	**Rate Setting**
Age Categories			
Infant	46	47	47
Toddler	44	45	42[a]
Preschool	46	47	45
School-age	46	47	45
School-age school-year	*33*	*36*	*31*
School-age summer	*31*	*31*	*30*
Single price for school-age	*11*	*9*	*10*

[a] One state had rates for children 0-2 ½ years of age and for children over 2 ½ years of age. Their market rate survey was designed to provide more information on age breakdowns than their current rate structure.

Note: One state did not report the age categories used during data collection.

Table 8. Number of States Analyzing Data and Setting Rates by Type of Care, N=47

	Data Analysis	**Rate Setting**
Type of Care		
Center	47	46
Family child care home	46	46
Family child care group home	30	32
In-home	7	21
Family, friends, and neighbors	5	32
School-age centers	26	20
School-age enrichment activities	3	2

At the data analysis stage some states excluded facilities based on schedule. The prices charged by part-day, part-week programs commonly known as preschools were based on less than a full-day, full-week schedule. Almost a third of states (15) excluded part-day, part-week programs.

Geographic definition of community

It is widely accepted that child care prices vary by community, with higher child care prices in higher income communities. Combining communities with very different child care prices will produce findings that may not represent the prices in any of them. However, developing an operational definition of community for a market rate study presents multiple challenges.

In order to pay different rates in different communities, states must define some geographic unit. When price data is collected from the total population of identified facilities, a decision on geographic unit can be made during data analysis. When collecting price data from a representative sample, the geographic unit must be identified prior to data collection to ensure that a sufficient number of facilities within each geographic unit are surveyed. States can also create geographic units during rate setting.

Few states differentiated geographic units at the data collection stage. Seventy-two percent (34) of states attempted to collect data from all regulated centers and family child care facilities, thus getting prices from most areas of the state. Twenty-eight percent (13) of states selected a sample of facilities from whom to collect data. Twelve of the 13 stratified the random sample (that is selected them based on a set of criteria such as age group or type of care) and 11 of them used geography in the stratification. In areas with no facilities, such as rural areas, having enough facilities in each geographic unit was an issue even in states that studied prices of the total population of facilities.

Geographic units used in market rate surveys included subcounty, county, county clusters, regional groups, urban versus rural. Figure 4 displays the geographic areas used in data analysis and rate setting. A region was the most commonly used geographic unit in analyses and county was most commonly used in rate setting. A number of states did not define geographic areas, that is, they used the whole state in analysis and rate setting.

Association between Demographic Characteristics of Communities and Child Care Prices

Several states have measured the association of child care prices with selected characteristics of communities. For all of these states, housing costs were found to be strongly correlated with child care prices.

California – Factors highly correlated with child care prices were median home value (>0.52), mean gross rent (>0.48), median real estate taxes (>0.46), and median household income (>0.42).

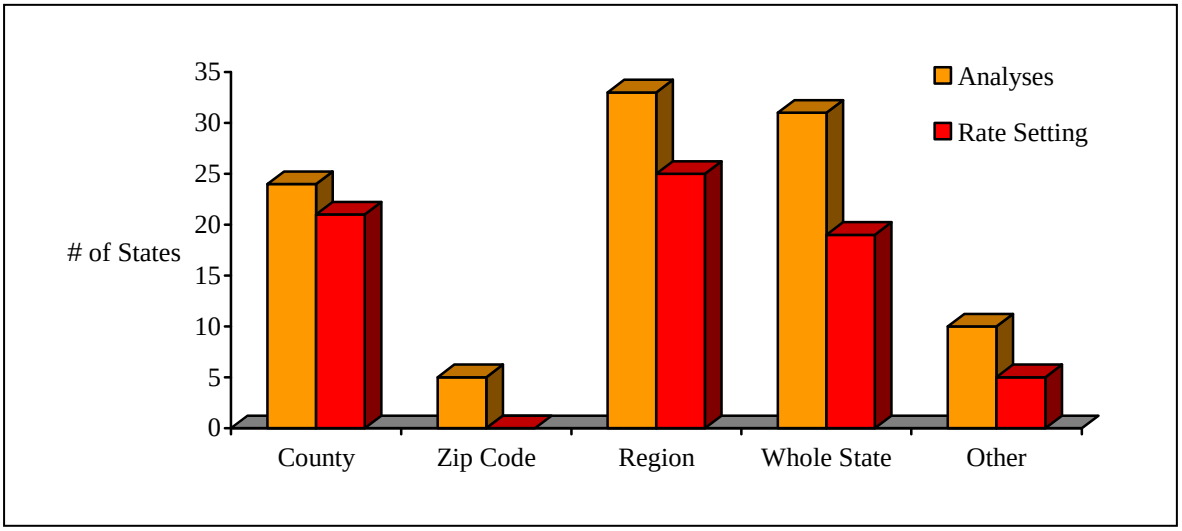
Illinois – Housing costs (median rent, median home value, median real estate taxes) were highly correlated (>0.80) with prices for both centers and family child care.

Minnesota – Fair market rent and average earnings were positively associated with child care prices (Davis and Li, 2005).

Oregon – Child care prices were most highly correlated with median housing costs (0.85) and mean gross rent (0.83) (Grobe, Weber, and Pratt, 2006). These patterns continue but to a lesser extent for household income, urban/rural classification, population, and percent urban.

Wisconsin – Child care prices were found to be highly correlated with median gross rent across ages and type of provider (> 0.71). Also significantly correlated with prices were percent urban and median family income (approximately 0.70 across ages and type of care).

Figure 4. Number of States Using Various Geographic Units in Analysis and Rate Setting, N=47



Pricing modes

The major pricing modes used by child care facilities are hourly, daily, weekly, or monthly. Most facilities price in a single mode, but some offer parents multiple modes, e.g., one price per hour and another price per month. Differences in pricing modes are a challenge to those doing market rate surveys. There may not be a linear relationship between different price modes. It is likely that a facility does not set a monthly price at 172 times its hourly price, for a variety of business related reasons, such as encouraging monthly enrollment. It may not be possible to validly convert prices charged by the hour into monthly prices by any *a-priori* formula. In addition, it is likely that the way facilities charge actually creates submarkets. For example, a family child care home with only a monthly fee is likely to differ from the family child care home with only an hourly fee on a number of other characteristics, such as the extent to which the provider perceives herself to be a professional and a business owner. Some states convert actual facility prices to one or a limited number of price modes. The conversion can be done during data collection or during data analysis.

The majority of states had the facility convert their actual prices into a small set of predetermined modes when collecting data and then reduced the number of modes further during analysis (18). Some states (13) collected facility data in a set of predetermined modes and analyzed in those modes. A third group of states (11) collected price data from facilities in whatever mode(s) the facility used and converted to a smaller set of price modes during analysis. Only five states collected, analyzed, and reported the data in whatever price mode facilities used.

Examples of Conversion Formulas Used by States

Conversion **to hourly** from a weekly price:
weekly price divided by 45 hour five day week

Conversion **to daily** from a weekly price:
weekly price divided by a five day week

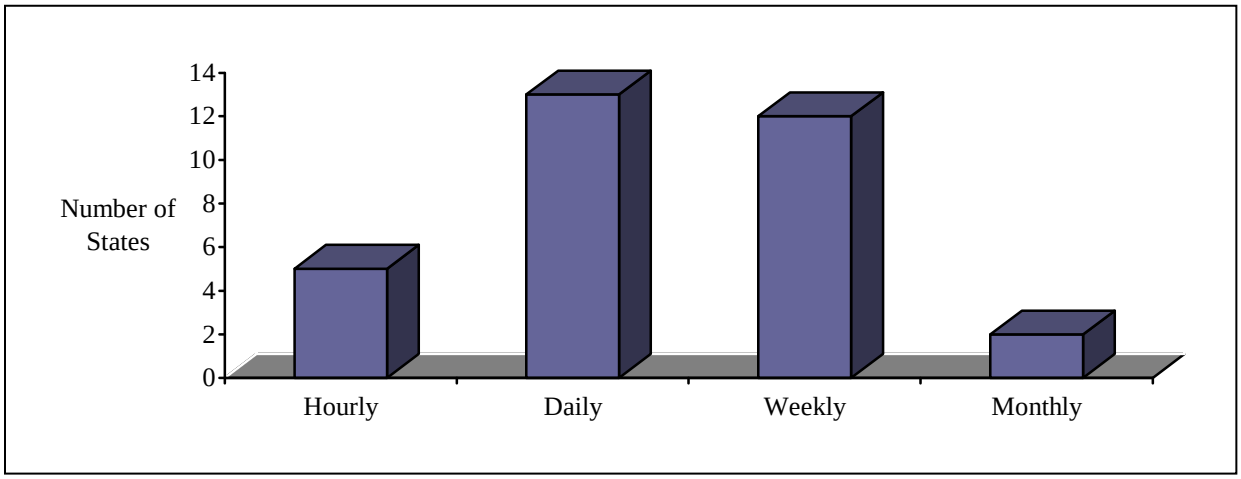
Conversion **to daily** from a monthly price:
monthly price divided by 21.65 or 21.75 or 22

Conversion **to weekly** from a hourly price:
hourly price times 45 or 40 hours per week

Conversion **to monthly** from a weekly price:
weekly price times 4.33 or 4.13

As shown in Figure 5, states most commonly converted reported prices to daily prices (13 states) and were almost as likely to convert to weekly prices (12 states). Few states (2) converted to monthly prices.

Figure 5. Price Conversions at Time of Analysis, N=28

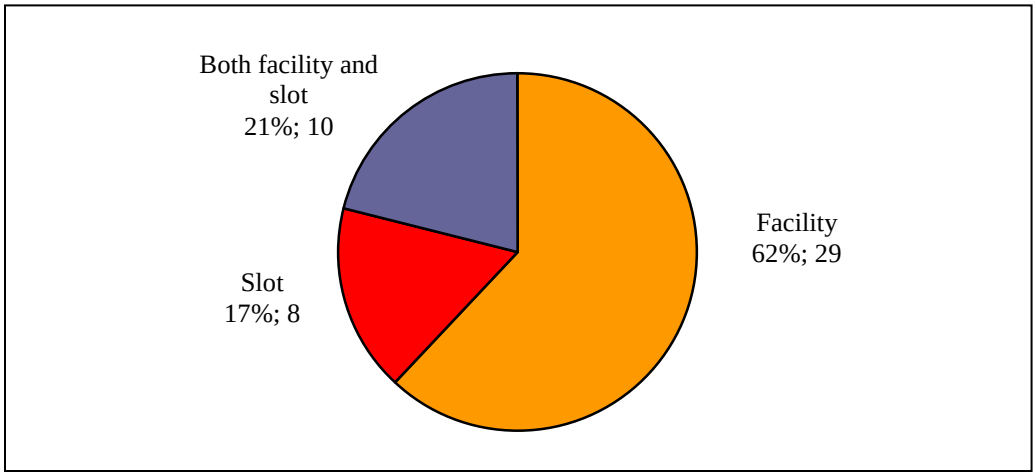


Note: A few states indicated more than one conversion mode. One state converted to hourly and daily; one state to hourly and weekly; one to hourly, daily, and weekly; and another state converted to half-day prices.

Unit of analysis

Given that the purpose of market rate surveys is to estimate prices that reflect the child care prices parents find in their community, another issue is whether prices should be analyzed by facility or be weighted by the number of child care slots the facility offers. The logic is that parents are looking for a child care slot and some facilities have six while others have over 100. For example, assume that the facility with 100 slots charged $300 per month and the facility with six slots charged $600. If one weighted by provider the average would be $450 but if weighted by slots it would be $317. Weighting by number of slots would produce findings that better reflect prices found in the community. The number of slots could be equal to the number of children for whom the facility was licensed, the facility's desired capacity, or the number typically in care. Sixty-two percent (29) of states analyzed price data by facility (Figure 6). Of those that analyzed by slot, actual current enrollment by age group was the most common basis for weighting child care slots (10 states), and weighting by licensed capacity for children by age group was the second most common method (5 states).

Figure 6. Number and Percent of States by Unit of Analysis Used, N=47



Data collection and analysis

Who asks the facility to report its prices and the uses of the reported information may affect what price is quoted. As with many other products and services in our society, child care prices are often not fixed. When the R&R asks what price to report to parents, facility staff may fear that families will not even consider her facility if prices are too high. Therefore she may either not release prices or report the lowest price so that parents will at least consider the program.

Who collected data and how they collected it varied across the states. As noted earlier in this report, 68% of states (32) collected data via some form of survey; almost a third by mail survey, a like amount by telephone survey, and the remainder of states used a combination of survey methods (Table 9). In 15 states the R& R (14) or licensing agency (1) collected price data and stored findings in the administrative database used to provide their primary service, R&R or licensing.

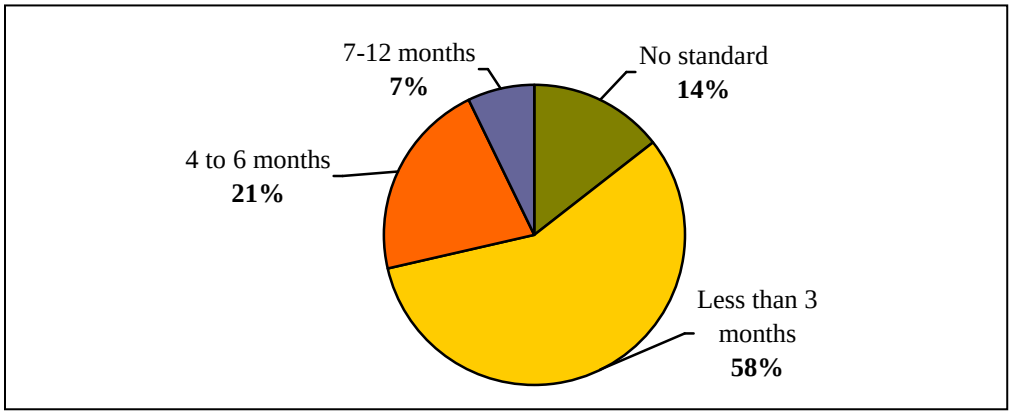
Table 9. Number and Percentage of States Using Various Survey Methods, N=32

Survey Mode	Number of States	% of States
Mail only	10	31%
Telephone only	10	31%
Mail and telephone	8	25%
Mail and web	1	3%
Mail, telephone, and web	3	9%

Currency. Currency of data is an issue when the database used in the market rate study is collected over a long period of time, as may be the case with both R&R and licensing databases. We asked states to describe how current the price data collected by R&Rs and the licensing agency were. Seventy-three percent (11) of R&Rs or licensing agencies called all facilities to update price information specifically for use in the market rate survey. Two states called some of their facilities and two states did not update price information from facilities prior to downloading the data for the most recent market rate survey.

We also asked states if they had standards for currency of data collected by the R&R or licensing agency. Although the majority did, over a quarter of states allowed the data to be four or more months old at the time that the data were downloaded for analysis (Figure 7).

Figure 7. Standard for Currency of Data at Time of Database Download, N=15



Note: One state indicated that their price data is updated by September 1st of each year.

Encouraging facility participation. Market rate survey findings are more likely to represent the prices of all facilities in the population being surveyed when a higher percentage of facilities respond to a survey. A number of strategies are available to increase response rates and thus increase the likelihood that findings accurately represent prices in the child care market. Table 10 displays methods used to increase the response rate to surveys and the number of states that used them.

Table 10. Number of States that Used Surveys that Employed Strategies to Increase Response Rate, N=32

	Number of States
Sent follow-up letters or called to encourage response	24
Used financial incentives to encourage participation	7
Made survey available in languages other than English	10
Other incentive strategies[a]	7

[a] Strategies included mailings from child care leaders encouraging participation prior to survey release, small gifts, and entry of name into a lottery for large gift.

Many R&Rs and the licensing agency also encouraged facilities to report price information to ensure that findings were representative of all facilities in the database (Table 11). Ten of the 13 states that updated the data before downloading it for the market rate survey analysis, conducted the update in languages other than English. Spanish was the other primary language spoken when updating price information.

Table 11. Number of States that Employed Various Strategies to Increase Reliability of Data Stored in R&R/Licensing Databases, N=13

Strategy	Number of States
Used follow-up letters or calls to encourage responses	7
State required facilities report price data as part of licensing or renewal[a]	5
Other strategies[b]	5
R&R required facilities report price data in order to be included in R&R database	3

[a] Only states that had the R&R or licensing agency update data were asked if reporting price data was required. Some of the states that conducted surveys may also require facilities to provide price data as part of licensing.

[b] Strategies included small gifts, and entry of name into a lottery for large gift, financial incentives to R&R for collecting data, providing price data required for participation in subsidy program

Note: Two states who used administrative databases did not indicate using any strategies to increase reliability.

Response Rate Calculation

$$\frac{\text{Completed surveys}}{\text{Completed surveys + Number of refusals + Number of eligible facilities that did not respond}}$$

Response rate. A response rate measures the proportion of the sample frame (i.e., total number of facilities a state tried to reach) represented by the facilities who completed the survey. The higher the response rate the better indication that those who completed the survey represent the facilities who were initially asked to fill out the survey. Calculating a response rate requires detailed knowledge of who completed the survey and who did not complete the survey and why (see box). We asked states to provide us with the following information in order to calculate response rates: number of facilities states tried to reach, number of facilities reached but who refused to participate, number of ineligible facilities dropped from the sample (e.g., not providing care, no valid telephone number, not charging for child care), number of facilities that completed the survey, and by default the number of non-responding facilities. Unfortunately, either the way we asked the questions or the respondent's interpretation of the questions resulted in data that could not be used to calculate response rates. For example, some states indicated 20 to 40 percent of the facilities were dropped from the sample, with zero facilities shown in the non-response category. It was difficult to determine whether non-response and dropped facilities were reported as one number or whether there were actually zero non-responses. Given that non-response is one piece of the response rate calculation, it was important to be confident in the numbers we were using. Therefore, a decision was made to not report findings on response rate even though a handful of states did provide all the necessary information.

Response burden. Time spent providing data can affect participation and thereby affect how well findings represent the population being studied. We asked states to estimate the average number of minutes a single facility needed to provide requested information. Response burden was an issue primarily in states that conducted surveys (Table 12).

Table 12. Number of States Reporting Number of Minutes Facilities Invested in Completing Market Rate Survey, N=44

Time Needed to Provide Requested Information	Number of Survey States	Number of R&R or Licensing Agency States
Less than 5 minutes	2	4
6-10 minutes	7	5
11-15 minutes	7	5
16-20 minutes	9	-
26-30 minutes	3	-
31-60 minutes	2	-

Note: Three states did not know the time needed to provide the requested information.

Improving accuracy. A number of strategies are available to ensure that surveys produce findings that accurately reflect prices in the child care market. Thirteen of the 32 states that conducted a survey field-tested or piloted their survey instrument during the most recent market rate study. Ten states made the survey available in languages other than English. Spanish was the most commonly available. In addition, Vietnamese, Cantonese and other languages were used as needed if staff spoke them. Eleven of the 32 states provided technical assistance to facilities on how to complete the survey.

Three quarters (35) of states checked price data for internal consistency. The majority (30) ran frequency distributions on prices and checked for outliers. Fourteen of these 30 states followed-up with facilities whose prices fell above or below a specific range. Two states conducted random follow-up calls with facilities to determine if the price data were reported consistently across time, and three states compared prices against other data sources.

Separate analyses. Some states have analyzed prices by other characteristics such as provider schedule (part-day, part-week) and quality (Table 13). A small number of states have attempted to identify prices of arrangements for children with special needs.

Table 13. Number of States Who Conducted Separate Price Analysis by Data Collection Method, N=47

Type of Analyses	Data Collected by a Survey (N=32)	Data Collected by and stored in R&R or Licensing Database (N=15)
Part-day prices[a]	17	3
Part-week prices[b]	7	-
Prices by structural quality indicators	5	1
Price trends over time	12	5
Prices for children with special needs	7	1
Other analyses[c]	8	2

[a] States defined part-day as three hours or less (2), four hours or less (3), less than five hours (7), less than six hours (6), hourly (1), and facility defined (1).

[b] States defined part-week as two to three days (2), 20 hours (1), less than 25 hours (1), facility defined (2), and one state did not respond.

[c] Other analyses included non-standard hour prices, facilities who take subsidized children versus those who do not, registration fees, GIS to examine location of facility in relation to child population, and prices in relation to median household income.

Note: In 17 states (36%) price data were collected for non-standard hours care and one state collected data on whether non-standard hours care was provided. The majority (12) of the 17 states defined non-standard hours as care before or after 6:00 during week days, weekend, and/or overnight care. The other states defined non-standard hours care as full-time plus care (2 states), night care (2 states), or non-traditional hours care (1 state).

Methodological Issues and Revisions. States were asked whether any methodological problems or issues were encountered during their market rate survey. Sixteen (35%) states indicated they did come across issues of validity similar to those discussed in this section. For example, eight of the 16 states mentioned facility representation problems including small sample sizes in some areas of the state, limited price updating in some R&Rs, and difficulty identifying particular types of facilities in their sample frames (e.g., Head Start centers). States (4) also mentioned concerns with data accuracy such as identifying outliers, questioning data entry, and using a new system that made calling facilities problematic in the early part of the survey. Conversion of prices, weighting by slots rather than facilities by type of care, establishing market segments, and issues of response burden were other methodological issues mentioned by states.

Twenty-eight percent (13) of states stated they made changes from the methods used in their previous market rate survey. The majority of these changes were made at the data collection or data analysis stage. One state moved from a statewide survey to using the data collected and stored in their R&R database, and another state expanded their data collection by providing both a mail and web-based version and then follow-up by telephone with facilities that did not respond. One state decided to ask facilities for only weekly prices and one asked for both school-year and summer school-age prices. Examples of changes made in data analysis included a more aggressive validation of outliers, analyses by slot, and elimination of part-time and non-traditional hours care because of limited price data.

Findings for Territories

The findings reported in this section are based on the data we received from the five territories. One territory completed all sections of the survey, two provided anecdotal information about how they conducted their market rate survey, and two territories did not respond to the survey.

The lack of complete data makes it difficult to generalize what we learned of territorial market rate survey practices and policies. We believe they are similar to the tribes in that most have a small population base, and thus a small number of facilities to be surveyed. Populations of territories ranged from 57,291 to 3,808,610; Puerto Rico has the largest population with the remaining four territories ranging from 57,291 to 154,805. The one territory that completed the survey indicated they surveyed 87 facilities.

Cultural views of children and families play a prominent role in administration of CCDF in the territories. American Samoa explained that in their culture there is an expectation that communities are responsible for the care of children and that individuals do not perceive the care they provide as a market service. They do not have the same system of child care that is seen in the states. Virgin Islands described a similar situation. At least some of the territories do not appear to have a child care market to study.

For the one territory with data, the lead agency carried responsibility for conducting all aspects of the survey. They used licensing and subsidy databases as their data source for identifying facilities, and collected data through a mail survey. The total cost of conducting their market rate survey was reported as $1,381. Based on survey findings, payment rates increased for some types of care and age categories, and they set their maximum payment rates at or above the 75th percentile of prices identified in their market rate survey. The most important factor in setting current rates was provider concerns, with demand for subsidies being the second most important factor.

Findings for Tribes

The findings reported in this section are based on the responses from the 28 tribes who conducted their own market rate survey. As reported earlier, these 28 tribes were identified through a survey of all 268 tribes that received a CCDF grant in 2004. We report tribal findings in two sections: (1) market rate survey practices and policies and (2) validity issues. In the first we describe the policies and administrative practices related to conducting the survey and setting rates. In the second we describe survey practices within a framework of the issues that affect the validity of survey findings.

Market rate survey practices and policies

Organizations that carried out market rate survey. Of the 27 tribes that described who carried responsibility for conducting market rate surveys, the CCDF lead agency carried responsibility for conducting the survey in each case. Although conducting the survey themselves, one tribe involved another tribal organization and three worked with a child care resource and referral agency (R&R).

Data sources and data collection. Tribes used a variety of data sources. Fourteen tribes used a list of facilities known to the tribe; in one case the tribal list was combined with R&R data and in three other cases, tribes combined the list with facilities identified in the phone book. Another three tribes used just

the phone book as their data source. The remaining ten tribes used licensing, R&R, or subsidy data alone or in combination. One tribe did not report its data sources.

Tribal expenditures on market rate surveys. The majority of tribes (23) reported that the costs of doing their market rate survey were not known as tribal staff carried out the survey as a part of their job. One tribe reported spending $58,904. The remaining four tribes reported spending from $28 to $1,414.

Rate setting. Only three of the 28 tribes set their maximum payment rates at or above the 75th percentile of prices identified in their market rate survey. Nine of the tribes that reported factors influencing where they set rates, reported that survey findings were a factor. Other factors, listed in order of how many tribes reported them as influencing rate setting were: tribal child care funding (6), the overall tribal budget (5), the number of families needing assistance (5), CCDF funding (4), and input from parents, providers, and tribal councils (4). Two tribes reported that where states set their ceilings was a factor.

Validity Issues

The validity of the prices identified through market rate surveys hinges on how a number of issues are dealt with. In the following section we explore our findings about tribal survey practices within a framework of the major validity issues: the child care market, child care submarkets, geographic definition of community, pricing modes, the unit of analysis, and data collection and analysis.

The child care market

One of the major issues in doing a market rate survey is the definition of the child care market; identifying which child care facilities make up the child care universe. In the case of small tribes, it was likely that those doing the survey knew all children and providers. In larger tribes, they needed a way to determine who provided child care and they needed contact information to survey them. Some tribal CCDF grantees are actually a consortium of tribes. Representatives of tribal consortia reported having little information on child care in tribes other than the one of which they were a part. Identifying the universe of child care facilities would be difficult for these consortia.

Some tribes lacked a centralized list of facilities through licensing or R&R. Of the 28 tribes that conducted their own market rate survey, 20 had a list of facilities regulated by the tribe. In seven tribes only the state regulated facilities. Some states that regulated tribal facilities may not have been able to provide the tribe with a list of just those facilities that provided care for tribal children or the list might not have included all facilities known to the tribe. The R&R may or may not have included facilities that cared for tribal children; five of the 28 tribes that conducted market rate surveys used the R&R as a data source but it may not have been a comprehensive list. Issues related to use of subsidy data bases are discussed below as a part of price issues.

In tribes with small numbers of children, tribes may have had contact information on all facilities in which care was provided even without a list. As noted above, over half of tribes who conducted their own market rate survey used a list of facilities that were known to the tribe. The extent to which these lists included all facilities that provided care affected how well the survey findings represented prices charged by tribal child care facilities.

Facilities included. Nineteen tribes surveyed centers and family child care homes; two also included family, friends, and neighbors. Eight surveyed only centers, although some of these had surveyed family child care providers in other years. One tribe surveyed only family child care providers. Of the 28 tribes that did market rate surveys, the number of surveyed facilities ranged from two to 150 with an average of 39.

Price issues. Not all child care facilities have a child care price. Head Start and some family, friends, and neighbors do not charge parents and therefore do not have a price which could be included in a market rate survey. In other facilities, the majority of children who received care were in families participating in the subsidy program. The prices charged by those facilities may have been highly influenced by where the tribe set its maximum payment rates. Another price issue flows from the fact that facilities sell different services; not all prices are for full-day full-week care and education. Tribes did not report excluding programs that either had no price or whose prices may have been heavily influenced by where the tribe set maximum subsidy payment rates. Tribes did deal with differences in services provided; 17 tribes differentiated full-day and part-day rates.

Child care submarkets and geographic definition of community

As noted earlier, it is likely that child care is a set of distinct submarkets that operate differently. Facility characteristics including age group, type of care, schedule and type of community affect prices. Sixteen tribes differentiated by age groups and 13 differentiated by type of care. Some tribes may have one community whereas other tribes have multiple communities distinguished one from another by housing prices and household incomes. No tribe reported differentiating maximum payment rates by geographic unit.

Pricing modes

Most child care facilities charge by the hour, day, week, or month. Price conversions may introduce error; a monthly rate is not likely to be the hourly rate times 172 so conversions based on such formulae are not likely to produce valid findings. Of the five tribes that reported on whether or not they converted prices to a standard mode, only one did so.

Unit of analysis

Another validity issue is whether prices should be analyzed by facility or be weighted by the number of child care slots the facility offers. The logic is that parents are looking for a child care slot and some facilities have six while others have over 100. Weighting by number of slots would produce findings that better reflect prices found in the community. Of the five tribes that reported on weighting, none weighted by number of slots.

Data collection and analysis

Who collected data and how they collected it varied across the tribes. In all instances, tribal staff collected the data, but positions of person who collected data varied. About half of tribes (14) conducted telephone surveys. Nine used a mail survey. Another two tribes used in-person visits as their data collection method. One tribe analyzed R&R data and two respondents did not know what method was used.

Response rates. Collecting price data from a high percentage of surveyed facilities increases the likelihood that the survey findings represent the prices in the community. Following up with facilities that did not respond was therefore important. All but two tribes followed up with facilities that did not respond; two followed up with telephone calls and five with visits.

Section III: Conclusions

The requirement for states and territories to conduct child care market rate surveys grew out of a Congressional desire to use a market approach in building the nation's investment in child care. This approach was introduced in 1988 with passage of the Family Support Act and continued in 1998 the Department of Human Services promulgated the CCDF rule which required states, territories, and tribes to ensure that parents who received a child care subsidy had access to the child care market. One measure of access was demonstrated by reference to a local market rate survey no more than two years old (§ 98.43 CCDF regulations). Tribes have had the option to use the findings of the market rate survey of the state in which they are located, although they are encouraged to survey facilities serving tribal children. Almost ten years have passed since the requirement to do market rate surveys was put in place. Child care administrative staff have experience conducting these studies. Key decisions related to the study have most often been made by state staff rather than researchers or other contractors: which population to survey, what questions to ask, how to interpret the findings, and how to disseminate findings. Outside researchers have been most commonly brought in to collect and clean data and sometimes to analyze data. Survey methods and costs have varied widely.

The major findings of our survey of states, territories, and tribes are related to the nature of the child care market itself. The child care market includes an array of facilities including licensed, and in some states license-exempt centers, licensed and license-exempt family child care homes, and the homes of family, friend, and neighbor caregivers. Child care services are also provided in the home of the child and in community locations where enrichment activities such as after-school classes serve as child care for some children. States, territories, and tribes must decide which of these facilities to survey. Three databases of providers are available: licensing, R&R, and subsidy. Most or all licensed facilities are included in R&R databases and typically in some states R&Rs also include legally exempt facilities in their databases. States, territories, and tribes used one or a combination of these three lists although none used the subsidy list by itself. Some legally-exempt facilities may be in the R&R or subsidy list but to capture a representative sample of these facilities, the jurisdiction would need to do a household survey.

An issue for both territories and tribes is the amount of child care that has prices determined by what parents not receiving assistance pay. Many family, friends, and neighbors do not have prices, nor do Head Start programs. If these types of care make up the majority of care, there may be a small number of families on which to establish market prices.

The market is composed primarily of small for-profit and not-for-profit businesses. The complexity of this market is striking. The findings of a child care market rate survey are valid to the extent that they match the prices parents find when looking for care in their community. Therefore, the better survey design and administration deal with market complexities, the more valid the findings.

The presence of child care facilities that do not charge parents contributes to child care market complexity. The majority of family, friend, and neighbor caregivers do not charge parents for their services. Public and philanthropic funds cover the cost of other services such as Head Start or universal pre-kindergarten programs that do not have a price or typical charge parents. Yet it is likely that the presence of child care facilities without fees affects market functioning; that is, the presence of no-fee child care services probably affects the prices of facilities that do charge parents. Although tribal and

territorial markets are smaller than those of states, they may not be less complex. Over half of states exclude from analysis Head Start and state-funded pre-kindergarten programs whose costs are predominantly paid with public and philanthropic funds. It is not clear how tribes handle these facilities in their surveys.

Partial public support also adds complexity to the child care market. Prices in some facilities may be highly influenced by where the state sets maximum subsidy payment rates. For example, facilities serving a high percentage of children whose care is subsidized may not have prices determined by what parents are willing and able to pay. A quarter of states exclude facilities that serve only subsidized children and a few exclude those with a high percentage of children who receive a subsidy. Tribes appear to include these facilities in their market rate surveys.

Rather than a single market in states, it appears that child care consists of multiple submarkets differentiated by service characteristics including age group, type of care, and schedule. Most states and tribes differentiate the child care market by age group and type of care when conducting market rate surveys. Both in conducting surveys and in rate setting, almost all states differentiate by age groups although about a quarter do not differentiate school-age summer and school-year prices. Similarly, almost all states study the prices of centers and family child care homes separately. About two-thirds also study large family child care homes as a distinct group and over half study school-age centers separately. Only a few states collect prices for services provided in the child's home or in the home of family, friends, and neighbors and even fewer collect prices of school-age enrichment activities. Tribes were less likely than states to differentiate by age groups, types of care, and other characteristics that create submarkets.

Facility schedules also create submarkets. Some facilities sell a service that is not full-day, full week care. For example, it is common for centers to have programs for two to three days a week in the morning. Almost half of states collect part-day prices separately and a few also separate out part-week services. Over half of tribes differentiate full-day and part-day when collecting price data.

Although it is widely accepted that child care prices vary by characteristics of communities, no consensus has emerged about how to define community for collecting data or setting rates. States are challenged when developing an operational definition of geographic community. A number of issues complicate the task of identifying the geographic unit to be used in either data collection or rate setting. First, states differentiate by age group, type of care, and schedule and may be reluctant to further differentiate by a large number of geographic units. Second, states that have studied how prices cluster have found that there are a limited number of price clusters, and that there may well be more than one cluster within a county. For example, when a university town exists within a rural county it is likely that child care prices in that town will be considerably higher than the prices in the remainder of the county. The dilemma is that a relatively small number of price clusters may exist but they usually do not line up with the boundaries of counties or regions. Even though a state may have only three price clusters, any one county may include more than one. Similarly, one price cluster may match communities separated by hundreds of miles. Using the example of the university town in a rural community, the prices may be the same in all the state's university towns even those these towns are spread across the states. The most commonly used geographic units used by states are county, region, and whole state; a few use zip code or another smaller unit. Given that it is likely that prices do vary across a state, it is unlikely that a single statewide rate will result in prices that a parent will find in any given community. For some tribes everyone lives in the same

community but for others there are multiple communities and prices may vary across these communities. Tribes that do their own market rate survey do not appear to differentiate prices by community.

How many different pricing modes a state uses in collecting data is likely to affect how closely the identified prices represent the prices that parents will find in their community. Child care facilities commonly price services by the hour, day, week, or month. Most child care facilities charge in a single mode. If facilities have to report their prices in modes other than those they use when charging parents, or if their reported prices are converted into another mode, error may be introduced. The majority of states either have facilities convert to a small set of predetermined pricing modes or do conversions themselves. Tribes appear to collect prices in whatever mode the facility uses; only one tribe reported converting prices to a standard mode.

Weighting prices by the number of slots that a child care facility has may affect how well findings represent the prices parents find in their community. Some child care facilities have six slots while others have 100. Weighting by the number of slots would appear to result in more representative price findings. Only a third of states weight findings by number of slots. None of the tribes appear to weight findings by slots.

Who collects price data from child care facilities may also affect how closely those prices reflect the prices parents find in the market. The price a child care facility reports may vary depending on who asks for the information and how the information will be used. The prices of many goods and services in American society vary or are negotiated. When reporting prices to a R&R, child care facilities may fear that reporting higher prices to parents will lead them not even to consider their services and therefore may report their lowest price. About two-thirds of states collect data via a survey sent by the state or a contractor working on behalf of the state. Almost a third of states have the local R&R agencies collect price data from the parents and store it in their administrative databases. Although those prices are also collected on behalf of the state agency, they will also be reported to parents who contact their R&R. Most tribal data is collected by tribal staff using phone or mail surveys. Only one tribe reports analyzing R&R administrative data.

In a discussion of market rate surveys, two characteristics of tribes need special attention: size and option of whether or not to conduct their own survey. The populations of tribes are smaller than those of states. Of the 239 tribes the research team talked with in an initial survey, half had populations of 2,000 or less. The smallest had a population of 90 and the largest of over 300,000. Populations of surveyed states ranged from 493,782 to 33.9 million. The tribal median population was around 2,000 compared to the state median of 4.3 million. The population of children under the age of 13 in tribes was also much smaller, averaging 2,371 and ranged from 50 to 60,547, whereas the number of children under 13 in the states averaged 1,183,440 and ranged from 92,466 to 7,289,433. Half of the tribes had 773 or fewer children whereas half of the states had 861,490 or fewer children. In each case, the largest tribal numbers were smaller than those of the smallest state. Smaller numbers of children are associated with smaller numbers of facilities. Although validity issues are as relevant for tribes as for states, ways of ensuring valid findings may differ. Tribal methods are likely to vary from those used by states because of size differences.

Unlike states and territories, tribes have an option of whether or not to do their own market rate survey and the majority (88%) use state survey findings. Use of state survey findings to ensure subsidy families

access to all types of care raises other validity issues; do the findings from the state market rate survey represent the prices of facilities that care for tribal children? The majority of tribes (53%, 122) report that tribal facilities are included in the state's market rate surveys, but it is unclear if this is a representative group of tribal facilities. About three-quarters (76%, 93) of tribes that use the state market rate survey findings check to be sure identified prices are close to those of tribal facilities known to them and 68% (156) of all tribes believe that it is important for tribes to check to be sure that the prices identified by the state survey match those of tribal facilities. The process of checking state survey findings raises issues about the representativeness of the prices the tribe is using in its comparisons and what to do with state findings if the tribe documents that the findings do not represent those of tribal facilities. Tribes face the challenge of identifying child care prices that validly represent those of facilities that serve tribal children whether they do their own market rate survey or use findings from the survey conducted by the state.

Producing market rate survey findings that represent the prices families will find when seeking care in their community is challenging for states, territories, and tribes because of the complexity of child care markets. Child care markets in territories and tribes are smaller and in that sense may be easier to study, but they are also complex. The survey of market rate survey practices and policies in states, territories, and tribes has clarified validity issues related to survey design and administration. A second study, now underway, will provide additional information on the extent to which different survey methods or inclusion of different child care facilities in market rate studies affects findings. Together the two studies will provide guidance for states, territories, and tribes in conducting child care market rate surveys whose findings validly represent child care prices.

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Appendices

Appendix A: Research Project Advisory Committee Members

Name	*Organization*
Mark Anderson	Oregon Department of Human Services
Doug Baird	Associated Early Care and Education, Inc.
Leigh Bolick	South Carolina Department of Social Services
Rick Brandon	Human Services Policy Center, University of Washington
Ann Collins	Abt Associates
Marsha Engquist	National Child Care Association
Eric Karolak	National Child Care Information Center
Pauline Koch	National Association for Regulatory Administration
Linda Kills Crow	Tribal Child Care Technical Assistance Center
Pauline Koch	National Association for Regulatory Administration
Cherie Kotilinek	Children and Family Services, Minnesota Department of Human Services
Janet Marsh	Institute on Family and Neighborhood Life, Clemson University
Davida McDonald	National Association for the Education of Young Children
Kathy Modigliani	The Family Child Care Project
Debbie Moore	National Association for Family Child Care
Deborah Neill	Child Care, Adult, and Community Programs, Tennessee Department of Human Services
Peggy Strain-O'Brien	SPHERE Institute
Erin Oldham	Glenwood Research
Dawn Ramsburg	Child Care Bureau
Rose Ribeiro	National Child Care Information Center
Laura Schrager	Department of Early Learning, Washington
Joyce Shortt	National Institute on Out-of-School Time
Nina Stanton	Tribal Child Care Technical Assistance Center
Louise Stoney	Stoney Associates
Marsha Thompson	Indiana Association for Child Care Resource and Referral
Karen Tvedt	Student at Portland State University and formerly with the ACF Child Care Bureau and the State of Washington
Mildred Warner	Department of City and Regional Planning, Cornell University
Office of Planning, Research, and Evaluation	
Ivelisse Martinez-Beck	Office of Planning, Research, and Evaluation

Appendix B: Survey Instrument

Section A. Administration/Organization of Market Rate Survey

This section should be completed by someone who is very familiar with the overall administration or organization of the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

A1. What jurisdiction (state, territory, or tribe) do you represent?

- ☐ state, please indicate state: _____
- ☐ territory, please indicate territory: _____
- ☐ tribe, please indicate tribe: _____ [go to question A2]

A1a. Does your state or territory regulate any child care providers who are located on an Indian reservation or in a tribal service area?

- ☐ No
- ☐ Yes, some non-exempt tribal providers are regulated by our state or territory
- ☐ Yes, all non-exempt tribal providers are regulated by our state or territory

A2. In your jurisdiction are there legally binding statutes or administrative rules that affect the jurisdiction's market rate survey?

- ☐ No [go to question A3]
- ☐ Yes

A2a. Which of the following aspects of the market rate survey do these statutes or rules affect?

NO	YES	
☐	☐	how frequently market rate surveys are conducted
☐	☐	the content of market rate surveys
☐	☐	how the survey is done; the survey process
☐	☐	who must be included in the survey sample
☐	☐	the relationship of maximum payment rates to rate findings
☐	☐	other aspects of the rate setting process, please specify: _____

A2b. If yes, please list the number and titles of all statutes or administrative rules that affect or govern the market rate survey in your jurisdiction

_____

_____

A3. When was the most recent child care market rate survey completed in this jurisdiction? (Note: If you are currently conducting a market rate survey use the date of the most recently completed survey. Also, if actual day is unknown, enter 15) _ _/_ _ /_ _ _ _

(MM) (DD) (YEAR)

A4. By federal rule each state and territory is responsible for assuring a market rate survey has been done within two years of the completion of CCDF fund plans. Tribes may conduct their own survey or use their states. Some CCDF lead agencies actually conduct the market rate survey, other lead agencies delegate or contract out all or some aspects of the market rate survey. In reference to the most recently completed market rate survey, please indicate with an X the organization that had PRIMARY responsibility for actually conducting each of the following tasks.

	State/ Territorial/ Tribal Lead Agency	Other state/ territorial/ tribal agency	State Resource and Referral Network	Contractors			This task was not done in most recent market rate survey
				University	*Firm*	*Other*	
Determined which providers/facilities to include							
Created survey questions							
Provided assistance to help providers complete survey							
Collected rate data from providers/facilities							
Entered rate data or inputted rate data into licensing or R&R database							
Cleaned the rate data (i.e., identified and corrected clearly erroneous data)							
Analyzed rate data							
Interpreted findings							
Wrote reports on findings							
Disseminated results							

A4a. Please list the NAME of each organization marked by an X in one of the above columns:

Other state/territorial/tribal agency: _____
State Resource and Referral Network: _____
University contractor: _____
Research or Survey Firm: _____
Other contractor: _____

A5. Some lead agencies use advisory groups to guide their market rate survey process. Others do not. Did your lead agency use an advisory group to guide your most recently completed market rate survey?

- ☐ No [go to question A6]
- ☐ Yes

A5a. Was this advisory group: *(check only one)*

- ☐ created specifically to guide the market rate survey
- ☐ an existing group for child care issues/policies
- ☐ other, please specify the group's purpose: _____

A6. As the person who is very familiar with the administration/organization of the most recently completed market rate survey, how would you rate the:

	EXCELLENT				POOR	Don't Know
Accuracy of the rate findings	5	4	3	2	1	☐
Cost-effectiveness of the market rate survey process	5	4	3	2	1	☐
Ease of managing the market rate survey process	5	4	3	2	1	☐

A6a. If you indicated 1 or 2 for any of the above, what are your concerns?

_____

A7. All market rate surveys have costs. In this question, please estimate the cost of the most recently completed market rate survey in your jurisdiction (state, territory, tribe). *(Note: Enter only digits not commas or periods)*

Lead CCDF Agency personnel costs (include all salaries and fringe benefits)	
Staff 1	$
Staff 2	$
Staff 3	$
Non-personnel costs (excluding contractor costs)	$
Another state/territorial/tribal agency personnel costs (include all salaries and fringe benefits)	
Staff 1	$
Staff 2	$
Staff 3	$
Non-personnel costs (excluding contractor costs)	$
If contractors were used, what was the total contracted amount paid to:	
State Child Care Resource & Referral Network	$
University/college	$
Research or survey firm	$
Other contractor	$
TOTAL costs for most recently completed market rate survey	**$**

A8. Are state, territorial, or tribal funds, including CCDF and TANF block grant funds, being invested in Child Care Resource & Referral services?

- ☐ No [go to question A9]
- ☐ Yes

A8a. What is the total annual amount of these funds invested in CCR&R services? $

A8b. Does the CCR&R system have a person responsible for ensuring accurate and reliable data (e.g., they do staff training, data management, checking for data consistency)

- ☐ No
- ☐ Yes

A9. Market rate surveys are designed to inform child care subsidy programs. Please indicate how satisfied you are with each of the following different aspects of the subsidy program in your jurisdiction: *(Note: This question will not be reported by state, territory, or tribe, but will be aggregated across jurisdictions).*

	VERY SATISFIED				VERY DISSATISFIED	Don't Know
The adequacy of current rates paid for subsidized care	5	4	3	2	1	☐
The proportion of eligible families served	5	4	3	2	1	☐
The quality of child care for subsidized children	5	4	3	2	1	☐

Section B. Provider Population and Sample

The following section should be completed by the person(s) most knowledgeable about actual sampling procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

B1. What source(s) were used to identify providers/facilities to participate in the most recently completed market rate survey? *(check all that apply)*

- ☐ database of providers/facilities regulated by child care ***licensing*** agency
- ☐ database of providers/facilities receiving child care ***subsidies***
- ☐ database of providers/facilities enrolled with a child care ***resource and referral*** agency
- ☐ ***other***, please specify _____

B2. What were your reasons for identifying providers from this database(s)? *(check all that apply for each source you indicated in B1)*

Licensing Database	**Subsidy Database**	**R&R Database**	**Other Database**
☐ most up-to-date	☐ most up-to-date	☐ most up-to-date	☐ most up-to-date
☐ most complete	☐ most complete	☐ most complete	☐ most complete
☐ most accurate	☐ most accurate	☐ most accurate	☐ most accurate
☐ no cost or minimal cost	☐ no cost or minimal cost	☐ no cost or minimal cost	☐ no cost or minimal cost
☐ required to use by statute or rule	☐ required to use by statute or rule	☐ required to use by statute or rule	☐ required to use by statute or rule
☐ other, please specify: _____	☐ other, please specify: _____	☐ other, please specify: _____	☐ other, please specify: _____

B3a. Some jurisdictions identify providers from multiple databases in their final dataset. Does the final dataset of providers include regulated providers/facilities (centers and family child care providers/facilities, including regulated after school program)?

- ☐ None [go to question B3b]
- ☐ Some
- ☐ Most
- ☐ All

B3a1. What was the total number of regulated providers/facilities in the final dataset? _____

B3a2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3b. Does the final dataset of providers include family child care providers/facilities who are legally exempt from regulation?

- ☐ No [go to question B3c]
- ☐ Yes

B3b1. What was the total number of family child care providers/facilities in the final dataset? _____

B3b2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3c. Does the final dataset of providers include family, friends, or neighbors who are legally exempt from regulation? Please specify which ones (family, friends, neighbors):

- ☐ No [go to question B3d]
- ☐ Yes

B3c1. What was the total number of family, friends, or neighbors in the final dataset? _____

B3c2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3d. Does the final dataset of providers include centers that are legally exempt from regulation?

- ☐ No centers are exempt from regulation in jurisdiction [go to question B3e]
- ☐ No [go to question B3e]
- ☐ Yes

B3d1. What was the total number of centers in the final dataset? _____

B3d2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3e. Does the final dataset of providers include after-school education (non-regulated)?

- ☐ No [go to question B3f]
- ☐ Yes

B3e1. What was the total number of after-school education providers/facilities in the final dataset? _

B3e2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3f. Does the final dataset include providers located on military facilities?

- ☐ No [go to question B3g]
- ☐ Yes

B3f1. What was the total number of military providers/facilities in the final dataset? _____

B3f2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3g. Does the final dataset of providers include providers/facilities located on tribal reservations or in tribal service areas and regulated by the state?

- ☐ No [go to question B3h]
- ☐ Yes, some
- ☐ Yes, all

B3g1. What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)? _____

B3g2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

B3h. Does the final dataset of providers include providers/facilities located on tribal reservations or in tribal service areas and regulated by the tribe?

- ☐ No [go to question C1]
- ☐ Yes, some
- ☐ Yes, all

B3h1. What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)? _____

B3h2. What type of sample was selected? *(check only **one** response)*

- o total population
- o random sample
- o stratified random sample; stratified by what characteristics: _____

Section C. Data Collection

The following section should be completed by the person(s) most knowledgeable about actual data collection procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

C1. Some states/territories/tribes collect data from providers through surveys, while others use data collected by Resource and Referral or Licensing agencies. What type of data collection method was used for the most recently completed market rate study?

- ☐ data collected by mail, telephone, and/or web-based survey [go to question C1a]
- ☐ data collected by Resource and Referral and/or Licensing [go to question C1b]

C1a. What specific data collection method was used? (check all that apply)

- ☐ Mail survey of providers/facilities [go to question C2]
- ☐ Telephone survey of providers/facilities [go to question C2]
- ☐ Web-based survey of providers/facilities [go to question C2]

C1b. What specific data collection method was used?

- ☐ Resource and Referral agency download [go to question **C7**]
- ☐ Licensing agency download [go to question **C7**]
- ☐ Other, please specify: _____ [go to question **C7**]

C2. Was the survey field-tested or piloted before it was used?

- ☐ No [go to question C3]
- ☐ Yes

C2a. If yes, which of the following provider types were included in the pilot? *(check all that apply)*

- ☐ Regulated providers/facilities (centers and family child care providers/facilities, including regulated after school programs)
- ☐ Family child care providers/facilities who are legally exempt from regulation
- ☐ Family, friends, or neighbors who are legally exempt from regulation
- ☐ Centers that are legally exempt from regulation
- ☐ After-school education (non-regulated)
- ☐ Providers located on tribal reservations or in tribal service areas and regulated by the state
- ☐ Providers located on tribal reservations or in tribal service areas and regulated by the tribe

C2b. What was the TOTAL number of providers/facilities in the pilot sample? _____

In answering the following questions, consider the most recently completed market rate survey; not the pilot.

C3. Was the survey available in any languages other than English?

- ☐ No
- ☐ Yes, what other languages? _____

C4a. From the population of providers/facilities from all sources, how many providers/facilities did you try to reach in the most recently completed market rate survey? _____

C4b. How many providers/facilities were reached but refused to participate? _____

C4c. How many providers were dropped from the sample (e.g., they currently are not providing care, no valid telephone number, not charging for child care, etc.)

C4d. How many providers/facilities completed the survey? _____

C5. Were any of the following strategies used to encourage providers/facilities to participate? *(check all that apply)*

- ☐ financial incentives for respondents, if so how much: $_ _ _._ _
- ☐ follow-up letters or calls to encourage response
- ☐ training/technical assistance on how to complete the survey
- ☐ other incentives, please specify: _____

C6. For the most recently completed market rate survey, in what month, day, and year was data collection completed? (If actual day is unknown, enter 15) [go to question **C13**] _ _/_ _ /_ _ _ _
(MM) (DD) (YEAR)

Skip from C1b start here

C7. In some states, CCR&R and Licensing agencies call providers to update rate information specifically for use in the market rate survey. In your state, territory or tribe, did the CCR&R or licensing agency update rate data for the most recently completed market rate survey?

- ☐ No [go to C10]
- ☐ Yes, updated rate information from some providers
- ☐ Yes, updated rate information from all providers

C8. Was this update conducted in any languages other than English?

- ☐ No
- ☐ Yes, what other languages? _____

C9. Were any of the following strategies used to encourage providers/facilities to give up-to-date rate information? *(check all that apply)*

- ☐ providing rate data is required to be included in the CCR&R database
- ☐ providing rate data is required as part of licensing or re-licensing
- ☐ financial incentives for providers, if so how much: $_ _ _._ _
- ☐ follow-up letters or calls to encourage response
- ☐ other incentives, please specify: _____

C10. Estimate the number of providers in the entire database who do not report price data? _____

C11. What is your jurisdictions' standard for how recent the CCR&R or Licensing data should be at the time of the download?

- ☐ No standard
- ☐ Rate data should be less than 3 months old at time of download
- ☐ Rate data should be 4- 6 months old at time of download
- ☐ Rate data should be 7-12 months old at time of download
- ☐ Other, please specify _____

C12. When (on what date) was CCR&R or licensing agency data downloaded for the most recently completed market rate survey? (If actual day is unknown, enter 15) _ _/_ _ /_ _ _ _
(MM) (DD) (YEAR)

ALL Respondents

C13. What is your BEST estimate of the time needed for a single provider/facility to provide the requested information?

- ☐ Less than 5 minutes
- ☐ 6-10 minutes
- ☐ 11-15 minutes
- ☐ 16-20 minutes
- ☐ 21-25 minutes
- ☐ 26-30 minutes
- ☐ 31-60 minutes
- ☐ over one hour
- ☐ don't know

C14. Which of the following statements BEST describes how providers/facilities reported rate information for the most recently completed market rate survey?

- ☐ Providers/facilities reported their rates into pre-determined modes provided on the survey (e.g., hourly, daily, weekly, monthly) [go to question C15]
- ☐ Providers/facilities reported their actual rates in whatever mode they charge

C14a. Were the providers/facilities themselves asked to convert their rates to some standard mode such as to monthly or hourly rate?

- ☐ No
- ☐ Yes

C15. Were reported rates checked for internal consistency (e.g., data checks to confirm that data was within reasonable range)?

- ☐ No
- ☐ Yes, please describe the procedures used to check for consistency of rate data:

_____

C16. In the most recently completed market rate survey, were rate information collected:

NO	YES	
		By Modes of pricing
☐	☐	hourly
☐	☐	daily
☐	☐	weekly
☐	☐	monthly
		From No-fee centers or programs
☐	☐	Head Start / Early Head Start programs
☐	☐	State-funded pre-kindergarten programs
☐	☐	Migrant Head Start
☐	☐	Other no fee programs; please specify: _____
		For
☐	☐	Non-standard hours care, defined as: _____
		By schedule
☐	☐	Part-day
☐	☐	Part-week
		By age categories
☐	☐	Infant care
☐	☐	Toddler care
☐	☐	Preschool-age
☐	☐	School-age

→ If YES for school-age, were rate information collected by: *(check all that apply)*

- o School-age school year
- o School-age summer
- o None of the above

C16a. Do these age categories parallel your state, territory, or tribes' regulatory age categories?

- ☐ Yes
- ☐ No

C17. In conducting market rate surveys, some states/territories/tribes ask providers/facilities about serving a high percentage of subsidized children. Did you ask providers/facilities the number or percent of children in care who receive a subsidy?

- ☐ No [go to question C19]
- ☐ Yes

C18. Were any providers/facilities with subsidized children excluded from your jurisdiction's most recently completed market rate survey?

- ☐ Don't know [go to question C19]
- ☐ No [go to question C19]
- ☐ Yes

  C18a. What percent of subsidized children lead to exclusion of provider? ___%

C19. Some states/territories/tribes collect additional, fee information from providers/facilities. In the most recently completed market rate survey, which, if any, of the following types of provider/facility information were collected or available in the database you used: *(check all that apply)*

Other price information

Registration fees	☐
Transportation fees	☐
Food fees	☐
Activity fees	☐
Other, please specify _____	☐

C20. In the most recently completed market rate survey, which, if any, of the following types of provider/facility non-rate information were collected or available in the database you used: *(check all that apply)*

Provider information

- Licensed capcity for children ☐
- Licensed capacity for children by age group ☐
- Desired capacity of children ☐
- Desired capacity of children by age group ☐
- Actual current enrollment of children ☐
- Actual current enrollment of children by age group ☐
- Other, please specify: _____ ☐

Provider/Caregiver Characteristics

- Home based provider education level ☐
- Home based provider training level ☐
- Home based provider wages ☐
- Home based provider benefit level ☐
- Center based provider education level ☐
- Center based provider training level ☐
- Center based provider wages ☐
- Center based provider benefit level ☐
- Other; please specify_____ ☐

Facility Characteristics

- Group size ☐
- Adult-child ratio ☐
- Accreditation status ☐
- Other sources of support for care ☐
- For-profit/nonprofit status ☐
- Sponsorship ☐
- Other; please specify_____ ☐

Provider's actual cost of providing care including insurance, space and utility costs, etc. ☐

Section D. Data Analysis

The following section should be completed by the person most knowledgeable about the analyses conducted in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

D1. In conducting market rate surveys, some states/territories/tribes exclude some types of providers/facilities from their rate analysis. Which, if any, of the following types of providers/facilities were excluded from the rate analysis in your jurisdiction's most recently completed market rate survey? *(check all that apply)*

No-fee centers or programs

- ☐ Head Start programs
- ☐ State-funded pre-kindergarten programs
- ☐ Migrant Head Start
- ☐ Providers/facilities serving only subsidized children
- ☐ Providers/facilities serving a high percent of subsidized children. If yes, what percent lead to exclusion: ___ %
- ☐ Part-day, part-week programs
- ☐ Other types of providers/facilities, please specify: _____

D2. In your analysis of the most recently completed market rate survey, was your unit of analysis provider/facility, slot, or both?

- ☐ Provider/facility [go to question D3]
- ☐ Slot [go to question D2a]
- ☐ Both [go to question D2a]
- ☐ Don't know [go to question D3]

D2a. What was used as a basis for weighting child care slots in the most recently completed market rate survey? *(check all that apply)*

- ☐ Licensed capacity for children
- ☐ Licensed capacity for children by age group
- ☐ Desired capacity of children
- ☐ Desired capacity of children by age group
- ☐ Actual current enrollment of children served
- ☐ Actual current enrollment of children served by age group
- ☐ Other, please specify: _____

D3. Below are several ways in which market rate survey data can be analyzed for reporting purposes. In which ways were rate data analyzed in your most recently completed market rate survey?

NO	YES	Don't Know	
			By geographic areas
☐	☐	☐	county
☐	☐	☐	zip code
☐	☐	☐	region (could include county clusters)
☐	☐	☐	whole state, territory, or tribal reservation/service area
☐	☐	☐	other, please specify: _____
			By age categories
☐	☐	☐	infant
☐	☐	☐	toddler
☐	☐	☐	preschool-age
☐	☐	☐	school-age

→ If YES for school-age, were rate information collected by: *(check all that apply)*

- o School-age school year
- o School-age summer
- o None of the above

			By Type of care
☐	☐	☐	center
☐	☐	☐	family child care home
☐	☐	☐	family child care group home
☐	☐	☐	in-home
☐	☐	☐	family, friends, or neighbors
☐	☐	☐	school-age centers
☐	☐	☐	school-age enrichment activities
			By Modes of pricing
☐	☐	☐	hourly
☐	☐	☐	daily
☐	☐	☐	weekly
☐	☐	☐	monthly

D4. In your most recently completed market rate survey, were separate analyses conducted to examine any of the following? *(check all that apply)*

- ☐ Part-day rates; what hours defined part-day? _____
- ☐ Part-week rates; how many days defined part-week?_____
- ☐ Rates for care of children with special needs
- ☐ Rates in limited markets such as rural areas
- ☐ Rate trends over time (e.g., last 3 years)
- ☐ Rates by structural quality indicators such as group size, adult-child ratio, education and training level, turnover rate, compensation, or accreditation
- ☐ Other analyses, please specify: _____

D5. In the analysis, were rates reported by providers converted to some standard mode such as to a monthly or hourly rate?

- ☐ No [go to question D6]
- ☐ Yes

  D5a. What standard mode was used? _____

  D5b. What method or formula was used to convert the reported rates to the standard mode? _____

D6. In your jurisdiction's most recently completed market rate survey, was child care accessibility estimated? (the percent of market to which families with subsidies have access estimated)

- ☐ No [go to question D7]
- ☐ Yes

  D6a. How was child care accessibility calculated? _____

  D6b. To approximately what percentile of the market do current rates provide access? ____

D7. Were any methodological problems or issues encountered in the most recently completed market rate survey?

- ☐ No
- ☐ Yes, please describe: _____

D8. For the most recently completed market rate survey, was the methodology changed or revised from methodologies used in the previous market rate survey?

- ☐ No [go to question D9]
- ☐ Yes, please describe what changes or revisions were made to the market rate survey methods: _____

D9. Are you currently conducting a market rate survey?

- ☐ No [go to question D10]
- ☐ Yes

D9a. Are you using a different method than in your most recently completed market rate survey?

- ☐ No [go to question E1]
- ☐ Yes

D9a1. Please describe this method:_____

D9a2. Would you be willing to complete this survey again for the market rate survey you are currently conducting?

- ☐ No [go to question E1]
- ☐ Yes [go to question E1]

D10. Do you anticipate that the method used in the most recently completed market rate survey will be repeated in future surveys?

- ☐ Yes [go to question E1]
- ☐ No

D10a. If no, why not? _____

Section E. Dissemination

E1. Were the results of the most recently completed market rate survey published or otherwise disseminated in any of the following ways? *(check all that apply)*

- ☐ Printed report
- ☐ Web posting; URL_____
- ☐ News release
- ☐ Other, please specify: _____

E2. Were the market rate survey results shared with any of the following groups: *(check all that apply)*

- ☐ Legislators
- ☐ State child care resource & referral network
- ☐ Local child care resource & referral agencies
- ☐ Providers
- ☐ Parents
- ☐ Others, please specify: _____

Section F. Rate Setting

The following section should be completed by the person most knowledgeable about methods for setting payment rates.

F1. When were the current maximum payment rates set? _ _/_ _ /_ _ _ _
(If actual day is know known, enter 15) (MM) (DD) (YEAR)

F2. Were these current rates adjusted based on the most recently completed market rate survey? (Note: The most recently completed market rate survey is defined as the one whose findings have been made public.)

- ☐ No, payment rates were not adjusted as a result of the most recent survey [go to F3]
- ☐ No, not yet, but will be within the next several months [go to question F3]
- ☐ Yes

F2a. Following the most recently completed market rate survey, payment rates were or are likely to be:

- ☐ kept the same
- ☐ increased for all types of care and age categories
- ☐ decreased for all types of care and age categories
- ☐ increased for some types of care and age categories
- ☐ decreased for some types of care and age categories

F3. Are your jurisdiction's current payment rates set at or above the 75th percentile of rates based on the most recently completed survey?

- ☐ No
- ☐ Yes, for all
- ☐ Yes, for some categories; please describe: _____

F4. Many factors may influence rate setting. In your jurisdiction which of the following factors were influential in setting payment rates?

No, not influential in setting current rates	Yes, influential in setting current rates	
☐	☐	A. Demand for subsidies
☐	☐	B. Overall state/territorial/tribal budget
☐	☐	C. State/Territorial/Tribal funding for child care
☐	☐	D. Findings of recently completed market rate survey
☐	☐	E. Level of federal CCDF funding to state/territory/tribe
☐	☐	F. Provider concerns
☐	☐	G. Desire to invest in quality of child care initiatives
☐	☐	H. State/territorial/tribal TANF policies
☐	☐	I. Desire to maximize the number of families with access to subsidies

F4a. Of all those checked "Yes, influential in setting current rates" above, which three factors are the most influential factors?

First most important (enter letter from above): _____
Second most important (enter letter from above): _____
Third most important (enter letter from above): _____

F5. Were there other significant factors, not listed above, that influenced setting current payment rates?

_____

F6. For which of the following categories were current payment rates set?

NO	YES	Don't Know	
			By geographic areas
☐	☐	☐	county
☐	☐	☐	zip code
☐	☐	☐	region (could include county clusters)
☐	☐	☐	whole state, territory, or tribal reservation service area
☐	☐	☐	other, please specify: _____
			By age categories
☐	☐	☐	infant
☐	☐	☐	toddler
☐	☐	☐	preschool-age
☐	☐	☐	school-age

↳ If YES for school-age, were rate information collected by: *(check all that apply)*

- o School-age school year
- o School-age summer
- o None of the above

			By type of care
☐	☐	☐	center
☐	☐	☐	family child care home
☐	☐	☐	family child care group home
☐	☐	☐	in-home
☐	☐	☐	school-age centers
☐	☐	☐	school-age enrichment activities
☐	☐	☐	Special needs care

F6c1. Were payment rates set for family, friends, or neighbors?

- No [go to question F7]
- Yes

F6c2. How were the payment rates established for family, friends, and neighbors?

_____

_____

F7. Which, if any, of the following types of fees were paid to providers through the subsidy program? *(check all that apply)*

- ☐ Registration fees
- ☐ Transportation fees
- ☐ Food fees
- ☐ Activity fees
- ☐ Other, please specify: _____

F8. Were payment rates set for geographic areas with providers with low or no prices (e.g., rural and high-density low-income urban areas)?

- No [end]
- Yes

F8a. How were these rates established for geographic areas with low or no prices?

_____

Thank you for your participation in this survey!

Appendix C: State Statutes and Rules Governing Market Rate Surveys

State	Statutes and Rules	Description
California	CA Education Code 8447(d)	Alternative payment child care systems, as set forth in Article 3 (commencing with Section 8220), shall be subject to the rates established in the Regional Market Rate Survey of California Child Care Providers for provider payments. The State Department of Education shall contract to conduct and complete the annual Regional Market Rate Survey with a goal of completion by March 1.
	CA 2002-03 Budget Language	4. (a) Notwithstanding any other provision of law, alternative payment child care systems shall be subject to the rates established in the Regional Market Rate Survey of California child care and development providers for provider payments. The 2002-03 fiscal year regional market rates for child care provider payments that apply to all child care provided by Alternative Payment Programs and CalWORKs child care shall be the rates in effect as of July 1, 2001. The State Department of Education and the State Department of Social Services, in consultation with the Department of Finance and the Legislative Analyst, shall develop a new survey methodology to be employed by future market rate surveys. The State Department of Education shall utilize a federal fund contract awarded on a competitive basis to conduct a market rate survey during the 2002-03 fiscal year.
	CA 2003-04 Budget Language	7. (a) The State Department of Education and the State Department of Social Services, in consultation with the Department of Finance and the Legislative Analyst, shall develop a new survey methodology to be employed by future market rate surveys. The State Department of Education shall utilize a federal fund contract awarded on a competitive basis to conduct a market rate survey during the 2003-04 fiscal year. (b) The State Department of Education (SDE) shall promulgate emergency regulations governing the use of the Regional Market Rates (RMR) to provide statewide consistency and clarify the appropriate rate of reimbursement for child care services. The RMR emergency regulations shall change the definitions of certain rate categories and provide conditions and limitations on the use of certain rates and adjustment factors. SDE shall ensure that the emergency regulations are effective as soon as possible and no later than July 31, 2003. SDE shall fully implement the emergency RMR regulations by October 1, 2003.

State	Statutes and Rules	Description
California (continued)	CA 2003-04 Budget Language (continued)	The Department of Social Services (DSS) shall take appropriate steps to ensure that these emergency RMR regulations also apply to Stage One child care and are fully implemented by October 1, 2003. (c) Notwithstanding any other provisions of law, the funds appropriated in this item for the cost of child care services provided through alternative payment or voucher programs including those provided under Article 3 (commencing with Section 8220) and Article 15.5 (commencing with 8350) of Chapter 2 of Part 6 of the Education Code shall be used only to reimburse child care costs up to the 85th percentile of the rates charged by providers offering the same type of child care for the same age child in that region.
Florida	Office of Early Learning Market Rate Policy	See policy at: http://www.floridajobs.org/earlylearning/documents/OELPI001605.pdf
	Florida Statute 411.01	Each early learning coalition shall adopt a payment schedule that encompasses all programs funded by the coalition under this section. The payment schedule must take into consideration the relevant market rate, must include the projected number of children to be served, and must be submitted for approval by the Agency for Workforce Innovation. Informal child care arrangements shall be reimbursed at not more than 50 percent of the rate developed for a family day care home.
Iowa		Provider rates at 75th percentile of Market Rate Survey, and what Market Rate Survey is used is established in agency's appropriations bill each year.
Kentucky	922 KAR 2.160	Regulation requires the dollar value to be included for each category of rate. See entire 922 KAR 2.160 at: http://www.lrc.state.ky.us/KAR/922/002/160.htm

State	Statutes and Rules	Description
Michigan	Enrolled House Bill, Section 675	Section 675 of 2004 Public Act 344 (Enrolled House Bill No. 5516) states the department shall utilize the most recent market rate survey to explore potential costs to implement a child day care rate structure that more accurately reflects the costs of care by vicinity and that the department shall report the results of the analysis to the senate and house subcommittees on the department of human services budget, the senate and house fiscal agencies and policy offices, and the state budget office.
Minnesota	Statutes 2004 Chapter 119B.13	http://www.revisor.leg.state.mn.us/data/revisor/statutes/2004/119B/13.html
	Rules Chapter 3400.0130	http://www.revisor.leg.state.mn.us/bin/getpub.php?type=r&num=3400
Montana	Administrative Rules of Montana (ARM) 37.80.205	(5) The rates set forth in the Child Care Manual, section 1-4, are the maximum rates payable.
	Child Care Manual Section 1-4	Every two years, the Early Childhood Services Bureau (ECSB) conducts a market rate survey of child care providers as a basis for recommending district child care rates. The survey is derived from data in the CCUBS computer system. If funding is available, the ECSB provides families with a level of Best Beginnings Child Care Scholarship that allows access to 75% of the child care facilities in their district. Scholarship rates effective July 1, 2006 are based on the 75th percentile of the June 30, 2006 Market Rate Survey. See entire Child Care Manual Section 1-4 at: http://www.dphhs.mt.gov/hcsd/ecsbmanual/

State	Statutes and Rules	Description
Nebraska	Nebraska Revised Statutes, Section 43-536	In determining the rate of reimbursement for child care, the Department of Health and Human Services Finance and Support shall conduct a market rate survey of the child care providers in the state. The Department of Health and Human Services shall adjust the reimbursement rate for child care every odd-numbered year at a rate not less than the sixtieth percentile and not to exceed the seventy-fifth percentile of the current market rate survey, except that (1) nationally accredited child care providers may be reimbursed at higher rates and (2) for the two fiscal years beginning July 1, 2005, such rate may be less than the sixtieth percentile but shall not be less than the rate for the immediately preceding fiscal year or the fiftieth percentile, whichever is greater. This act becomes operative on July 1, 2005.
New York	No specific statute or rule indicated	Law states: The payment rates established by the department shall be sufficient to ensure equal access for eligible children to comparable child care assistance in the sub-state area that are provided to children whose parents are not eligible to receive assistance under any federal or state programs. Such payment rates shall take into account the variations in cost of providing child care in different settings and to children of different age groups and the additional costs of providing care to children with special needs.
North Carolina	SL 2003 – Section 10.35	Payment rates shall be based on information collected by Market Rate surveys.
Rhode Island	Statutes: RIGL Chapter-40-5.1 Article 11 406.21.1	§ 40-6.2-1.1 Rates Established. – (a) Subject to the payment limitations in section (b), the maximum reimbursement rates to be paid by the departments of human services and children, youth and families for licensed child care centers and certified family-child care providers shall be based on the following schedule of the 75th percentile of weekly market rates: (b) The department shall pay child care providers based on the lesser of the applicable rate specified in subsection (a), or the lowest rate actually charged by the provider to any of its public or private child care customers with respect to each of the rate categories, infant, preschool and school-age.

State	Statutes and Rules	Description
Rhode Island (continued)	Statutes: RIGL Chapter-40-5.1 Article 11 406.21.1 (continued)	(c) By June 30, 2004 and biennially thereafter, the department of labor and training shall conduct an independent survey or certify an independent survey of the then current weekly market rates for child care in Rhode Island and shall forward such weekly market rate survey to the department of human services. The departments of human services and labor and training will jointly determine the survey criteria including, but not limited to, rate categories and sub-categories. The 75th percentile of weekly market rates in the table in subsection (a) shall be adjusted by the surveys conducted under this subsection, beginning January 1, 2006 and biennially thereafter; provided, however, that the weekly market rates in the table in subsection (a) shall be adjusted by the 2006 market rate survey beginning July 1, 2007. For the purposes of this section, and until adjusted in accordance with this subsection, the 75th percentile of weekly market rate shall mean the 2002 department of human services child care market survey. (d) The department of human services is authorized and directed to establish rates of reimbursement for appropriate child care provided to children older than twelve (12) years of age, so as to implement the provisions of § 40-5.1-17(b). (e) In order to expand the accessibility and availability of quality child care, the department of human services is authorized to establish by regulation alternative or incentive rates of reimbursement for quality enhancements, innovative or specialized child care and alternative methodologies of child care delivery, including non-traditional delivery systems and collaborations. (f) On or before January 1, 2007, all child care providers have the option to be paid every two (2) weeks and have the option of automatic direct deposit and/or electronic funds transfer of reimbursement payments. (g) Beginning on September 1, 2006, the department of human services shall report monthly to the chairpersons of the house and senate finance committees on the implementation of this subsection.

State	Statutes and Rules	Description
Tennessee	Tennessee Code Annotated 71-1-130	Day care services – Rate of reimbursement – Market rate study. (a) The department shall perform a market rate study of day care rates annually. (b) In compliance with federal law and regulations and from the market rate study, the department shall annually determine an amount to be paid as reimbursement on behalf of low-income families, for the provision of child or infant care by a day care center, family day care home, or group day care home. (c) The commissioner shall report to the governor and the general assembly, no later than October 1 of each year, the results of the market rate study and the annual rate that has been requested by the department in its budget. (d) N/A (e) The amounts to be paid by the department for day care services and transportation under the provisions of this section shall be subject to the availability of funding each year in the general appropriations act.
Wisconsin	Department of Workforce Development 56.03	(5) Rate Review. (a) The department shall annually review child care rates set by each county and tribe and shall approve or disapprove each county agency's rates and tribal agency's rates based on the following criteria: 1. Whether the rate-setting method is in accordance with rate-setting requirements specified under ss. Department of Workforce Development 56.0 (http://www.legis.state.wi.us/cr_final/00-129.pdf).

State, Territory, and Tribal Profiles

Note: *Information and comments included in these profiles were provided by the States, Territories, and Tribes.*

Alabama

State Description

Population of state: **4,447,100**
Number of children under 13 years of age: **867,536**
Regulation of any child care facilities that are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **6/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Task not done**
Published results:	**Task not done**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	3,901	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	4,637	Total
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	Yes	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**8,538+**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **4,637**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **367**
# of facilities who completed the survey: **1,520**
Date data collection was completed: **5/20/2005**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly rate**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **10/01/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Desire to maximize the number of families with access to subsidies**
2—**State funding for child care**
3—**Level of federal CCDF funding**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Comments: Several staff in different areas of the Department contributed to the survey process, including Information Systems personnel, Finance personnel, Printing Department and State child care staff, printing. Extensive staff time was more a factor than cost.

Report is Available for Download at: **Not available**

Alaska

State Description

Population of state: **626,932**
Number of children under 13 years of age: **146,643**
Regulation of any child care facilities that are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal facilities**
Date of market rate survey on which profile is based: **6/28/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	753	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	1	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**754**	

Comments: Alaska is unusual in that it mainly has Native Corporations, rather than tribes/reservations. The one true Indian Reservation that exists has its providers subject to State regulation.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Other - Prior to the survey commencing, providers were notified by this office via postcard and encouraged to partcipate.**
Number of facilities tried to reach: **753**
# of facilities who refused to participate**: 27**
# of facilities dropped from the sample: **316**
# of facilities who completed the survey: **410**
Date data collection was completed: **6/28/2005**
Comments: The survey was not per se piloted, but the methodology is essentially the same as in prior years.
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Both provider and slot; Actual current enrollment of children served**
Comments: In general we weight rates by reported enrollment/attendance (not capacity), but might look at it other ways as well. Various other questions not related to rates were asked, and typically would be reported with provider as the unit of measurement.
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **Yes**

Comments:
+ We interpret school age summer to be full time, and school age school year to be part time.
+ I indicate no for State (see Table to right), but to some extent we will in fact analyze data on a statewide level, especially non-rate related questions. We posses enough information (we know the county and zip codes of the respondents) and could do the data in other ways. But overwhelmingly data is analysed by region.

Rate Setting

Date current payment rates were set: **7/01/2001**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**Level of federal CCDF funding**
3—**State TANF policies**

Total Cost of Market Rate Survey: **$30,750**

Lead Agency: **$22,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$8,750**
Other contractor:
Comments: I do not include any expenses that our R&R's/LA's et al. might incur in using/disseminating our analysis, or any analysis of their own that they might create based on MRS data. Nor do I consider any expenses our parent organizations (The Division of Public Assisance, Department of Health and Social Services, or State of Alaska et al.) might incur in using or disseminating our data/analysis.

Report is Available for Download at:
health.hss.state.ak.us/dpa/programs/ccare

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	Yes	

Arizona

State Description

Population of state: **5,130,632**
Number of children under 13 years of age: **1,076,635**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal facilities**
Date of market rate survey on which profile is based: **1/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Other Contractors**
Collected price data from facilities:	**Other Contractors**
Cleaned the collected data:	**Other Contractors**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**
Other database: **Facilities participating in the Child & Adult Care Food Program.**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	6,909	Total
Family child care facilities who are legally exempt from licensing	Yes	567	Total
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	0	
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, all	Unknown	Total
TOTAL facilities in final dataset		**7,476+**	

Comments: The 567 number (see Table on left) reflects the total number of family child care providers who choose to register with the CCR&R.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **7,025**
# of facilities who refused to participate**: 55**
# of facilities dropped from the sample: **1,832**
# of facilities who completed the survey: **5,138**
Date data collection was completed: **6/15/2004**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments:
+ While the most recent survey was not field tested, a field test had been conducted on the survey instrument at a previous point in time.
+ Specific rate information was not collected from providers on nonstandard hours care; however providers were asked if nonstandard hours care was provided.
+ For school-age rates, information was collected but not broken down by school year and summer. Rather data was collected by full and part day from which specific program time period rate could be inferred.

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Slot; Number of children normally cared for on an average day**
Comments: Facilities listed above were excluded from analysis if no fee was charged to parents.
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Full day (Six or more hours per day) & Part day (Less than six hours per day)**
Did state measure amount of accessibility maximum rate provides? **No**

Comments:
+ No-fee centers were excluded from the survey and therefore also excluded from the rate analysis.
+ Regions included county clusters as well as individual counties in some instances.
+ Rates in limited markets such as rural areas were not analyzed separately; but were indeed analyzed due to the very nature of our state's geographic regions.

Rate Setting

Date current payment rates were set: **10/01/2001**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**Desire to maximize the number of families with access to subsidies**

Comments:
+ Rates for relatives are not based on market rate survey. Friends/neighbors are not eligible for payment unless they meet family home requirements.

Total Cost of Market Rate Survey: **$72,159**
Lead Agency: **$9,086**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor: **$63,073**

Report is Available for Download at:
www.de.state.az.us/childcare/survey.asp

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	No
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Arkansas

State Description

Population of state: **2,673,400**
Number of children under 13 years of age: **522,903**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **4/30/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**.

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	2,231	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**2,231**	

Comments: The State of Arkansas mandates that all child care centers or child care family homes be licensed if you care for more than five children.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing or re-licensing; Other - The four child care resource and referral organizations were paid $8.00 for every valid and accurate contact they made with the provider to gather data.**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **5/01/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2004**
Rates adjusted based on recent survey: **Yes; kept the same**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**Desire to maximize the number of families with access to subsidies**
3—**Findings of recently completed market rate survey**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	Yes
Zip-Code	No	No
Region	Yes	No
State	No	No
Other geographic area	County clusters	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$30,848**

Lead Agency: **$13,000**
Other agency:
State R&R Network: **$17,848**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

California

State Description

Population of state: **33,871,648**
Number of children under 13 years of age: **7,289,433**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **12/15/2001**
Comments: The actual survey took place between October and December, 2001. With further analysis in '02 and '03.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**Survey Firm**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Survey Firm**
Interpreted findings:	**R&R**
Wrote reports on findings:	**R&R**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	40,307	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**40,307**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**
Comments: The rates for license exempt providers are derived from rates of licensed family child care homes.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Other - 8 attempts were made to contact each provider, prenotificatin letters were sent encouraging participation.**
Number of facilities tried to reach: **19,359**
# of facilities who refused to participate**: 968**
# of facilities dropped from the sample: **4,298**
# of facilities who completed the survey: **12,893**
Date data collection was completed: **12/15/2001**
Comments: Survey was piloted (tested) when first developed.
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children**
Unit of analysis: **Slot; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly rate**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Prices were converted to a weekly mode for licensed family child care homes only.

Rate Setting

Date current payment rates were set: **10/01/2003**
Rates adjusted based on recent survey: **Yes; increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**TANF policies**
3—**Desire to maximize the number of families with access to subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	Sub-county	Yes
Age Group		
Infant care	Yes	Yes
Toddler care	No	No
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$562,698**
Lead Agency: **$62,828**
Other agency:
State R&R Network: **$279,925**
University/College:
Research or survey firm: **$219,945**
Other contractor:
Comments: Other CDE staff and Department of Social Services staff partipated in meetings. Unable to determine their cost.

Report is Available for Download at:
www.dhs.ca.gov

Colorado

State Description

Population of state: **4,301,261**
Number of children under 13 years of age: **855,443**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **4/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**R&R**
Interpreted findings:	**R&R**
Wrote reports on findings:	**R&R**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Yes	4,785	
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**4,785**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing or re-licensing; Follow-up letters or calls**
Standard for how recent data should be at the time of the download: **Rate data should be no more than 4-6 months old**
Date data was downloaded: **4/06/2005**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Part-day, Part-week programs**
Unit of analysis: **Both provider and slot; Licensed capacity for children by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **Not Applicable. Counties set maximum rates on a rolling basis - state does not set these.**
Rates adjusted based on recent survey: **Yes; kept the same**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1 –
2 –
3 –

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	No
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	Don't know
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$15,296**

Lead Agency:
Other agency:
State R&R Network: **$15,296**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Connecticut

State Description

Population of state: **3,405,565**
Number of children under 13 years of age: **662,423**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **4/30/2001**
Comments: Tribal facilities are legally exempt but submit verification of compliance with state licensing standards.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**University**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	2,000	Stratified random
Family child care facilities who are legally exempt from licensing	Yes	12,000	Stratified random
Family, friends, or neighbors who are legally exempt from licensing	Yes	12,000	Stratified random
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**26,000**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**
Comments: Family child care facilities that are legally exempt from licensing are included if they receive a subsidy or advertise.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Financial incentives**
Number of facilities tried to reach: **2,131**
# of facilities who refused to participate**: 150**
# of facilities dropped from the sample: **245**
# of facilities who completed the survey: **1,245**
Date data collection was completed: **5/04/2005**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 100% of subsidized children lead to exclusion of the facility.**

Data Analysis

Facilities excluded from analysis: **None reported**
Unit of analysis: **Slot; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **1/01/2002**
Rates adjusted based on recent survey: **Yes; Kept the same**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Desire to maximize the number of families with access to subsidies**

Comments: Special needs rates have two levels. A percent increase in the standard rate, plus specified amounts for identifiable costs.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	Type of facility	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	No
School-age enrichment activities	Don't know	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$64,000**

Lead Agency: **$1,500**
Other agency:
State R&R Network:
University/College: **$62,500**
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.dss.state.ct.us/ccare/care4kids/care4kid_rates.pdf

Delaware

State Description

Population of state: **783,600**
Number of children under 13 years of age: **151,662**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **5/16/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Other Contractors**
Created survey questions:	**Other Contractors**
Assisted facilities to complete survey:	**Other Contractors**
Collected price data from facilities:	**Other Contractors**
Cleaned the collected data:	**Other Contractors**
Analyzed price data:	**Other Contractors**
Interpreted findings:	**Other Contractors**
Wrote reports on findings:	**Other Contractors**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	Unknown	Stratified random
Family child care facilities who are legally exempt from licensing	Yes	Unknown	Random
Family, friends, or neighbors who are legally exempt from licensing	Yes	30	Stratified random
Centers that are legally exempt from licensing	Yes	30	Stratified random
After-school education (non-licensed)	Yes	15	Stratified random
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**75+**	

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **1,180**
# of facilities who refused to participate**: 47**
# of facilities dropped from the sample: **83**
# of facilities who completed the survey: **1,050**
Date data collection was completed: **4/30/2005**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 100% of subsidized children lead to exclusion of the facility**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children**
Unit of analysis: **Both provider and slot; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **10/01/2005**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Desire to invest in quality of child care initiatives**
2—**Provider concerns**
3—**Level of federal CCDF funding**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	Yes
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$116,065**

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor: **$116,065**

Report is Available for Download at:
www.dhss.delaware.gov/dhss/dss/repstats.html

District of Columbia

State Description

Population of district: **572,059**
Number of children under 13 years of age: **92,466**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **8/18/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**University**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	601	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**601**	

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey; Other - promised copy of final report.**
Number of facilities tried to reach: **601**
# of facilities who refused to participate: **31**
# of facilities dropped from the sample: **41**
# of facilities who completed the survey: **454**
Date data collection was completed: **8/18/2004**
Time needed for a single facility to give information: **31-60 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Both provider and slot; Actual current enrollment of children served by age group**
Comments: Prices are analyzed by enrolled slots and providers; other data is analyzed by provider.
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Pricing is also analyzed annually. Converted to daily, hourly, weekly, monthly, and annual.

Rate Setting

Date current payment rates were set: **4/15/2000**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 — **State funding for child care**
2 — **Desire to maximize the number of families with access to subsidies**
3 — **Findings of recently completed market rate survey**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	City wards	Don't know
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$112,845**

Lead Agency: **$4,445**
Other agency:
State R&R Network:
University/College: **$108,400**
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.dhs.dc.gov

Florida

State Description

Population of state: **15,982,378**
Number of children under 13 years of age: **2,826,900**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **12/10/2004**
Comments: This is the date final analysis was completed. The report was complete May, 2005.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**R&R**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**R&R**
Interpreted findings:	**R&R**
Wrote reports on findings:	**R&R**
Published results:	**R&R**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	16,233	Total
Family child care facilities who are legally exempt from licensing	Yes	7,103	Total
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	5,449	Total
After-school education (non-licensed)	Yes	3,681	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**32,466**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Follow-up letters or calls**
Standard for how recent data should be at the time of the download: **Rate data should be no more than 4-6 months old**
Date data was downloaded: **12/10/2004**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **5/10/2005**
Rates adjusted based on recent survey: **Yes; Increased for all types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1 – **Findings of recently completed market rate survey**
2 –
3 –

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	No	No
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$18,000**

Lead Agency: **$18,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:
Comments: Additional time and costs associated with local R&R's conducting complete provider updates prior to the statewide data pull associated with this market rate.

Report is Available for Download at:
www.floridajobs.org/earlylearning/documents/MR2005-ByCoalition-EffectiveMay1_000.pdf

Georgia

State Description

Population of state: **8,186,453**
Number of children under 13 years of age: **1,700,243**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **6/15/2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Other Contractors**
Assisted facilities to complete survey:	**Other Contractors**
Collected price data from facilities:	**Other Contractors**
Cleaned the collected data:	**Other Contractors**
Analyzed price data:	**Other Contractors**
Interpreted findings:	**Other Contractors**
Wrote reports on findings:	**Other Contractors**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Other: **Informal Childcare providers**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	7,500	Total
Family child care facilities who are legally exempt from licensing	Yes	5,000	Total
Family, friends, or neighbors who are legally exempt from licensing	Yes	1,400	Total
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**13,900**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **9,810**
# of facilities who refused to participate**: 6,000**
# of facilities dropped from the sample: **200**
# of facilities who completed the survey: **3,009**
Date data collection was completed: **4/15/2005**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **None reported**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Monthly for Federal goverment, Weekly for State**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **4/04/2005**
Rates adjusted based on recent survey: **Yes; Increased for all types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**Desire to maximize the number of families with access to subsidies**
3—**Level of federal CCDF funding**
Comments: By using data obtained from the market rate survey. However, rates for family, friends, and neighbors were set lower than rates for licensed and regulated providers.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	No
Family, friends, neighbors	Yes	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$106,572**

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor: **$106,572**
Comments: The total cost for the 2005 MRS was $120,000.

Report is Available for Download at: **Not available**

Hawaii

State Description

Population of state: **1,211,537**
Number of children under 13 years of age: **230,004**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **5/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Other Contractors**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Task not done**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	Unknown	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**Unknown**	

Comments: Licensed family child care providers who are not legally exempt.

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **1,011**
# of facilities who refused to participate**: 152**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **859**
Date data collection was completed: **1/15/2004**
Comments: Every May, the R&R organization mails a survey. DHS did its market rate survey based on the R&R data. DHS conducts this review of rates every two years.
Time needed for a single facility to give information: **Don't know**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **1/01/2006**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**Level of federal CCDF funding**
3—**Desire to invest in quality of child care initiatives**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	No
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	Yes	

Total Cost of Market Rate Survey: **$18,000**

Lead Agency: **$8,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor: **$10,000**
Comments: All numbers are estimates.

Report is Available for Download at: **Not available**

Idaho

State Description

Population of state: **1,293,953**
Number of children under 13 years of age: **281,669**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal providers**
Date of market rate survey on which profile is based: **12/15/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Task not done**
Published results:	**Task not done**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	Unknown	Total
Family child care facilities who are legally exempt from licensing	Yes	Unknown	Total
Family, friends, or neighbors who are legally exempt from licensing	Yes	Unknown	Total
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	Yes	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**1+**	

Comments: All providers listed in the market survey are from the R&R data base. Being listed in this data base is dependent upon whether the provider is enrolled as an Idaho Child Care Program provider, wants to participate in the Professional Development System or wishes to receive referrals. Being in this data base is voluntary so we have no true idea whether or not we are accessing the total provider population.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required to be included in R&R**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **11/15/2004**
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **None reported**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **1/01/2001**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**Level of federal CCDF funding**
3—**Findings of recently completed market rate survey**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$2,000**

Lead Agency: **$1,000**
Other agency:
State R&R Network: **$1,000**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Illinois

State Description

Population of state: **12,419,293**
Number of children under 13 years of age: **2,533,177**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **12/31/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Task not done**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	9,222	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing			
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**9,222**	

Comments:
+ Sampling frame of all active full time, full year licensed providers who gave current rates (7,838 family homes; 1,384 centers).
+ Separate analysis were conducted for school age licensed before/after school care and school age summer care programs.
+ The CCR&Rs have a very small portion of license exempt family home care and some license exempt centers listed. Total populations of these providers are unknown so they are not included in the final data set for analysis.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from most providers**
Strategies used to encourage facilities to give up-to-date price information: **Follow-up calls**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **12/31/2003**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Slot; Licensed capacity for children by age group, Actual current enrollment of children served by age group**
Comments: Slot unit of analysis is by licensed center and licensed family home.
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2000**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Desire to maximize the number of families with access to subsidies**
2—**State funding for child care**
3—**Level of federal CCDF funding**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	Yes
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$82,900**
Lead Agency: **$2,900**
Other agency:
State R&R Network:
University/College: **$80,000**
Research or survey firm:
Other contractor:
Comments: The printing is done as part of other Lead Agency projects so we cannot provide a cost.

Report is Available for Download at: **Not available**

Indiana

State Description

Population of state: **6,080,485**
Number of children under 13 years of age: **1,221,546**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **1/1/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Other Contractors**
Interpreted findings:	**Other Contractors**
Wrote reports on findings:	**Other Contractors**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	5,400	Total
Family child care facilities who are legally exempt from licensing	Yes	3,198	Total
Family, friends, or neighbors who are legally exempt from licensing	Yes	1,100	Total
Centers that are legally exempt from licensing	Yes	660	Total
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**10,358+**	

Comments: After school education facilities were included only if the provider receives CCDF.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing/re-licensing**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **1/18/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **6/15/2005**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**TANF policies**
3—**Overall budget**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	No	No
State	No	No
Age Group		
Other geographic area	-	No
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	Yes	Yes
Family, friends, neighbors	Yes	Yes
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$14,500**

Lead Agency: **$7,500**
Other agency:
State R&R Network: **$2,000**
University/College:
Research or survey firm:
Other contractor: **$5,000**

Report is Available for Download at:
www.ChildCareFinder.IN.gov

Iowa

State Description

Population of state: **2,926,324**
Number of children under 13 years of age: **558,404**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **12/15/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	3,858	Total
Family child care facilities who are legally exempt from licensing	Yes	788	Total
Family, friends, or neighbors who are legally exempt from licensing	Yes	Included above	Total
Centers that are legally exempt from licensing	No		Total
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**4,646+**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from all providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required to be included in the R&R database; Other – drawings for certificate**
Standard for how recent data should be at the time of the download: **Rate data updated by September 1 of each year.**
Date data was downloaded: **11/15/2004**
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 100% of subsidized children lead to exclusion of the facility.**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Half-day (up to 5 hours)**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **9/01/2005**
Rates adjusted based on recent survey: **Yes; Increased for all types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Overall budget**
2—**Findings of recently completed market rate survey**
3—**Provider concerns**

Comment: If non-registered provider, rates are frozen at a pre-1996 level.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	Urban and Rural Counties	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	Yes	Yes
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Kansas

State Description

Population of state: **2,688,418**
Number of children under 13 years of age: **546,903**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **8/30/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Task not done**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	7,283	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**7,283+**	

Comments:
+ Final dataset included only regulated Family Child Care providers and regulated Child Care Centers. There were no non-regulated facilities included in the final dataset.
+ Preschools and After School Programs were not included in the final rate analysis.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required to be included in R&R; Follow-up letters or calls; Other - toys and blocks, gift certicates for those completing information, drawing for gifts, gifts for initial completion of information.**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **4/01/2004**
Comments: The rate information is downloaded by service field. We have not determined the number of providers who do not respond, only the number per service field who do respond. The responses may or may not be duplicated by facility.
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: Full time rates may be entered by hourly, daily, weekly, monthly, or yearly rates. Part time rates are entered only at an hourly rate.

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Hourly**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **5/01/2005**
Rates adjusted based on recent survey: **Yes; decreased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**Overall budget**
3—**Desire to maximize the number of families with access to subsidies**
Comments: Initially set to pay 50% of licensed family child care rate for highest reimbursement region.

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:
Comments: Unable to provide this information as existing staff was used at both the KACCRRA and state agency & I have no way of factoring out the expense of the time spent on this survey.

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	No
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Kentucky

State Description

Population of state: **4,041,769**
Number of children under 13 years of age: **768,643**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **5/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**R&R**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**R&R**
Interpreted findings:	**R&R**
Wrote reports on findings:	**R&R**
Published results:	**R&R**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	2,933	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**2,933**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Follow-up letters or calls**
Standard for how recent data should be at the time of the download: **No standard**
Date data was downloaded: **4/15/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Converted to daily rates per state reimbursement mode.

Rate Setting

Date current payment rates were set: **5/15/2005**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**Demand for subsidies**
3—**Desire to maximize the number of families with access to subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	Yes
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	No
In-home	No	Yes
Family, friends, neighbors	No	No
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$8,000**

Lead Agency:
Other agency:
State R&R Network: **$8,000**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Louisiana

State Description

Population of state: **4,468,976**
Number of children under 13 years of age: **930,462**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **9/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Survey Firm**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Survey Firm**
Interpreted findings:	**Survey Firm**
Wrote reports on findings:	**Survey Firm**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**
Other: **Database for Dept of Education Food Program**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	12,000	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	Yes	3,403	Total
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**15,403**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **12,000**
# of facilities who refused to participate**: 3,959**
# of facilities dropped from the sample: **1,548**
# of facilities who completed the survey: **6,493**
Date data collection was completed: **9/15/2006**
Comments: The method has been used in previous surveys. We assume those providers that did not respond chose not to participate because their completed survey was not returned and the survey was not returned as having a bad address. We would not necessarily characterize all providers who did not complete a survey as refusing to participate.
Time needed for a single facility to give information: **26-30 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **5/01/2004**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 — **Level of federal CCDF funding**
2 — **State funding for child care**
3 — **Desire to maximize the number of families with access to subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	No
State	No	Yes
Other geographic area	Urban and rural	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	Yes	Yes
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$49,982**

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$49,982**
Other contractor:

Report is Available for Download at: **dss.state.la.us**

Maine

State Description

Population of state: **1,274,923**
Number of children under 13 years of age: **227,351**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal providers**
Date of market rate survey on which profile is based: **4/01/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Survey Firm**
Assisted facilities to complete survey:	**Survey Firm**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Survey Firm**
Interpreted findings:	**Survey Firm**
Wrote reports on findings:	**Survey Firm**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	2,538	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	12	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**2,550**	

Comments: Used total population of all license providers in state including all tribal programs.

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Financial incentives (1 $500 spa drawing, 2 $250 gift certificates); Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **2,550**
# of facilities who refused to participate**: 993**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **1,557**
Date data collection was completed: **4/01/2004**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: Actual number of full-time children enrolled in each age group; actual number of part-time children enrolled and current full-time (FTE) enrollment is included. They are also asked what they charge for full-time care for each group and if they provide part-time care, what those rates are.

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs**
Unit of analysis: **Slot; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Hourly and weekly**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **8/01/2004**
Rates adjusted based on recent survey: **Yes;**
Increased for some types of care and age categories
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**Desire to invest in quality of child care initiatives**
3—**Level of federal CCDF funding**

Total Cost of Market Rate Survey: **$50,000**
Lead Agency: **$7,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$43,000**
Other contractor:

Report is Available for Download at:
www.maine.gov/dhhs/occhs/publications.htm

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	No	No
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Maryland

State Description

Population of state: **5,296,486**
Number of children under 13 years of age: **1,061,258**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **7/30/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**R&R**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**R&R**
Interpreted findings:	**R&R**
Wrote reports on findings:	**R&R**
Published results:	**R&R**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	14,020	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	2	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**14,022**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **No**
Strategies used to encourage facilities to give up-to-date price information: **None reported**
Standard for how recent data should be at the time of the download: **No standard**
Date data was downloaded: **7/30/2005**
Comments:
+ Information on market rate is collected on a continual basis as part of a provider update questionnaire that is sent out annually. About 50% of providers have returned a questionnaire within the current survey year. All reported fee information is used in the market rate analysis. The questionnaires are distributed and collected by local CCRR's, then forwarded to the state coordinating agency, Maryland Committee for Children, which does all data entry, data maintenance, and analysis and reporting.
+ 7/30/2005 is the date that provider data for fiscal year 7/2004 - 6/2005 was 'frozen' for analysis.
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **1/01/2002**
Rates adjusted based on recent survey: **Yes; kept the same**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Level of federal CCDF funding**
Comments: Our payment rates were set at the 75th percentile of the 2001 market rate survey. Therefore, due to rising cost of child care, they are now below that percentile based on the 2005 market rate survey (which is the most current one).

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	Yes	No
Region	Yes	Yes
State	Yes	No
Other geographic area	Census tract	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	No
Preschool-age care	Yes	No
School-age care	Yes	No
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:
Comments: Total costs of the market rate survey are included in the full contract for services by the CCR&R agency and are difficult to separate out.

Report is Available for Download at:
www.mdchildcare.org

Massachusetts

State Description

Population of state: **6,349,097**
Number of children under 13 years of age: **1,175,597**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **12/31/2002**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Survey Firm**
Created survey questions:	**University**
Assisted facilities to complete survey:	**Survey Firm**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Survey Firm**
Wrote reports on findings:	**Survey Firm**
Published results:	**Survey Firm**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	15,000	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**15,000**	

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey; Other - Letter from provider groups and commissioner**
Number of facilities tried to reach: **3,657**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **2,531**
Date data collection was completed: **11/01/2002**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 100% of subsidized children lead to exclusion of the facility**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Both provider and slot; Licensed capacity for children by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2004**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Desire to invest in quality of child care initiatives**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	Yes	No
Region	Yes	Yes
State	No	No
Other geographic area	Sub regions	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$225,000**

Lead Agency: **$25,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$200,000**
Other contractor:

Report is Available for Download at:
www.eec.state.ma.us/

Michigan

State Description

Population of state: **9,938,444**
Number of children under 13 years of age: **2,016,984**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **8/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Task not done**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	18,368	Stratified random
Family child care facilities who are legally exempt from licensing	Yes	32,210	Stratified random
Family, friends, or neighbors who are legally exempt from licensing	Yes	39,287	Stratified random
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	Yes	Unknown	Stratified random
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**89,865**	

Comments: The sampling methodology from the state's 2002 market rate survey was used in 2005: Random sample of up to 13 providers from each of five provider types (for up to 65 providers per county).

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **4,972**
# of facilities who refused to participate**: 1,685**
# of facilities dropped from the sample: **114**
# of facilities who completed the survey: **3,173**
Date data collection was completed: **4/29/2005**
Comments:
+ The 2005 survey was a variation of the 2002 survey.
+ The figure for # of facilities who refused to participate includes non-responses.
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: Next time around, we plan on revising the survey to allow the identification of the 'no fee' programs such as 'Headstart' and school readiness programs.

Data Analysis

Facilities excluded from analysis: **None reported**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: No conversion necessary; we asked for hourly rates, half day rates, full day rates, and weekly rates.

Rate Setting

Date current payment rates were set: **1/01/2001**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 –
2 –
3 –
Comments: Reduced relative payment rates to 65% of the registered family home payment rates. A statewide rate was established for in-home (in the child's home) care.

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Don't know
Zip-Code	No	Don't know
Region	Yes	Yes
State	Yes	Don't know
Other geographic area	-	Don't know
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Don't know
School-age care	Yes	Don't know
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	Yes
Family, friends, neighbors	Yes	Yes
School-age centers	No	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Minnesota

State Description

Population of state: **4,919,479**
Number of children under 13 years of age: **984,432**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **4/15/2004**
Comments: Data analysis was completed approximately 4-5 months later. The analysis was longer than usual due to a legislative audit. Market rate surveys were also completed in 2005 and 2006.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Survey Firm**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Survey Firm**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Some	11,000	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes, some	Unknown	
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	Unknown	Total
TOTAL facilities in final dataset		**11,000+**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**
Comments: The population for the 'unknowns' (see table on left) cannot be answered because some may be regulated by both the tribe and the state.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Follow-up letters or calls; Other - Free training opportunities, entry into a raffle, the raffle for a DVD player was effective.**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **4/15/2004**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: Minnesota conducts a full population market rate survey. The survey questions focus on provider rates, hours of operation and capacity levels. The survey is conducted by R&R agencies, data collected is entered in NACCRRAware and then downloaded for analysis.

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Both provider and slot; Desired capcity of children by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **Yes**

Comments:
+ Licensed family child care rates were analyzed by provider and center rates were analyzed by slot. Minnesota's current methodology uses a center's licensed capacity if the center fails to supply their desired capacity.
+ Licensed family child care home and family child care group home were grouped together during our analysis.
+ We examined a number of different approaches to analysis. Some of which included converting provider rates to other units of time, including hourly, daily and weekly.

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Provider concerns - Access to providers**

Comments:
+ Minnesota's maximum rates were frozen in 2003, 2004 and 2005. The 2005 legislature authorized some increases in maximum rates in 2005. The 2006 legislature authorized an across the board 6% increase of all maximum rates. Even though the overall budget remains tight, the Legislature has allowed maximum rates to increase to help parents gain access to a greater choice of providers.
+ Regarding type of care, licensed-family group homes are paid using licensed family home maximum rates. In-home and family, friend, or neighbor care are paid at 80% of the licensed family home hourly rate. School-age centers and some school-age enrichment activities are paid at the center maximum rates. Special needs care is paid according to the type of provider or the child's documented need. Some cases are allowed to be paid at rates exceeding the maximum rate for providers who are serving children without special needs.

Total Cost of Market Rate Survey: **$257,683**
Lead Agency: **$118,100**
Other agency:
State R&R Network: **$44,583**
University/College:
Research or survey firm: **$95,000**
Other contractor:

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Comments: The costs reflect actual expenditures for the 2004 rate survey. This includes costs related to a legislative audit on the methodology used to set rates and a legislative report about cost containment options that the program went through in relation to this survey, which has not been part of the annual process.

The costs do not include typical costs related to bulletin distribution and programming costs as there were not changes to rates based on this survey due to a legislatively mandated rate freeze in place at that point in time. These costs are typically part of the annual rate survey process.

Report is Available for Download at: **Not available**

Mississippi

State Description

Population of state: **2,844,658**
Number of children under 13 years of age: **595,941**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **2/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	1,746	Total
Family child care facilities who are legally exempt from licensing	Yes	1,436	Stratified random
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**3,182+**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **3,182**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **None reported**
Date data collection was completed: **1/15/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Group homes were included with centers.

Rate Setting

Date current payment rates were set: **1/15/1999**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 –
2 –
3 –

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	No
Family, friends, neighbors	Yes	Yes
School-age centers	Yes	Yes
School-age enrichment activities	Yes	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$1,500**

Lead Agency: **$1,500**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Missouri

State Description

Population of state: **5,595,211**
Number of children under 13 years of age: **1,097,457**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **4/01/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	4,206	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**4,206**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate:
Number of facilities tried to reach: **4,206**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **70**
# of facilities who completed the survey: **1,844**
Date data collection was completed: **1/15/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **9/01/1998**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Level of federal CCDF funding**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$16,248**

Lead Agency: **$16,248**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.dss.mo.gov/cd/pdf/ccaresurvey04_05.pdf

Montana

State Description

Population of state: **902,195**
Number of children under 13 years of age: **171,616**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **7/5/2005**
Comments: 5 of 7 tribes have an agreement with Montana to license/regulate providers on respective reservations. 7/05/2005 survey is an interim effort, for informational purpose only. Most recent market rate survey was completed on 6/30/06.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	1,227	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**1,227+**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**
Comments: Montana's Child Care Under the Big Sky (CCUBS) system incorporates licensing and subsidy programs. The subsidy program is administered locally by CCR&Rs. Unregulated providers are not partipating in the licensing or the subsidy programs. Montana's Legally Unregistered providers are friends, family and neighbors. They tend to accept the 'State rate'. Survey results did not change over time.

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Other - Providing rate data is required to participate in the subsidy program**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **7/05/2005**
Comments: CCR&R enter rate information on the same system used to license/register providers. Each provider receives a letter and a prepaid reply postcard. CCR&Rs call to remind those who have not responded.
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis:
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Hourly and Daily**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Providers are required to provide hourly and daily rates.

Rate Setting

Date current payment rates were set: **7/01/2004**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Level of federal CCDF funding**

Total Cost of Market Rate Survey: **$2,770**
Lead Agency: **$1,320**
Other agency:
State R&R Network: **$1,450**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	No	No
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Nebraska

State Description

Population of state: **1,711,263**
Number of children under 13 years of age: **342,957**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **5/26/2005**
Comments: Any tribal providers who receive child care subsidy must be regulated.The survey was conducted from January 8 through February 10, 2005. The report was completed May 26, 2005.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	3,910	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	1	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**3,911+**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Comments: The sample frame was subdivided by county clusters (Urban, Trade Center, and Rural based on county population size) and type of facility (Family Child Care Home I, Family Child Care Center II, and Child Care Centers) thus dividing the overall sample frame into 9 subframes. Within each subframe a random sample was selected. In some subframes the entire group was surveyed because of the low number of facilities within that subframe.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **1,245**
# of facilities who refused to participate**:**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **896**
Date data collection was completed: **2/10/2005**
Time needed for a single facility to give information: **Don't know**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Hourly and daily**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Weekly and monthly rate data were collected but not analyzed because Nebraska only uses hourly and daily rates.

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **Yes;**
Increased for some types of care and age categories
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**State funding for child care**
2—**Overall budget**
3—**Desire to maximize the number of families with access to subsidies**

Total Cost of Market Rate Survey: **$5,350**
Lead Agency: **$5,350**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.hhs.state.ne.us/chs/chc/stateplan.htm

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	Yes
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	No (these are not set by the survey)
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Nevada

No Data Available

New Hampshire

State Description

Population of state: **1,235,786**
Number of children under 13 years of age: **238,999**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **11/05/2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Other Contractors**
Created survey questions:	**Other Contractors**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**Other Contractors**
Cleaned the collected data:	**Other Contractors**
Analyzed price data:	**Other Contractors**
Interpreted findings:	**Other Contractors**
Wrote reports on findings:	**Other Contractors**
Published results:	**R&R**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Some	1,160	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**1,160**	

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey; Other - Child Care Exchange/Calendar Keepers**
Number of facilities tried to reach: **1,160**
# of facilities who refused to participate**: 196**
# of facilities dropped from the sample: **22**
# of facilities who completed the survey: **931**
Date data collection was completed: **11/05/2003**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Comments: We do not have any state funded pre-K programs or migrant Head Start.
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**Desire to maximize the number of families with access to subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	No
State	No	Yes
Other geographic area	We use R&R catchment areas	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	No
Family	Yes	No
Group home	Yes	No
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$58,179**

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor: **$58,179**

Report is Available for Download at:
www.dhhs.state.nh.us/DHHS/CDB/LIBRARY/default.htm

New Jersey

State Description

Population of state: **8,414,350**
Number of children under 13 years of age: **1,645,871**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **5/27/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**University**
Cleaned the collected data:	**Task not done**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	3,063	Random
Family child care facilities who are legally exempt from licensing	Yes	4,088	Random
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**7,151**	

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **1,663**
# of facilities who refused to participate**: 19**
# of facilities dropped from the sample: **578**
# of facilities who completed the survey: **1,066**
Date data collection was completed: **3/15/2005**
Comments: This survey has been used previously and was piloted then.
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: Modes of pricing - we analyzed data looking at weekly rates for most types of care and daily rates for 'holiday' care.

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 – **Desire to maximize the number of families with access to subsidies**
2 – **Level of federal CCDF funding**
3 – **State funding for child care**
Comments: Family, friends, and neighbor care rates are set lower than licensed providers.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	No
State	Yes	Yes
Other geographic area	GIS - to look at local variation	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	Yes	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$29,550**

Lead Agency: **$13,000**
Other agency:
State R&R Network:
University/College: **$16,550**
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

New Mexico

No Data Available

New York

State Description

Population of state: **18,976,457**
Number of children under 13 years of age: **3,664,733**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal providers**
Date of market rate survey on which profile is based: **6/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Survey Firm**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	20,565	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**20,565+**	

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach:
# of facilities who refused to participate**: 1,546**
# of facilities dropped from the sample: **4,582**
# of facilities who completed the survey: **4,827**
Date data collection was completed: **7/15/2005**
Comments: Survey is the same as used in previous cycle. Was field tested then. Please note that the contract required that 4,827 successful surveys be completed - with the sample meeting the stratification requirements.
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 100% of subsidized children lead to exclusion of the facility**

Data Analysis

Facilities excluded from analysis: **Facilities serving only subsidized children**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly, daily, part day and hourly.**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **10/01/2005**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1 – **Findings of recently completed market rate survey**
2 –
3 –

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	Yes	Yes
State	No	No
Other geographic area	-	Yes
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Modes		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$57,000**

Lead Agency: **$22,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$35,000**
Other contractor:

Report is Available for Download at:
www.ocfs.state.ny.us/main/becs

North Carolina

State Description

Population of state: **8,049,313**
Number of children under 13 years of age: **1,547,109**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based:
Comments: Providers located on Indian reservations are exempt from NC licensure. However, they may choose to be licensed. Data not available on number that are licensed.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	8,695	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	Yes	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	Unknown	Total
TOTAL facilities in final dataset		**8,695+**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Comments: Tribal or military child care programs are not identified separately in the databse of licensed child care facilities.

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **8,695**
# of facilities who refused to participate**: 147**
# of facilities dropped from the sample: **959**
# of facilities who completed the survey: **7,589**
Date data collection was completed: **6/30/2005**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: The survey asks for enrollment and payment date for private, paying children only.

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Slot; Actual current enrollment of children served, Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Monthly**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **4/01/2003**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**Findings of recently completed market rate survey**

Total Cost of Market Rate Survey: **$238,453**
Lead Agency:
Other agency:
State R&R Network:
University/College: **$238,453**
Research or survey firm:
Other contractor:

Report is Available for Download at:
ncchildcare.dhhs.state.nc.us/

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

North Dakota

No Data Available

Ohio

State Description

Population of state: **11,353,140**
Number of children under 13 years of age: **2,233,755**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **6/30/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**University**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	11,935	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**11,935**	

Comments: The survey gathers 'private pay' rates only, and the regulated family home provider is a segment of regulated providers created by the state. Only a small percent of these providers also provide private pay services, and a majority of them charged private pay families the same rate they are paid by the state.

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **11,935**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **4,739**
Date data collection was completed: **5/15/2004**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Facilities serving only subsidized children**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: It is extremely challenging collecting pay rates from regulated providers that do not serve subsidized children. A significant majority of Ohio's home providers have literacy issues that a mail-in survey does not and maybe can not address. Limiting responses to predetermined rate categories forced many providers to calculate a rate that fit the listed rate category as opposed to reporting the facilities rates.

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **Yes;**
Increased for some types of care and age categories
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**Level of federal CCDF funding**
3—**Desire to invest in quality of child care initiatives**
Comments: Methodology employed for establishing regions was extremely challenging and not well received.

Total Cost of Market Rate Survey: **$257,000**
Lead Agency:
Other agency:
State R&R Network:
University/College: **$257,000**
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	No	Yes
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Oklahoma

State Description

Population of state: **3,450,654**
Number of children under 13 years of age: **681,243**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **5/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**University**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	4,249	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	Unknown	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	Unknown	Stratified random
TOTAL facilities in final dataset		**4,249+**	

Comments: One system includes both subsidy and licencing database. Metro counties are a statistically valid sample, rural counties seek full collection.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Financial incentives (3 $100 US Savings Bonds randomly selected); Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **4,249**
# of facilities who refused to participate**: 180**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **2,891**
Date data collection was completed: **4/01/2005**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Slot; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **10/01/2001**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**Demand for subsidies**
3—**Provider concerns**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$80,000**

Lead Agency: **$10,000**
Other agency:
State R&R Network:
University/College: **$70,000**
Research or survey firm:
Other contractor:
Comments: Agency staff is sunk cost and would not change if market rate survey was not completed. $56,931 was spent on the collection of data and an additional $13,000 is expected for reports and table builds.

Report is Available for Download at: **Not available**

Oregon

State Description

Population of state: **3,421,399**
Number of children under 13 years of age: **651,260**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **8/15/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**University**
Created survey questions:	**Task not done**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Other Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	6,687	Total
Family child care facilities who are legally exempt from licensing	Yes	800	Total
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	1,292	
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, all	1	Total
TOTAL facilities in final dataset		**8,780+**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required to be included in R&R.**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded: **1/01/2004**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Part-day, Part-week programs**
Unit of analysis: **Both provider and slot; Desired capacity of children for family providers, Desired capcity of children by age group for centers and certified family homes**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **11/15/1999**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Overall budget**
2—**State funding for child care**
3—**Level of federal CCDF funding**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	Yes	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$15,971**

Lead Agency:
Other agency: **$540**
State R&R Network: **$5,900**
University/College: **$9,531**
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.oregon.gov/DHS/children/childcare/mains.html

Pennsylvania

State Description

Population of state: **12,281,054**
Number of children under 13 years of age: **2,248,416**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **1/10/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	9,000	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**9,000**	

Comments: Family child care is regulated in PA.

Data Collection

Method(s): **Mail and Web**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **9,184**
# of facilities who refused to participate**: 3,480**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **5,704**
Date data collection was completed: **2/15/2005**
Comments: Span of time to collect data ranged from January to February.
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily**
Was Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**Findings of recently completed market rate survey**

Comments: The policy has been to use the existing funding to serve more families rather than increase rates.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	No	No
State	Yes	No
Other geographic area	-	Don't know
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	No
School-age enrichment activities	Don't know	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$6,000**

Lead Agency: **$6,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at:
www.dpw.state.pa.us/Child/ChildCare/ChildCareDevFund/003673729.htm

Rhode Island

State Description

Population of state: **1,048,319**
Number of children under 13 years of age: **193,554**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal providers**
Date of market rate survey on which profile is based: **12/31/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	1,793	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, all	1	Total
TOTAL facilities in final dataset		**1,795**	

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Financial incentives**
Number of facilities tried to reach: **1,793**
# of facilities who refused to participate**: 919**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **874**
Date data collection was completed: **12/31/2004**
Time needed for a single facility to give information: **31-60 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**
Comments: We did not exclude facilities with subsidized children, but we did some analysis on rates by percentage of subsidized children.This was the first year that we sent out both English and Spanish questionnaires. In the past they could be requested. The number of Spanish language questionnaires returned increased from 62 in 2002 to 248 in 2004.

Data Analysis

Facilities excluded from analysis:
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**
Comments: This year we asked only for weekly or what a provider would charge by the week.

Rate Setting

Date current payment rates were set: **1/04/2004**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Desire to invest in quality of child care initiatives**
2—**Findings of recently completed market rate survey**
3—**Overall budget**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	Don't know
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Don't know	Yes
Group home	Don't know	Yes
In-home	Yes	Yes
Family, friends, neighbors	No	Yes
School-age centers	Don't know	Yes
School-age enrichment activities	Don't know	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$14,000**

Lead Agency:
Other agency:
State R&R Network:
University/College: **$14,000**
Research or survey firm:
Other contractor:
Comments: Lead agency and Other agency costs are considered part of administration and are not calculated in the total costs.

Report is Available for Download at:
www.dhs.ri.gov/dhs/whatnew/child_care_rates_04.pdf

South Carolina

State Description

Population of state: **4,012,012**
Number of children under 13 years of age: **783,634**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **4/15/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**University**
Created survey questions:	**University**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**University**

Comments: We are working on developing a new licensing management information system which will capture rate information for all regulated facilities.

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	Unknown	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	Unknown	Total
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	Yes	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**Unknown**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**
Comments: Because we have terminated the contractual arrangement with the University that conducted the 2004 market rate survey we do not have access to the raw data.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **None reported**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **None reported**
Date data collection was completed: **3/15/2004**
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: SC contracted with Clemson University since 1991 to conduct our market rate survey. Over the years there was little to no revisions made to the data collected from providers. We have plans to include more detailed data collection in the market rate survey which will be completed by DSS in-house staff in the spring/summer of 2006.

Data Analysis

Facilities excluded from analysis: **Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **10/01/2005**
Rates adjusted based on recent survey: **No, not yet**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**Desire to invest in quality of child care initiatives**
3—**State TANF policies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	Yes
Zip-Code	No	No
Region	No	No
State	Yes	No
Other geographic area	Urban vs rural county designation	Yes
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	Yes	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Total Cost of Market Rate Survey: **$11,871**
Lead Agency:
Other agency:
State R&R Network:
University/College: **$11,871**
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

South Dakota

No Data Available

Tennessee

State Description

Population of state: **5,689,283**
Number of children under 13 years of age: **1,087,474**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **6/01/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	4,800	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**4,800**	

Comments: The data set includes licensed family child care providers but not exempt providers.

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Other - A completed survey is required for the issuance of a license to operate.**
Number of facilities tried to reach: **4,800**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **4,800**
Date data collection was completed: **6/01/2005**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes - We do not ask providers in the survey about subsidized care because the subsidy program is part of our overall child care database and we already have that information.**
Were any facilities that served subsidized children excluded? **Yes; 50% of subsidized children lead to exclusion of the facility.**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs, Facilities serving only subsidized children, Facilities serving a high percent of subsidized children**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **7/01/2005**
Rates adjusted based on recent survey: **Yes;**
Increased for some types of care and age categories
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**Findings of recently completed market rate survey**

Total Cost of Market Rate Survey: **$22,000**
Lead Agency:
Other agency:
State R&R Network:
University/College: **$22,000**
Research or survey firm:
Other contractor:
Comments: The way we are set up I would have to pro-rate costs across my entire licensing staff.

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	Yes	No
Region	Yes	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	No	

Texas

State Description

Population of state: **20,851,820**
Number of children under 13 years of age: **4,585,446**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **10/31/2004**
Comments: We have conducted a subsequent survey. The last data analysis was completed October 31, 2005. Answers in this survey are based on that data analysis completed in October, 2004.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**University**
Created survey questions:	**University**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**University**
Analyzed price data:	**University**
Interpreted findings:	**University**
Wrote reports on findings:	**University**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	18,830	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**18,830**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Comments: Camps or other facilities with two-week terms are reviewed by a different agency and are not part of our sample.

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **4,370**
# of facilities who refused to participate**: 208**
# of facilities dropped from the sample: **1,755**
# of facilities who completed the survey: **2,231**
Date data collection was completed: **10/15/2004**
Comments: We actually track about 20 'dispositions' that we assign to each call, telling us why we did or did not complete an interview.The 176 not included above were over dials. These providers never answered repeated calls; so they did not refuse to particpate and were not dropped from the sample.
Time needed for a single facility to give information: **11-15 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**
Comments: The time for interviews varies a great deal according to facility type. In 2004 we also collected additional information on the financial basis of facilities and their desire for business-oriented training.

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Both provider and slot; Licensed capacity for children, Actual current enrollment of children served by age group**

Comments: We also exclude facilities that only provide 'drop-in' care.

Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Daily rate**

Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **1/23/2003**

Rates adjusted based on recent survey: **No**

Are payment rates set at or above the 75th percentile of prices? **No**

Important factors in setting current rates:

1—**Desire to maximize the number of families with access to subsidies**

2—**Level of federal CCDF funding**

3—**State funding for child care**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$351,938**

Lead Agency: **$7,000**

Other agency:

State R&R Network:

University/College: **$344,938**

Research or survey firm:

Other contractor:

Report is Available for Download at:

www.twc.state.tx.us/twcinfo/ccstateplan07.pdf

Utah

State Description

Population of state: **2,233,169**
Number of children under 13 years of age: **555,697**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **9/30/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Task not done**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	1,368	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**1,368**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing/re-licensing**
Standard for how recent data should be at the time of the download: **Rate data should be no more than 4-6 months old**
Date data was downloaded: **8/15/2004**
Time needed for a single facility to give information: **Don't know**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**
Comments: Data collection by the CCR&Rs changed in FY03. Improvements in database design allow the CCR&Rs to collect more detail on child ages served and their corresponding provider rates. As a result, making comparisons between the 2002 and 2004 reports is not possible (see page 5 of 2004 Utah Child Care Market Rate Study).

Data Analysis

Facilities excluded from analysis:
Unit of analysis: **Both provider and slot; Licensed capacity for children by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **4/01/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**State funding for child care**
3—**TANF policies**

Comments: Rates established for eligible relatives as defined in 45 CFR Section 98.2 only. Local Boards establish rates for unregulated relative care that are lower than the rates set for regulated care.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	Don't know	No
School-age enrichment activities	Don't know	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	Yes	

Total Cost of Market Rate Survey: **$2,100**

Lead Agency: **$2,100**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Comments: Obtaining provider rates is imbedded in the CCR&R contracts. There is not a single line item for this function.

Report is Available for Download at:
occ.dws.state.ut.us/occ/MarketRate04.pdf

Vermont

State Description

Population of state: **608,827**
Number of children under 13 years of age: **111,417**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **1/04/2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Survey Firm**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**Survey Firm**
Cleaned the collected data:	**Survey Firm**
Analyzed price data:	**Survey Firm**
Interpreted findings:	**Survey Firm**
Wrote reports on findings:	**Survey Firm**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	1,061	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**1,061**	

Comments: Most after school programs are subject to licensure and they are included in the database.

Data Collection

Method(s): **Mail and Phone**
Strategies to encourage facilities to participate: **Financial incentives ($15 gift certificate to every 25th survey); Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **1,895**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **1,061**
Date data collection was completed: **1/15/2003**
Time needed for a single facility to give information: **26-30 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Don't know**

Data Analysis

Facilities excluded from analysis: **Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**
Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **7/01/2004**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1—**Desire to maximize the number of families with access to subsidies**
2—**State funding for child care**
3—**Demand for subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	Yes	No
Region	Yes	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	No
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$53,000**

Lead Agency: **$11,000**
Other agency:
State R&R Network:
University/College:
Research or survey firm: **$42,000**
Other contractor:

Report is Available for Download at: **Not available**

Virginia

State Description

Population of state: **7,078,515**
Number of children under 13 years of age: **1,355,379**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **8/15/2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	8,530	Stratified random
Family child care facilities who are legally exempt from licensing	Yes	1,013	Stratified random
Family, friends, or neighbors who are legally exempt from licensing	Yes	Unknown	Stratified random
Centers that are legally exempt from licensing	Yes	850	Total
After-school education (non-licensed)	Yes	Unknown	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**10,393+**	

Comments: Center and family providers are separated so there were actually two different survey data sets. Within the center data set, licensed centers, which are regulated, and religious exempt providers, which are considered unregulated, were combined. The total population of the center data set was surveyed. The family providers consisted of regulated and unregulated providers however the majority were regulated. Within the family data set, the selection was based on the number of providers within each FIPS location. For FIPS with a small number of providers, all providers were surveyed. A random sample was applied to FIPS locations with many providers.

Data Collection

Method(s): **Mail, Phone and Web**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **6,279**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **None reported**
Date data collection was completed: **8/15/2003**
Comments: The survey instrument has been in use since 1990 with minor revisions. Although it was not field tested for this survey, the instrument has been tested over time. Of the 3,123 center providers surveyed, there was 91% response rate. There were 3,156 family providers surveyed with 68% response rate.
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, Migrant Head Start, Facilities serving only subsidized children, Part-day, Part-week programs**

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Weekly**

Did state measure amount of accessibility maximum rate provides? **Yes**

Comments: Daily and hourly rates are derived from the weekly rate. The assumptions used to calculate the daily and hourly rates are based on previous survey results.

Rate Setting

Date current payment rates were set: **9/15/2004**

Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**

Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**

Important factors in setting current rates:

1—**Findings of recently completed market rate survey**

2—**Level of federal CCDF funding**

3—**Desire to invest in quality of child care initiatives**

Total Cost of Market Rate Survey: **$81,500**

Lead Agency: **$16,500**

Other agency:

State R&R Network:

University/College: **$65,000**

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Washington

State Description

Population of state: **5,894,121**
Number of children under 13 years of age: **1,167,803**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, all non-exempt tribal providers**
Date of market rate survey on which profile is based: **7/15/2004**
Comments: We are processing the 2006 survey data now (summer of 2006).

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**University**
Collected price data from facilities:	**University**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	9,009	Stratified random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Included in licensed facilities above	Stratified random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**9,009**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Comments: We do not have a category of family home providers that are excluded from regulation. Basically, our sample is drawn from all regulated/licensed providers.

Data Collection

Method(s): **Mail, Phone and Web**
Strategies to encourage facilities to participate: **Financial incentives (6 $50 grocery gift certificate lottery for both single centers and homes); Follow-up letters or calls**
Number of facilities tried to reach: **4,319**
# of facilities who refused to participate**: 195**
# of facilities dropped from the sample: **871**
# of facilities who completed the survey: **3,253**
Date data collection was completed: **6/15/2004**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **No**
Comments: For family homes we ask for the age of each child and the rate charged- we do not collect information by rate categories at all. For family homes, the age categories listed do not correspond to licensing categories for the toddler/preschool group. For centers we collect by age categories and the age categories correspond to those used in licensing regulations.

Data Analysis

Facilities excluded from rate analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Facilities serving a high percent of subsidized children**

Unit of analysis: **Slot; Actual current enrollment of children served by age group**

Comments:

+ Include part-day (>4 hours) or part-week programs for rate analysis if they gave us a rate that we could convert into a daily or monthly rate and they had children in care for 30 hours or more.

+ Centers with 85% or more subsidized children were excluded from rate analysis. For homes, providers are asked how much they charge for each individual child that they serve and only rates for private-pay children are used in rate analysis.

+ Also weighted providers by county to account for non-response and (for family homes only) for sampling factor.

Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Monthly**

Did state measure amount of accessibility maximum rate provides? **Yes**

Rate Setting

Date current payment rates were set: **11/01/2005**

Rates adjusted based on recent survey: **No**

Are payment rates set at or above the 75th percentile of prices? **No**

Important factors in setting current rates:

1—**State funding for child care**

2—**Level of federal CCDF funding**

3—**Provider concerns**

Total Cost of Market Rate Survey: **$180,000**

Lead Agency: **$90,000**

Other agency:

State R&R Network:

University/College: **$90,000**

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes - Centers in four counties
Zip-Code	No	No
Region	Yes	Yes
State	Yes	Yes - For activity and registration fees
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	No	Yes - Not set based on survey
Family, friends, neighbors	No	Yes
School-age centers	No	Yes - Not set based on survey
School-age enrichment activities	No	Yes - Didn't distinguish payment rates in school age centers from payments to centers serving school age children
Special needs care	This project did not ask this question on the questionnaire.	Yes - Not set based on survey
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	Yes	

West Virginia

State Description

Population of state: **1,808,344**
Number of children under 13 years of age: **305,268**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **6/15/2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	2,456	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No centers are exempt from licensing		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**2,456**	

Other: **These entities (licensing, subsidy, and R&R) share a database. Resource and Refurral agencies may maintain other databases on providers besides the one shared between the Regulatory Agency and the R&R.**

Data Collection

Method(s): **Licensing agency download**
Updated rate data: **No**
Strategies used to encourage facilities to give up-to-date price information: **None reported**
Standard for how recent data should be at the time of the download: **Rate data should be no more than 7-12 months old**
Date data was downloaded: **6/15/2005**
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **3/02/2002**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Level of federal CCDF funding**
2—**Overall budget**
3—**State funding for child care**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
State	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	No
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey:

Lead Agency:
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:
Comments: Personnel costs are not tracked - but are minimal.

Report is Available for Download at:
www.wvdhhr.org/bcf

Wisconsin

State Description

Population of state: **5,536,201**
Number of children under 13 years of age: **907,987**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **Yes, some non-exempt tribal providers**
Date of market rate survey on which profile is based: **12/31/2004**
Comments: Providers were surveyed during the month of September, the results were calculated and reported to the counties by early December 2004. The 2006 market rate survey results were reported to counties in January 2006.

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Other Agency**
Collected price data from facilities:	**Other Agency**
Cleaned the collected data:	**Other Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	5,350	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	Unknown	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**5,350+**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Comments: We include all licensed group and family programs that are open for public and care for children at least 5 hours/day, 5 days/week.

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Follow-up letters or calls**
Number of facilities tried to reach: **5,350**
# of facilities who refused to participate**: None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **4,547**
Date data collection was completed: **9/01/2004**
Comments: See operation memo 05-26 in regards to the survey process in WI. http://dhfs.wisconsin.gov/em/ops-memos/2005/default.htm. The follow-up work varies from one county to another. The survey form instructs providers to report weekly fees as they were in the month of July 2004.
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded? **Yes; 75% of subsidized children lead to exclusion of the facility**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Facilities serving a high percent of subsidized children, Part-day, Part-week programs**
Unit of analysis: **Both provider and slot; Desired capacity of children**
Were prices converted to some standard mode such as to monthly or hourly price? **No**
Did state measure amount of accessibility maximum rate provides? **Yes**

Comments:

+ We interpret school age summer to be full time, and school age school year to be part time.

+ We possess enough information (we know the county and zip codes of the respondents) and could do the data in other ways. But overwhelmingly data is analysed by region.

+ Questions were asked in standardised units. What is the cost for full time weekly care.

+ The percentile ranking of the state rates in our mind serve as a measure of market access for subsidy children.

Rate Setting

Date current payment rates were set: **12/26/2004**

Rates adjusted based on recent survey: **Yes; Decreased for some types of care and age categories**

Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**

Important factors in setting current rates:

1 — **Findings of recently completed market rate survey**

2 — **Establishment of a regional private market rate that is accurate. Other important factors follow.**

3 —

Comments:

+ 2006 Rates began 2/26/06.

+ Current payment rate information available at: http://www.dwd.state.wi.us/dws/programs/childcare/wishare/pdf/2006rates.pdf

+ 2006 Maximum rate policy information available at: http://www.dwd.state.wi.us/dws/programs/childcare/wishares/rates.htm

Total Cost of Market Rate Survey: **$14,900**

Lead Agency: **$2,500**

Other agency: **$12,400**

State R&R Network:

University/College:

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	No
Region	Yes	Yes
State	Yes	No
Other geographic area	Analyzed data by county, region and state - Chose regional rates.	-
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center (Licensed Group)	Yes	Yes
Family (Licensed Family)	Yes	Yes
Group home (Regularly Certified)	No	Yes
In-home (Professionally Certified)	No	Yes
Family, friends, neighbors	No	No
School-age centers	Yes	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Wyoming

State Description

Population of state: **493,782**
Number of children under 13 years of age: **95,312**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **9/01/2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**R&R**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify facilities:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	739	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**739**	

Data Collection

Method(s): **Mail, Phone and Web**
Strategies to encourage facilities to participate: **Financial incentives (Drawing for gift certificates: $200, $100, 2 - $50, and 4 - $25); Follow-up letters or calls; Training/technical assistance on how to complete the survey; Other - First 25 receive books about operating child care business.**
Number of facilities tried to reach: **739**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **36**
# of facilities who completed the survey: **609**
Date data collection was completed: **8/31/2004**
Time needed for a single facility to give information: **26-30 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **State-funded pre-kindergarten programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Hourly**
Did state measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **11/01/2004**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**
Important factors in setting current rates:
1—**Findings of recently completed market rate survey**
2—**Overall budget**
3—**Provider concerns**

Comments: Family, friend, and neighbor rates were established by taking a percentage of the licensed family rates.

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	Yes	No
State	Yes	Yes
Other geographic area	-	-
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	No	Yes
Family, friends, neighbors	No	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Total Cost of Market Rate Survey: **$9,148**

Lead Agency:
Other agency:
State R&R Network: **$9,148**
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

American Samoa

Territory Description

"American (Amerika) Samoa is a group of six Polynesian islands in the South Pacific. It is known as the heart of Polynesia. If you drew a triangle from Hawaii, New Zealand and Tahiti you would find Samoa in the middle. The Samoas arguably represent the largest population of Polynesian people and they take pride in a strong culture that has survived outside incursions amazingly well".

Population of territory: **57,291**

No Market Rate Survey Data Available.
Personal conversation, August 2005.

Guam

Territory Description

Guam is an island in the Western Pacific Ocean. It is the largest and southern most of the Mariana Islands.

Population of territory: **154,805**
Number of children under 13 years of age: **None reported**
Regulation of any child care facilities who are located on an Indian Reservation or in a tribal service area: **No**
Date of market rate survey on which profile is based: **Not reported**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Task not done**
Published results:	**Lead Agency**

Facilities Surveyed

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Most	62	Total
Family child care facilities who are legally exempt from licensing	Yes	1	Total
Family, friends, or neighbors who are legally exempt from licensing	Yes	24	Total
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**87**	

Database used to identify facilities:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **No**

Data Collection

Method(s): **Mail**
Strategies to encourage facilities to participate: **Follow-up letters or calls; Training/technical assistance on how to complete the survey**
Number of facilities tried to reach: **112**
# of facilities who refused to participate**: 6**
# of facilities dropped from the sample: **2**
# of facilities who completed the survey: **None reported**
Date data collection was completed: **7/24/2005**
Time needed for a single facility to give information: **6-10 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, Facilities serving only subsidized children, Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **Yes**
Did territory measure amount of accessibility maximum rate provides? **No**

Rate Setting

Date current payment rates were set: **10/01/2005**
Rates adjusted based on recent survey: **Yes; Increased for some types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
1—**Provider concerns**
2—**Demand for subsidies**
3—**Desire to maximize the number of families with access to subsidies**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	Yes	No
State	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	No	No
Preschool-age care	No	No
School-age care	No	No
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	Yes
Family, friends, neighbors	Yes	Yes
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Total Cost of Market Rate Survey: **$1,381**

Lead Agency: **$1,381**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

Northern Mariana Islands

Territory Description
The Northern Mariana Islands consists of 15 islands about three-quarters of the way from Hawaii to the Philippines.

Population of territory: **69,221**

No Market Rate Survey Data Available

Puerto Rico

Territory Description
Puerto Rico is a Caribbean island between the Caribbean Sea and the North Atlantic Ocean, east of the Dominican Republic.

Population of territory: **3,808,610**
Number of children under 13 years of age: **846,152**

No Market Rate Survey Data Available

Virgin Islands

Territory Description
"The United States Virgin Islands are a group of islands in the Caribbean that are an insular area of the United States. The U.S. Virgin Islands consist of the four main islands of St. Thomas, St. John, St. Croix, and Water Island, and many smaller islands."

Population of territory: **108,612**

No Market Rate Survey Data Available.
Personal conversation, August 2005.

Tanana Chiefs Conference, Inc. - Alaska

Description of Tribe	"Tanana Chiefs Conference, a traditional tribal consortium of 42 villages of interior Alaska near Fairbanks, is based on a belief in tribal self-determination and the need for regional Native unity." Tribal population: **12,000** Population of service area: **12,000** Reservation**: No** Number of children eligible for CCDF: **Not reported** Number of children served with CCDF funds in FY 2004 in an average month: **521** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Tanana Chiefs Conference, Inc.** Date of first survey: **Not reported** Date of last survey: **2004**
Providers Surveyed	Data source: **Tribal database of providers including centers, family child care providers and in-home providers** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Not reported** Data collected: T**ype of care provided, rates, ages, special needs**
Data Analysis and Publication	Analysis: **Descriptive summary using averages** Publication: **Descriptive summary published in their CARES program report, completed in partnership with Alaska CARES**.
Rate Setting	Use of market rate survey findings: **Setting rates; Tribe compares tribal rates to survey findings with desire to stay near average.** Factors used in setting rates: **Survey findings**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job.
Additional Information	The tribe set rates in January 2005. The majority of providers do child care in their homes or the child's home and care for less than four children. The majority of children served are under three years of age. Although maximum payment levels have not increased, child care prices have increased.

Cocopah Indian Tribe - Arizona

Description of Tribe	"The Cocopah (Kwapa), also known as the River People, have lived along the lower Colorado River and delta. The Cocopah people, described as generous and non-materialistic, have maintained their traditional and cultural beliefs through the various political environments and the ever-changing landscapes." Tribal Population: **1,000** Population of service area: **700** Reservation: **Yes** Number of children eligible for CCDF: **56** Number of children served with CCDF in FY2004 in an average month: **Not reported** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Cocopah Indian Tribe** Date of first survey: **Not reported** Date of last survey: **2003**
Providers Surveyed	Data source: **Not reported** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Not reported** Data collected: **Not reported**
Data Analysis and Publication	Analysis: **Not reported** Publication: **Not reported**
Rate Setting	Use of market rate survey findings: **Not reported** Factors used in setting rates: **Not reported**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	

Bishop Paiute Tribe - California

Description of Tribe

The Bishop Paiute Tribe is located in the heart of California's Eastern Sierra Mountains.

Tribal Population: **650**
Population of service area: **8,500**
Reservation: **Yes**
Number of children eligible for CCDF: **369**
Number of children served with CCDF funds in FY 2004 in an average month: **20**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is an Early Head Start Grantee: **Yes**
Date of first market rate survey: **2004**
Date of last market rate survey: **2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**R&R**
Assisted facilities to complete survey:	**R&R**
Collected price data from facilities:	**R&R**
Cleaned the collected data:	**Other Tribal**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings**:**	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	32	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	Yes	6	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	2	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, all	12	Total
TOTAL facilities in final dataset		**52**	

Data Collection

Method(s): **Resource and Referral agency download**
Updated rate data: **Yes, updated rate information from some providers**
Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing or re-licensing; Financial incentives for respondents; Follow-up letters or calls to encourage response**
Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**
Date data was downloaded:
Time needed for a single facility to give information: **26 – 30 minutes**
How facilities reported price information: **In the mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**
Were any facilities that served subsidized children excluded: **No**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	Yes	Yes
Region	Yes	Yes
Tribe	Yes	Yes
Other geographic area	-	Yes, Tribes on CDF program
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	Yes
Family, friends, neighbors	Yes	No
School-age centers	Yes	Yes
School-age enrichment activities	Yes	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Data Analysis

Facilities excluded from analysis: **Head Start programs; State-funded pre-kindergarten programs; Migrant Head Start; Facilities serving only subsidized children; Facilities serving a high percent of subsidized children; Part-day, Part-week programs**

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Monthly or Hourly**

Rate Setting

Date current payment rates were set: **1/01/2001**

Rates adjusted based on recent survey: **No, not yet**

Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**

Important factors in setting current rates:

1 — **Overall budget**

2 — **Level of federal CCDF funding**

3 — **Findings of recently completed market rate survey**

Total Cost of Market Rate Survey: **$58,904**

Lead Agency: **$23,904**

Other agency: **$35,000**

State R&R Network:

University/College:

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

Redding Rancheria - California

Description of Tribe	Redding Rancheria is the Tribal organization for descendants of indigenous Northern California Indian tribes in Shasta County California. Tribal population: **200** Population of service area: **15,000** Reservation: **Yes** Number of children eligible for CCDF: **1,500** Number of children served with CCDF funds in FY 2004 in an average month: **30** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Redding Rancheria** Date of first survey: **Not reported** Date of last survey: **2005**
Providers Surveyed	Data source: **Child care resource and referral agency and other providers known to tribe** Number of providers surveyed: **14 including seven tribal family child care providers, one tribal child care center, three family child care providers in larger community, and three child care centers in the larger community**
Survey Method and Data Collected	Survey method: **Telephone survey** Data collected: **Type of care provided, rates, ages, part-time vs. full-time care, discounts for part-week vs. full-week**
Data Analysis and Publication	Analysis: **Descriptive summary** Publication: **In CCDF grant proposal**
Rate Setting	Date current maximum payment rates set: **Not reported** Factors used in setting rates: S**urvey findings, number of families needing assistance.** Are maximum payment rates set at 75th percentile: **No;** **Goal is to set rate in the middle of the rates found in the survey in order to serve the highest number of families possible. Rate are set for tribal programs and vouchers.**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribe reported being challenged by the need for more money to pay providers more and serve more families.

Prairie Band Potawatomi - Kansas

Description of Tribe

The Prairie Band Potawatomi Nation is a tribal unit that originated in the Great Lakes area many years ago. The Prairie Band Potawatomi people known as the "Keepers of the Fire" moved to Kansas and tribal services come out of Mayetta.

Tribal Population: **4,000**
Population of service area: **300**
Reservation: **Yes**
Number of children eligible for CCDF: **85**
Number of children served with CCDF funds in FY 2004 in an average month: **Not reported**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is an Early Head Start Grantee: **Yes**
Date of first market rate survey: **1992**
Date of last market rate survey: **2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Task not done**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Task not done**
Wrote reports on findings**:**	**Task not done**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	3	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	Yes	3	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	1	Total
TOTAL facilities in final dataset		**8**	

Data Collection

Method(s): **Telephone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **8**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **3**
Date data collection was completed: **5/31/2005**
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Migrant Head Start; Facilities serving only subsidized children; Part-day, Part-week programs**
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **7/21/2005**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:

1 — **Overall budget**
2 — **Level of federal CCDF funding**
3 — **Desire to maximize the number of families with access to subsidies**

Total Cost of Market Rate Survey: **$28**

Lead Agency: **$28**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	No	No
Tribe	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	No
Type of Care		
Center	Yes	Yes
Family	No	No
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	Don't Know
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	Yes	

Chitimacha Indian Tribe - Louisiana

Description of Tribe	The Chitimacha Indian Tribe is located in Charenton Louisiana, in the delta of the Mississippi River and the adjoining Atchafalaya Basin of south-central Louisiana. Tribal population: **900** Population of service area: **1,500** Reservation: **Yes** Number of children eligible for CCDF: **35** Number of children served with CCDF funds in FY 2004 in an average month: **19** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **No** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Chitimacha Tribe** Date of first survey: **2003** Date of last survey: **2004**
Providers Surveyed	Data source: **Licensed centers in two parishes identified from phone book** Number of providers surveyed: **Four to five centers every yea**r
Survey Method and Data Collected	Survey method: **Telephone calls** Data collected: **Part- and full-time rates**
Data Analysis and Publication	Analysis: **Not reported** Publication: **None**
Rate Setting	Use of market rate survey findings: **Used by school board in setting rates** Factors used in setting rates: **Survey findings, state's market rate survey findings, tribal budget, parent input**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	Area centers charge the same rate regardless of age of child. The tribe's center charges $65.00 per week and no more because the parents "think it is a lot of money".

Shakopee Mdewakanton Dakota Community - Minnesota

Description of Tribe	Located in Prior Lake, Minnesota, tribal lands are located in Prior Lake and Shakopee, Minnesota. The Shakopee Mdewakanton Dakota Sioux are direct lineal descendants of Mdewakanton Dakota people who resided in villages near the banks of the lower Minnesota River. Tribal population: **300** Population of service area: **500** Reservation: **Yes** Number of children eligible for CCDF: **261** Number of children served with CCDF funds in FY 2004 in an average month: **17** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **No** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Shakopee Mdewakanton Dakota Community** Date if first survey**: 2001** Date of last survey: **2003**
Providers Surveyed	Data source: **List of child care centers known to tribe** Number of providers surveyed: **Only centers were contacted; licensed family child care providers had been contacted in prior survey**
Survey Method and Data Collected	Survey method: **Telephone** Data collected: **Rates, full-time vs. part-time care**
Data Analysis and Publication	Analysis: **Compare rates of tribal center (largest in area) to survey results** Publication: **CCDF Plan**
Rate Setting	Use of market rate survey findings: **Used to find going rate for center care** Factors used in setting rates: **Survey findings, state market rate survey findings**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribal representative shared that both child care and the market rate survey "cost too much".

White Earth Reservation Tribal Council - Minnesota

Description of Tribe

"White Earth Reservation is located in Becker, Clearwater, and Mahnomen in south central Minnesota. Created in 1857 by a treaty between the United States and the Mississippi Band of Chippewa Indians, it is one of seven Chippewa reservations in Minnesota. Although the White Earth Chippewa no longer live as their ancestors did, they have kept alive their tribal heritage. Almost every aspect of their every-day life has been strongly influenced by the past."

Tribal Population: **20,000**
Population of service area: **20,000**
Reservation: **Yes**
Number of children eligible for CCDF: **181**
Number of children served with CCDF funds in FY 2004 in an average month: **75**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is an Early Head Start Grantee: **Yes**
Date of first market rate survey: **2003**
Date of last market rate survey: **2005**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	All	57	Random
Family child care facilities who are legally exempt from licensing	Yes	32	Random
Family, friends, or neighbors who are legally exempt from licensing	Yes	18	Random
Centers that are legally exempt from licensing	Yes	1	Total
After-school education (non-licensed)	Yes	2	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	4	Random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	6	Random
TOTAL facilities in final dataset		**120**	

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey: **R&R**
Created survey questions: **R&R**
Assisted facilities to complete survey: **Lead Agency**
Collected price data from facilities: **R&R**
Cleaned the collected data: **R&R**
Analyzed price data: **Lead Agency**
Interpreted findings: **Lead Agency**
Wrote reports on findings: **R&R**
Published results: **Lead Agency**

Comments: We use the state's R&R data, randomly called providers/centers in our service area and contacted the county social service in our area and received their rates. Staff and some advisory board members compared all the data and set our rates accordingly.

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **Yes**
Facilities receiving child care subsidies: **Yes**
Facilities enrolled with R&R agency: **Yes**

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **75**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **13**
# of facilities who completed the survey: **52**
Date data collection was completed: **6/14/2005**
Time needed for a single facility to give information: **Less than 5 minutes**
How facilities reported price information: **In the mode they charge**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis:
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **6/14/2005**
Rates adjusted based on recent survey: **Yes; Increased for all types of care and age categories**
Are payment rates set at or above the 75th percentile of prices? **Yes, for some categories**
Important factors in setting current rates:

1 — **Overall budget**
2 — **Findings of recently completed market rate survey**
3 — **Provider concerns**

Total Cost of Market Rate Survey: **$1,414**
Lead Agency: **$964**
Other agency: **$450**
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	Yes
Region	No	Yes
Tribe	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	Yes	Yes
Family, friends, neighbors	Yes	Yes
School-age centers	No	Yes
School-age enrichment activities	No	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Mississippi Band of Choctaw Indians - Mississippi

Description of Tribe	"Located in Choctaw, the Mississippi Band of Choctaw Indians has lived in present-day Mississippi and parts of Louisiana and Alabama since the times before history was even recorded." Tribal population **9,300** Population of service area: **Not reported** Reservation: **Yes** Number of children eligible for CCDF: **2,891** Number of children served with CCDF funds in FY 2004 in an average month: **NA** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Mississippi Band of Choctaw Indians** Date of first survey: **Not reported** Date of last survey: **2004**
Providers Surveyed	Data source: **Phone book and centers known to tribe** Number of providers surveyed: **15-20**
Survey Method and Data Collected	Survey method: **Mail survey with telephone follow-up** Data collected: **Type of care, rates, ages**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report used for grants and Tribal Council**
Rate Setting	Use of market rate survey findings: **Used in setting maximum rates** Factors used in setting rates: **Not reported**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	

Crow Tribe - Montana

Description of Tribe	The Crow Reservation is in south-central Montana, bordered by Wyoming on the south, with its northwestern boundary about 10 miles from Billings. Tribal population: **11,000** Population of service area: Reservation: **Not reported** Number of children eligible for CCDF: **100** Number of children served with CCDF funds in FY 2004 in an average month: **NA** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Crow Tribe** Date of first survey: **2003** Date of last survey: **2003**
Providers Surveyed	Data source: **List of licensed and unlicensed providers (subsidized and unsubsidized) derived from phone directory, advertisements, and providers known to Tribe** Number of providers surveyed: **5**
Survey Method and Data Collected	Survey method: **In person interviews, left for provider to complete if not available** Data collected: **Type of care, rates (hourly and/or daily), part-time and full-time**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report of average hourly and daily rates**
Rate Setting	Use of market rate survey findings: **Used in setting rates** Factors used in setting rates: **Not reported**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	Providers on reservation are not required to be licensed. Billings, 60 miles away, has a number of centers. Although the tribe talks with these centers, they do not base the tribal center rate on them because of differences.

Fort Peck Tribes Assiniboine & Sioux - Montana

Description of Tribe	The Fort Peck Reservation homelands are located in the northeast corner of Montana. Tribal population: **11,786** Population of service area: **6,000** Reservation: **Yes** Number of children eligible for CCDF: **3,500** Number of children served with CCDF funds in FY 2004 in an average month: **NA** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Fort Peck Tribes Assiniboine & Sioux** Date of first survey: **NA** Date of last survey: **2000**
Providers Surveyed	Data sources: **Child care resource and referral agency, tribal list of providers with whom the tribe contracts, and providers identified by tribe** Number of providers surveyed: **150** Comment: **Included providers who may or may not be licensed or receive subsidies.**
Survey Method and Data Collected	Survey method: **Telephone** Data collected: **Type of care, rates, rates and openings for infants, part-time vs. full-time rates for centers only**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Annual report with rate for each type of care**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Subsidy demand, parents' income, tribal finding for child care**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribe asks specifically about rates and openings for infants because of a lack of spaces for infants and the higher cost of infant care. The tribe reports that "all families get some subsidy".

Northern Cheyenne Tribal Council - Montana

Description of Tribe	The Northern Cheyenne Tribal Council serves south-central Montana. Tribal population: **9,000** Population of service area: **Not reported** Reservation: **Yes** Number of children eligible for CCDF: **Not reported** Number of children served with CCDF funds in FY 2004 in an average month: **Not reported** Tribe operates a child care center: **No** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Northern Cheyenne Tribal Council** Date of first survey: **1993** Date of last survey: **2004** Comment: **Survey done every two years.**
Providers Surveyed	Data source: **List of centers and family child care providers licensed by the tribe and increased by providers who parents have identified.** Number of providers surveyed: **20 - 25** Comment: **The tribe surveys family child care providers and centers, both subsidized and unsubsidized. All of the family child care providers are licensed with the tribe.**
Survey Method and Data Collected	Survey method: **Telephone** Data collected: **Rates, part-time vs. full-time care, before- and after-school**
Data Analysis and Publication	Analysis: **Descriptive summary using averages** Publication: **Written report submitted to ACF Region VIII** Comment: **The tribe completes a written report using survey results.**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Tribal funding available, CCDF funding available**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribal representative stated that the state should do the market rate survey because the tribe has little funds for it and for child care.

Mescalero Apache Tribe - New Mexico

Description of Tribe	The Mescalero Apache Tribe is located in the Sierra Blanca Mountains of southern New Mexico. Tribal population: **4,000** Population of service area: **4,000** Reservation: **Yes** Number of children eligible for CCDF: **1,240** Number of children served with CCDF funds in FY 2004 in an average month: **40** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Mescalero Apache Tribe** Date of first survey: **2002** Date of last survey: **2005**
Providers Surveyed	Data source: **Telephone Directory listing of centers** Number of providers surveyed: **18**
Survey Method and Data Collected	Survey method: **Telephone** Data collected: **Rates, part-time vs. full-time care, ages, hours of operation, if snacks are provided**
Data Analysis and Publication	Analysis: **Comparison of tribal center rates to those of other centers** Publication: **Written report submitted to tribal president and parent committee**
Rate setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Input from Tribal Council and parent committee**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribal representative stated that they use the market rate survey data for the CCDF and for their own information to determine rates.

Pueblo of Acoma - New Mexico

Description of Tribe	"The Pueblo of Acoma sits atop a mesa and the land is known for its beauty. Also known as "Sky City", Acoma is a Native American pueblo built on top of a 367-foot (112 m) sandstone mesa in the state of New Mexico." Tribal population: **6,000** Population of service area: **6,000** Reservation: **Yes** Number of children eligible for CCDF: **2,000** Number of children served with CCDF funds in FY 2004 in an average month: **25** Tribe operates a child care center: **No** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Pueblo of Acoma** Date of first survey: **2002** Date of last survey: **2002**
Providers Surveyed	Data source: **Telephone directory listing of centers** Number of providers surveyed: **10**
Survey Method and Data Collected	Survey method: **Mail with telephone follow-up if needed** Data collected: **Rates, part-time vs. full-time care**
Data Analysis and Publication	Analysis: **Data used for comparison with tribal center rates** Publication: **No**
Rate Setting	Use of market rate survey findings: **Center Rate Setting** Factors used in setting rates: **Families' income and number of people in the household**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	The tribal representative stated that the survey is used to find the average charged in other centers and the tribe makes sure they charge less than the average found.

Pueblo of Laguna - New Mexico

Description of Tribe	The Pueblo of Laguna comprises six major villages with its political center at Laguna. Tribal population: **8,000** Population of service area: **4,500** Reservation: **Yes** Number of children eligible for CCDF: **1,215** Number of children served with CCDF funds in FY 2004 in an average month: **50** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Pueblo of Laguna** Date of first survey: **1992** Date of last survey: **2005**
Providers Surveyed	Data source: **List of centers and family child care providers created from newspaper or providers recruited from flyers in Post Office, or those who had attended tribal training** Number of providers surveyed: **Not reported** Comment: **The family child care providers are all on the reservation, certified by the tribe, and unsubsidized. The centers are from a community far from the reservation.**
Survey Method and Data Collected	Survey method: **Mail, telephone follow-up for non-respondents** Data collected: **Rates, ages, part-time vs full-time care, drop-in, training, certification**
Data Analysis and Publication	Analysis: **Descriptives including ranges, comparisons** Publication: **Report**
Rate Setting	Use of market rate survey findings: **Rate Setting** Factors used in setting rates: **Tribal funding**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job
Additional Information	Programs on reservations are very different between tribes and they only have 0-5 year-olds in their center. They charge $2.50 per hour if the family is not on a sliding scale fee. They do not want to put family child care providers out of business when setting rates.

Pueblo of San Felipe - New Mexico

Description of Tribe	The Pueblo of San Felipe is twenty-five miles north of Albuquerque and 30 miles south of Santa Fe. Tribal population: **3,500** Population of service area: **3,500** Reservation: **Yes** Number of children eligible for CCDF: **950** Number of children served with CCDF funds in FY 2004 in an average month: **65** Tribe operates a child care center: **No, Family Home Child Care Services** Tribe is Head Start Grantee: **Yes** Tribe is an Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Pueblo of San Felipe** Date of first survey: **2002** Date of last survey: **2005** Comment: **Pueblo of San Felipe conducts market rate surveys every two years.**
Providers Surveyed	Data source: **Tribes list of providers certified for subsidies and of homes who had home inspection** Number of providers surveyed: **60-80** Comment: **List includes centers and family child care providers, both subsidized and unsubsidized.**
Survey Method and Data Collected	Survey method: **Mail with follow-up home visits for those who do not return survey** Data collected: **Type of care, rates, part-time vs full-time care**
Data Analysis and Publication	Analysis: **Descriptive averages** Publication: **Written report**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Overall tribal budget, tribal child care budget**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	Budgets only affect the rates because funding has decreased. They do the Market Rate Survey because it is required.

Spirit Lake Sioux Tribe - North Dakota

Description of Tribe	The Spirit Lake Sioux Tribe is located in North Central North Dakota. Tribal population: **5,000** Population of service area: **7,000** Reservation: **Yes** Number of children eligible for CCDF: **3,000** Number of children served with CCDF funds in FY 2004 in an average month: **151** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Spirit Lake Sioux Tribe** Date of first survey: **2003** Date of last survey: **2005**
Providers Surveyed	Data source: **List of providers certified by tribe, including family child care providers and centers, subsidized and unsubsidized.** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Telephone with follow-up home visits to non-respondents.** Data collected: **Type of care, rates, part-time vs full-time**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **State subsidy, tribal child care budget**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	The tribal representative stated that they are in a small community.

Choctaw Nation of Oklahoma - Oklahoma

Description of Tribe	Located in Southeastern part of the state, the Choctaw Nation of Oklahoma is comprised of ten and a half counties with no reservation. Tribal population: **150,000** Population of service area: Reservation: **Not reported** Number of children eligible for CCDF: **23,000** Number of children served with CCDF in FY2004 in an average month: **800** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Choctaw Nation of Oklahoma** Date of first survey: **2000** Date of last survey: **2004**
Providers Surveyed	Data source: **List of centers and family child care providers licensed and subsidized by the tribe**. Number of providers surveyed: **150** Comment: **The tribe surveys licensed and subsidized family child care providers and centers. The sample of about 150 providers was created from a list of providers the tribe maintains.**
Survey Method and Data Collected	Survey method: **Mail** Data collected: **Rates, part-time vs full-time, ages**
Data Analysis and Publication	Analysis: **Not reported** Publication: **Not reported**
Rate Setting	Use of market rate survey findings: **Not reported** Factors used in setting rates: **Current subsidy payments, state maximum rates** Comment: **Choctaw Nation of Oklahoma considers subsidy amounts and the state rate in rate setting.**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	The respondent reported that providers usually charge what DHS pays.

Kaw Nation of Oklahoma - Oklahoma

Description of Tribe	"Known as "the wind people," the Kaw originally lived along the lower Kansas River in villages consisting of conical-shaped lodges. The Kaw Nation is located in northern Oklahoma." Tribal population: **2,800** Population of service area: **Not reported** Reservation: **Yes** Number of children eligible for CCDF: **Not reported** Number of children served with CCDF in FY2004 in an average month: **15** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **No** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Kaw Nation of Oklahoma** Date of first survey: **2003** Date of last survey: **2005**
Providers Surveyed	Data source: **Providers who receive child care subsidies and are listed with child care resource and referral agency** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Telephone** Data collected: **Weekly and hourly rates, part-time vs full-time care, after-school rates, ages**
Data Analysis and Publication	Analysis: **Description of average weekly and hourly rates** Publication: **Report submitted with CCDF plan every two years.**
Rate Setting	Use of market rate survey findings: **Not used in setting rates** Factors used in setting rates: **Children's needs, current subsidy rate**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	

Flandreau Santee Sioux Tribe - South Dakota

Description of Tribe	Flandreau Santee Sioux Tribe, located in Moody County South Dakota, come from the easternmost division of the Sioux Nation. Tribal population: **750** Population of service area: **6,000** Reservation: **Yes** Number of children eligible for CCDF: **600** Number of children served with CCDF in FY2004 in an average month: **24** Tribe operates a child care center: **No** Tribe is Head Start Grantee: **No** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Flandreau Santee Sioux Tribe** Date of first survey: **1998** Date of last survey: **2003**
Providers Surveyed	Data source: **Licensed or certified centers and family child care providers known to the Tribe, includes those who do and do not receive subsidies** Number of providers surveyed: **13-14**
Survey Method and Data Collected	Survey method: **Telephone calls** Data collected: **Type of care, hours, rates, ages**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Report to Tribal Council and part of the tribe's child care plan**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Market rate survey**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	

Oglala Sioux Tribe - South Dakota

Description of Tribe	The Oglala Sioux Tribe, located in Pine Ridge, South Dakota, is part of the Great Sioux Nation of the Titowan Division. Tribal population: **42,000** Population of service area: **42,000** Reservation: **Yes** Number of children eligible for CCDF: **17,000** Number of children served with CCDF in FY2004 in an average month: **187** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Oglala Sioux Tribe** Date of first survey: **Not reported** Date of last survey: **2003**
Providers Surveyed	Data Source: **List of family child care providers, those who do and do not receive subsidies, maintained by the Tribe. The tribe advertises market rate study on weekly radio show.** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **In-person interviews done by mentor staff in provider homes** Data collected: **Rates**
Data Analysis and Publication	Analysis: **Exclude providers who receive subsidies and that are regulated by the tribe.** Publication: **Written report to tribal council**
Rate Setting	Use of market rate survey findings: **Rates of unsubsidized providers used in rate setting** Factors used in setting rates: **What parents say they can pay**
Survey Cost	Cost not known; tribal staff carry out the survey as part of their job.
Additional Information	The tribe does not ask about part-time vs. full-time care because all of the providers have hourly rates. The tribal child care center charges between $1.00 and $1.85 per hour, per child. The tribe's goal is that "our rate to be equal to the going rate".

Yankton Sioux Tribe - South Dakota

Description of Tribe	The Yankton Sioux Tribe, located in Marty, South Dakota, are known as "The friendly people". Tribal population: **8,000** Population of service area: **3,500** Reservation: **Yes** Number of children eligible for CCDF: **107** Number of children served with CCDF in FY2004 in an average month: **107** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Yankton Sioux Tribe** Date of first survey: **1999** Date of last survey: **2003**
Providers Surveyed	Data source: **List of licensed centers and family child care providers registered with the tribe** Number of providers surveyed: **Not reported** Comment: **The administration employee aids in the completion of the report.**
Survey Method and Data Collected	Survey method: **Phone Survey with follow-up for non-respondents of in-person interviews** Data collected: **Type of care, rates, part-time vs full-time care, ages**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Market rate survey findings**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job.
Additional Information	Tribe does not know whether or not surveyed providers received child care subsidy payments.

Alabama-Coushatta Tribe of Texas - Texas

Description of Tribe	"Nestled deep in the Big Thicket of East Texas lies Texas' oldest Indian Reservation, home of the Alabama-Coushatta Tribe of Texas is near Livingston, Texas in Polk County." Tribal population**: 500** Population of service area: **Not reported** Reservation: **Yes** Number of children eligible for CCDF: **50** Number of children served with CCDF in FY2004 in an average month: **50** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Alabama-Coushatta Tribe of Texas** Date of first survey: **1992** Date of last survey: **2004**
Providers Surveyed	Data source: **List of centers created by tribe from phone book and centers of which they were aware** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Phone survey with follow-up of in-person interviews for non-respondents** Data collected: **Type of care, rates, part-time vs full-time care**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report submitted to the Child Care Bureau**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Budget overall, demand for subsidies, level of CCDF funding to the tribe, parents' income**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	The tribal representative stated that tribal programs differ from those operated by states. They wanted "to show that child care is needed and accessible" to their low-income families.

Kalispel Tribe of Indians - Washington

Description of Tribe

"The Kalispel Tribe of Indians has always recognized the importance of educating its members as well as the general community. The Kalispel Indian Reservation is located approximately 55 miles north of Spokane in Pend Oreille County."

Tribal Population: **385**
Population of service area: **Not reported**
Reservation: **Yes**
Number of children eligible for CCDF: **46**
Number of children served with CCDF funds in FY 2004 in an average month: **20**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **No**
Tribe is an Early Head Start Grantee: **No**
Date of first market rate survey: **1993**
Date of last market rate survey: **2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Lead Agency**
Assisted facilities to complete survey:	**Lead Agency**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Lead Agency**
Analyzed price data:	**Lead Agency**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Task not done**

Comments: We complete our own, but our area is small.

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **No**
Other: **Phone book**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	Some	4	Random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	2	Random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		**6**	

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **0**
# of facilities who refused to participate**: 0**
# of facilities dropped from the sample: **0**
# of facilities who completed the survey: **4**
Date data collection was completed: **6/29/2005**
Time needed for a single facility to give information: **One hour**
How facilities reported price information: **Actual rates in whatever mode they charge**
Asked about the # of children in care that receive subsidy: **Yes**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, State contracted centers**
Unit of analysis: **Slots; Licensed capacity for children by age group; Actual current enrollment of children served by age group**
Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **1/01/2000**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:

1 — **Desire to maximize the number of families with access to subsidies**
2 — **Keep rates affordable for parents**
3 —

Total Cost of Market Rate Survey: **$100**

Lead Agency: **$100**
Other agency:
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
Tribe	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	No	No
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Port Gamble S'Klallam - Washington

Description of Tribe

"The Port Gamble S'Klallam Reservation is located on the northern tip of the Kitsap Peninsula in Washington State. It is situated on Port Gamble Bay, for generations an important natural resource for Native Americans."

Tribal Population: **1,000**
Population of service area: **1,000**
Reservation: **Yes**
Number of children eligible for CCDF: **295**
Number of children served with CCDF funds in FY 2004 in an average month: **Not reported**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is an Early Head Start Grantee: **Yes**
Date of first market rate survey: **Not reported**
Date of last market rate survey: **2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	**Lead Agency**
Created survey questions:	**Task not done**
Assisted facilities to complete survey:	**Task not done**
Collected price data from facilities:	**Lead Agency**
Cleaned the collected data:	**Task not done**
Analyzed price data:	**Task not done**
Interpreted findings:	**Lead Agency**
Wrote reports on findings:	**Lead Agency**
Published results:	**Lead Agency**

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**
Facilities receiving child care subsidies: **No**
Facilities enrolled with R&R agency: **Yes**
Other: **Phone book listings**

Facilities included in the dataset	Included	Number	Type of sample
Licensed facilities	No		
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	Unknown	
After-school education (non-licensed)	Yes	1	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	1	Total
TOTAL facilities in final dataset		**3+**	

Data Collection

Method(s): **Phone**
Strategies to encourage facilities to participate: **None reported**
Number of facilities tried to reach: **None reported**
# of facilities who refused to participate: **None reported**
# of facilities dropped from the sample: **None reported**
# of facilities who completed the survey: **None reported**
Date data collection was completed: **Not reported**
Time needed for a single facility to give information: **16-20 minutes**
How facilities reported price information: **Into pre-determined modes provided on the survey**
Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis:
Unit of analysis: **Facility**
Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **1/01/1990**
Rates adjusted based on recent survey: **No**
Are payment rates set at or above the 75th percentile of prices? **No**
Important factors in setting current rates:
1 — **Level of federal CCDF funding**
2 — **Demand for subsidies**
3 —

Total Cost of Market Rate Survey: **$440**
Lead Agency: **$358**
Other agency: **$82**
State R&R Network:
University/College:
Research or survey firm:
Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	-
Region	No	-
Tribe	No	-
Other geographic area	-	-
Age Group		
Infant care	Yes	-
Toddler care	Yes	-
Preschool-age care	Yes	-
School-age care	Yes	-
Type of Care		
Center	Yes	-
Family	-	-
Group home	-	-
In-home	-	-
Family, friends, neighbors	-	No
School-age centers	-	-
School-age enrichment activities	-	-
Special needs care	This project did not ask this question on the questionnaire.	-
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Quileute Tribal School - Washington

Description of Tribe	"The Quileute Tribal School is located in La Push, a small Indian village located on the western border of the Olympic Peninsula. A stone's throw to the Pacific Ocean, the Quillayute River, and the Olympic National Park." Tribal population**: 600** Population of service area: **Not reported** Reservation: **Yes** Number of children eligible for CCDF: **40** Number of children served with CCDF in FY2004 in an average month: **20** Tribe operates a child care center: **Yes** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **No**
Market Rate Survey	Organization that did market rate survey: **Quileute Tribal School** Date of first survey: **Not reported** Date of last survey: **2003**
Providers Surveyed	Data source: **Known child care centers in small community 17 miles away** Number of providers surveyed: **2**
Survey Method and Data Collected	Survey method: **Phone calls** Data collected: **Rates, ages, part-time vs full-time care**
Data Analysis and Publication	Analysis: **Descriptive** Publication: **Written report submitted to the state**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Tribal child care budget, parents' income**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report
Additional Information	The tribal representative stated that they already know what the rates are because the state sends them to the tribe annually. Tribal center has a sliding fee scale in which parents never pay more than 10% of family income for care.

Red Cliff Band of Lake Superior Chippewas - Wisconsin

Description of Tribe	"Located in Bayfield in Northern Wisconsin on the shore of Lake Superior are the Red Cliff Band of Lake Superior Chippewas." Tribal population**: 2,000** Population of service area: **900** Reservation: **Yes** Number of children eligible for CCDF: **412** Number of children served with CCDF in FY2004 in an average month: **13** Tribe operates a child care center: **No** Tribe is Head Start Grantee: **Yes** Tribe is Early Head Start Grantee: **Yes**
Market Rate Survey	Organization that did market rate survey: **Red Cliff Band of Lake Superior Chippewas** Date of first survey: **1997** Date of last survey: **2004**
Providers Surveyed	Data source: **List of centers and licensed family child care providers obtained from state and increased by providers who contacted the tribe directly** Number of providers surveyed: **Not reported**
Survey Method and Data Collected	Survey method: **Written surveys** Data collected: **Type of care, weekly and hourly rates, rates charged for all families**
Data Analysis and Publication	Analysis: **The tribe collects data and sends data to the state for analysis.** Publication: **The state completes a written report and sends it to the tribe**
Rate Setting	Use of market rate survey findings: **Rate setting** Factors used in setting rates: **Parent and provider concerns about rates**
Survey Cost	Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.
Additional Information	The goal of the market rate survey is to "estimate the most accurate rate for each county and tribe to be used to reimburse day care for low to moderate income families." None of the providers charge less than the subsidy and there is a maximum that the providers can charge.

For additional copies of this report, contact Oregon Child Care Research Partnership,
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